Singular loci of 3rd secant varieties of Veronese embeddings

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We call a tensor *t* simple if $t = v_1 \otimes v_2 \otimes \cdots \otimes v_d$ with each $v_i \in V_i$.

e.g.) $t = e_1 \otimes e_2 + e_1 \otimes e_3 = e_1 \otimes (e_2 + e_3)$ (.:. simple) $t = e_1 \otimes e_2 + e_2 \otimes e_1$ (Not simple)

The rank of a given tensor t, R(t) is defined as the minimum number of simple tensors needed to express it as the sum.

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$$R(e_1 \otimes e_2 + e_1 \otimes e_3) = 1, R(e_1 \otimes e_2 + e_2 \otimes e_1) = 2$$

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Geometry of Tensors : Border rank

In $V_1 \otimes V_2$, the set $\{t \mid R(t) \le k\}$ is Zariski-closed. But in case of 3-way tensors and of more factors, it does not hold any more.

Notion of Border rank : a tensor t has border rank *r* if $r = \min\{s \mid t = \lim_{\epsilon \to 0} t_{\epsilon}, R(t_{\epsilon}) = s\}$. Denote this by <u>R</u>(t)

Example

Let A, B, C be 3-dimensional vector spaces and a_i, b_j, c_k be basis elements for each vector space. Say

$$t = a_1 \otimes b_1 \otimes c_1 + a_1 \otimes b_1 \otimes c_2 + a_1 \otimes b_2 \otimes c_1 + a_2 \otimes b_1 \otimes c_1 .$$

Note that R(t) = 3. But, $\underline{R}(t) = 2$, because $t = \lim_{\epsilon \to 0} t(\epsilon)$, where

 $t(\epsilon) = \frac{1}{\epsilon} \{ (\epsilon - 1)a_1 \otimes b_1 \otimes c_1 + (a_1 + \epsilon a_2) \otimes (b_1 + \epsilon b_2) \otimes (c_1 + \epsilon c_2) \}$

and $R(t(\epsilon)) = 2$.

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For tensor product $A \otimes B \otimes C$, there is the algebraic variety parametrizing decomposable (rank 1) tensors, which is Segre variety $X = \mathbb{P}(A) \times \mathbb{P}(B) \times \mathbb{P}(C)$. For instance, tensors of rank 2, like $a_0 \otimes b_0 \otimes c_0 + a_1 \otimes b_1 \otimes c_1$, lie in the line joining $a_0 \otimes b_0 \otimes c_0$ and $a_1 \otimes b_1 \otimes c_1$ on *X*. Tensors of rank *k* lie in the span of honest *k* points on the Segre variety.

The *k*-th secant variety of $X \subset \mathbb{P}W$, which is denoted by $\sigma_k(X)$, is defined by

$$\sigma_k(X) = \bigcup_{x_1 \cdots x_k \in X} \mathbb{P}\langle x_1 \cdots x_k \rangle \subset \mathbb{P}W$$
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Similarly, Veronese variety can also be served as parameter space of symmetric tensors.

Let *V* be an (n + 1)-dimensional complex vector space and consider a $t \in V \otimes V \otimes \cdots \otimes V$ (*d*-times). We call *t* symmetric tensor if *t* is invariant under permuting factors.

Let $W = S^d V$ be the *d*-th symmetric power of *V*. We can also think of *W* as the space of homogeneous polynomials of degree *d* in n + 1 variables. The *d*-th Veronese embedding is the map

$$v_d: \mathbb{P}V \to \mathbb{P}W, \quad v_d([x]) = [x^d].$$

In char 0, $W = S^d V$ can also be thought as the subspace of symmetric *d*-way tensors in $V^{\otimes d}$. Say $X = v_d(\mathbb{P}V)$.

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Thus, it's natural to study geometry of higher secant variety of Veronese $\sigma_k(v_d \mathbb{P}V)$ (i.e. geometry of symmetric tensors of border rank at most *k*) for symmetric tensor problem.

Today, we consider singular loci of $\sigma_k(v_d \mathbb{P}V)$, $\operatorname{Sing}(\sigma_k(v_d \mathbb{P}V))$.

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A: Sing $(\sigma_{k+1}(X)) \supset \sigma_k(X)$ unless $\sigma_{k+1}(X)$ is linear (using Terracini lemma).

Terracini lemma For irreducible varieties $X, Y \subset \mathbb{P}V$ and for any $x \in X, y \in Y, z \in \langle x, y \rangle$, we have

 $T_z J(X,Y) \supset \langle T_x X, T_y Y \rangle$

and "=" holds for general choices of x, y, z.

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$$\operatorname{Sing}(\sigma_k(v_d(\mathbb{P}V))) = \sigma_{k-1}(v_d(\mathbb{P}V))$$

for every $k \ge 2, d \ge 2$ and $n \ge 1$ or describe $\text{Sing}(\sigma_k(v_d(\mathbb{P}V)))$ if it is not the case.

Known results The following is known:

First, it is classical that "=" is true for the binary case (i.e. n = 1)

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Degenerate forms and Non-degenerate forms

For any form $f \in S^d V$, following Landsberg-Teitler, we define the span of f to be $\langle f \rangle := \{ \partial \in V^{\vee} | \partial(f) = 0 \}^{\perp}$ in V.

So, f belongs to $S^d \langle f \rangle \subset S^d V$ and dim $\langle f \rangle$ is the minimal number of variables in which we can express f as a homogeneous polynomial of degree d.

Note that dim $\langle f \rangle = 1$ means $f \in v_d(\mathbb{P}V)$ by definition. We say a form $f \in \sigma_3(X) \setminus \sigma_2(X)$ to be degenerate if dim $\langle f \rangle = 2$ and non-degenerate otherwise. Let's denote the locus of all degenerate forms in $\sigma_3(X) \setminus \sigma_2(X)$ by D.

In our case, by the equations from symmetric flattenings we know that for any $f \in \sigma_3(X) \setminus \sigma_2(X)$

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Since there is a natural $SL_{n+1}(\mathbb{C})$ -group action on $\sigma_3(X)$, we may use the $SL_{n+1}(\mathbb{C})$ -orbits inside $\sigma_3(X)$ for our study of singularity.

First, suppose $f \in \sigma_3(X) \setminus \sigma_2(X)$ is non-degenerate (i.e. dim $\langle f \rangle = 3$). There are 3 normal forms for such forms (by Landsberg-Teitler)

- (Fermat type) $x_0^d + x_1^d + x_2^d$
- (Unmixed type) $x_0^{d-1}x_1 + x_2^d$
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There are also degenerate forms D. So, we have roughly 4 types of forms we need to consider.

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Reduction to the case n = 2 by fibration

For the locus of non-degenerate orbits in $\sigma_3(X) \setminus \sigma_2(X)$, we may consider a useful reduction method through the following arguments:

- ► For each $f \in \sigma_3(v_d(\mathbb{P}^n)) \setminus (D \cup \sigma_2(v_d(\mathbb{P}^n)))$, \exists a unique 3-dimensional subspace U such that $f \in \sigma_3(v_d(\mathbb{P}U))$.
- ► Thus, $\sigma_3(v_d(\mathbb{P}^n)) \setminus (D \cup \sigma_2(v_d(\mathbb{P}^n)))$ is smooth if $\sigma_3(v_d(\mathbb{P}^2)) \setminus (D \cup \sigma_2(v_d(\mathbb{P}^2)))$ is smooth for every $n \ge 2$ and $d \ge 3$, because the following map

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is well defined and each fiber $\pi^{-1}(\mathbb{P}U)$ is isomorphic to $\sigma_3(v_d(\mathbb{P}U)) \setminus (\mathbf{D} \cup \sigma_2 v_d(\mathbb{P}U))$. So, π becomes a fibration over a smooth variety with isomorphic fibers.

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Case of k = 3, d = 3, n = 2: Aronhold hypersurface

For the first case (k, d, n) = (3, 3, 2), note that *D* is empty when d = 3 (from equations of symmetric flattenings).

Classically, $\sigma_3(v_3(\mathbb{P}^2))$ in \mathbb{P}^9 is known as 'Aronhold hypersurface' and is defined by Pfaffian of the Young flattening

 $S_{(2,1)}(\mathbb{C}^3) \to S_{(3,2,1)}(\mathbb{C}^3)$.

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Corollary (d = 3 case)

For every $n \ge 2$ and d = 3, $\sigma_3(v_3(\mathbb{P}^n)) \setminus \sigma_2(v_3(\mathbb{P}^n))$ is smooth.

Case of k = 3, d = 3, n = 2: Aronhold hypersurface

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Consider the polynomial ring $S^{\bullet}V = \mathbb{C}[x_0, \ldots, x_n]$ (we call this ring *S*) and consider another polynomial ring $T = S^{\bullet}V^{\vee} = \mathbb{C}[y_0, \ldots, y_n]$, where V^{\vee} is the *dual space* of *V*. Define the differential action of *T* on *S* as follows: for any $g \in T_{d-k}, f \in S_d$, we set

$$g \cdot f = g(\partial_0, \partial_1, \dots, \partial_n) f \in S_k$$
. (2)

Let us take bases for S_k and T_{d-k} as

$$\mathbf{X}^{I} = \frac{1}{i_{0}! \cdots i_{n}!} x_{0}^{i_{0}} \cdots x_{n}^{i_{n}} \quad \text{and} \quad \mathbf{Y}^{J} = y_{0}^{j_{0}} \cdots y_{n}^{j_{n}}, \qquad (3)$$

with $|I| = i_0 + \dots + i_n = k$ and $|J| = j_0 + \dots + j_n = d - k$. For a given $f = \sum_{|I|=d} a_I \cdot \mathbf{X}^I$ in S_d , we have a linear map

$$\phi_{d-k,k}(f): T_{d-k} \to S_k, \quad g \mapsto g \cdot f$$

for any *k* with $1 \le k \le d - 1$, which can be represented by the following $\binom{k+n}{n} \times \binom{d-k+n}{n}$ -matrix.

We call this the *symmetric flattening* (or *catalecticant*) of f.

It is obvious that if f has rank 1, then any symmetric flattening $\phi_{d-k,k}(f)$ has rank 1. By subadditivity of matrix rank, we also know that rank $\phi_{d-k,k}(f) \leq r$ if $\underline{R}(f) \leq r$. Landsberg-Ottaviani showed

Proposition (Defining equations of $\sigma_3(v_d(\mathbb{P}^n))$)

Let X be the n-dimensional Veronese variety $v_d(\mathbb{P}V)$ in \mathbb{P}^N with $N = \binom{n+d}{n} - 1$. For any (d, n) with $d \ge 4, n \ge 2, \sigma_3(X)$ is defined scheme-theoretically by the 4×4 -minors of the two symmetric flattenings

$$\phi_{d-1,1}(F) \colon S^{d-1}V^{\vee} \to V \quad and \quad \phi_{d-\lfloor \frac{d}{2} \rfloor, \lfloor \frac{d}{2} \rfloor}(F) \colon S^{d-\lfloor \frac{d}{2} \rfloor}V^{\vee} \to S^{\lfloor \frac{d}{2} \rfloor}V,$$

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well-known that the quotient ring $T_f := T/f^{\perp}$ is an Artinian

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Suppose any form f in S^dV corresponds to a (closed) point of $\sigma_3(X) \setminus \sigma_2(X)$ and that rank $\phi_{d-1,1}(f) = 3$, rank $\phi_{d-\lfloor \frac{d}{2} \rfloor, \lfloor \frac{d}{2} \rfloor}(f) = 3$. Then, for any (d, n) with $d \ge 4, n \ge 2$ we have

$$\hat{N}_f^{\vee}\sigma_3(X) = (f^{\perp})_1 \cdot (f^{\perp})_{d-1} + (f^{\perp})_{\lfloor \frac{d}{2} \rfloor} \cdot (f^{\perp})_{d-\lfloor \frac{d}{2} \rfloor} , \qquad (4)$$

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Idea for the proposition

As a simple case, consider a form

 $f \in S_k := \{g \in V \otimes W \mid \operatorname{rank}(g) \le k\} \subseteq Hom(V^{\vee}, W)$

Then, $T_f S_k = \{g \in V \otimes W \mid g(\ker f) \subseteq \operatorname{im} f\}$ pf.) Write $f = \sum_{i=1}^k v_i \otimes w_i$. Then, $\ker f = \langle v_1, \dots, v_k \rangle^{\perp}$ and $\operatorname{im} f = \langle w_1, \dots, w_k \rangle$. We know $T_f S_k = \sum_{i=1}^k v_i \otimes W + V \otimes w_i$. So, for any $g \in T_f S_k$, $g(\ker f) \subseteq \operatorname{im} f$. The other way by dimension count.

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In the symmetric tensor case, similarly, we have

 $\hat{N}_f^{\vee} Z(M_4(\phi_{d-k,k}(F))) = (\ker \phi_{d-k,k}(f)) \otimes (\operatorname{im} \phi_{d-k,k}(f))^{\perp} .$

Here, we have $(\ker \phi_{d-k,k}(f)) = (f)_{d-k}^{\perp}$ and

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$$\hat{N}_{f}^{\vee}\sigma_{3}(X) = (f^{\perp})_{\lfloor \frac{d}{2} \rfloor} \cdot (f^{\perp})_{d-\lfloor \frac{d}{2} \rfloor} .$$
(5)

First, consider 3 different normal forms for non-degenerate forms.

Case (i) It is well-known that this Fermat-type $f_1 = x_0^d + x_1^d + x_2^d$ becomes an almost transitive $SL_3(\mathbb{C})$ -orbit, thus, smooth here. Case (ii) $f_2 = x_0^{d-1}x_1 + x_2^d$ (Unmixed-type). Say $s := \lfloor \frac{d}{2} \rfloor$. For $d \ge 4$, we have $2 \le s \le d - s \le d - 2$. Since the summands of f_2 separate the variables (i.e. unmixed-type),

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But, it is easy to see that I^2 has a minimal free resolution as

$$0 \to T(-6) \to T(-5)^6 \to T(-4)^6 \to I^2 \to 0$$
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which shows the Hilbert function of I^2 can be computed as

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$$= \begin{cases} 0 & (d \le 3) \\ \binom{d+2}{2} - 9 & (d \ge 4) \end{cases}.$$

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But, it is easy to see that I^2 has a minimal free resolution as

$$0 \to T(-6) \to T(-5)^6 \to T(-4)^6 \to I^2 \to 0$$
,

which shows the Hilbert function of I^2 can be computed as

$$H(I^{2}, d) = 6\binom{d-4+2}{2} - 6\binom{d-5+2}{2} + \binom{d-6+2}{2}$$
$$= \begin{cases} 0 & (d \le 3) \\ \binom{d+2}{2} - 9 & (d \ge 4) \end{cases}.$$

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 $f_3 = x_0^{d-2}x_1^2 + x_0^{d-1}x_2$ (Mixed-type). In this case, we similarly use a computation of dim $\hat{N}_{f_3}^{\vee}\sigma_3(X)$ via $(f_3^{\perp})_s \cdot (f_3^{\perp})_{d-s}$ to show the smoothness of f_3 .

Let $Q_1 := y_0 y_2 - \frac{d-1}{2} y_1^2 \in T_2$. We easily see that

$$f_3^{\perp} = \left(\{ Q_1, Q_2 = y_1 y_2, Q_3 = y_2^2 \} \bigcup \{ \text{other generators in degree} \ge d - 1 \} \right).$$

Let *I* be the ideal generated by three quadrics Q_1, Q_2, Q_3 . By the same reasoning as (ii), we have

$$\dim \hat{N}_{f_3}^{\vee} \sigma_3(X) = \dim(f_3^{\perp})_s \cdot (f_3^{\perp})_{d-s} = H(l^2, d) = \begin{cases} 0 & (d \le 3) \\ \\ \\ \begin{pmatrix} d+2 \\ 2 \end{pmatrix} - 9 & (d \ge 4) \end{cases}$$

because in this case I^2 also has the same minimal free resolution $0 \to T(-6) \to T(-5)^6 \to T(-4)^6 \to I^2 \to 0$. Hence, we obtain the smoothness of $\sigma_3(X)$ at f_3 .

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Now, time for degenerate forms D. When f_D is general,

 $f_D = x_0^d + \alpha x_1^d + \beta (x_0 + x_1)^d$ for some $\alpha, \beta \in \mathbb{C}^*$, so we have $F = y_0^2 y_1 - y_0 y_1^2$. Even for the case f_D being not general, we have $F = y_0^2 y_1$ up to change of coordinates, because the apolar ideal of this non-general f_D corresponds to the case with one multiple root on \mathbb{P}^1 (Comas-Seigurs, Landsberg-Teitler). Therefore, we obtain that

 $f_D^{\perp} = (F = y_0^2 y_1 - y_0 y_1^2 \text{ or } y_0^2 y_1, G)$ for some polynomial G of degree (d-1)

and that f_D^{\perp} as an ideal in $T = \mathbb{C}[y_0, y_1, \dots, y_n]$ has its degree parts $(f_D^{\perp})_{\lfloor \frac{d}{2} \rfloor}$ and $(f_D^{\perp})_{d-\lfloor \frac{d}{2} \rfloor}$, both of which are generated by F, y_2, \dots, y_n , since $d \ge 4$ so that $\lfloor \frac{d}{2} \rfloor, d - \lfloor \frac{d}{2} \rfloor < d - 1$.

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i) d = 4 case (i.e. $\lfloor \frac{d}{2} \rfloor = 2$): In this case, we have

$$\hat{N}_{f_D}^{\vee}\sigma_3(X) = (f_D^{\perp})_2 \cdot (f_D^{\perp})_2 = (y_2, \dots, y_n)_2 \cdot (y_2, \dots, y_n)_2 = (\{y_i y_j \mid 2 \le i, j \le n\})_4.$$

So, we get

$$\dim \hat{N}_{f_D}^{\vee} \sigma_3(X) = \dim T_4 - \dim \langle y_0^4, y_0^3 y_1, \cdots, y_1^4 \rangle - \dim \langle \{y_0^3 \cdot \ell, y_0^2 y_1 \cdot \ell, y_0 y_1^2 \cdot \ell, y_1^3 \rangle \\ = \binom{4+n}{4} - 5 - 4(n-1) .$$

This shows us that $\sigma_3(X)$ is singular at f_D if and only if $n \ge 3$, because the expected codimension is $\binom{4+n}{4} - 3n - 3$. ii) d = 5 case (i.e. $\lfloor \frac{d}{2} \rfloor = 2$): Recall that F is $y_0^2 y_1 - y_0 y_1^2$ or $y_0^2 y_1$, the cubic generator of f_D^{\perp} . Then,

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$$\dim \hat{N}_{f_D}^{\vee} \sigma_3(X) = \dim T_5 - \dim \left\langle y_0^5, y_0^4 y_1, \cdots, y_1^5 \right\rangle$$
$$- \dim \left\langle \left\{ y_0^4 \cdot \ell, y_0^3 y_1 \cdot \ell, y_0^2 y_1^2 \cdot \ell, y_0 y_1^3 \cdot \ell, y_1^4 \cdot \ell \right\} \setminus \left\{ y_0 F \cdot \ell, y_1 F \cdot \ell \right\} \right\rangle$$
$$= \binom{5+n}{5} - 6 - 3(n-1) = \text{expected codim}(\sigma_3(X), \mathbb{P}S^5 V) .$$

Computation conormal space II

iii) $d \ge 6$ case : Here, we have $\hat{N}_{f_D}^{\vee} \sigma_3(X) = (f_D^{\perp})_{\lfloor \frac{d}{2} \rfloor} \cdot (f_D^{\perp})_{d-\lfloor \frac{d}{2} \rfloor} = (F, y_2, \dots, y_n)_{\lfloor \frac{d}{2} \rfloor} \cdot (F, y_2, \dots, y_n)_{d-\lfloor \frac{d}{2} \rfloor}.$

$$\begin{split} \dim \hat{N}_{f_{D}}^{\vee} \sigma_{3}(X) \\ &= \dim T_{d} - \dim \left\langle \{y_{0}^{d-1} \cdot \ell, y_{0}^{d-2}y_{1} \cdot \ell, \dots, y_{1}^{d-1} \cdot \ell\} \setminus \{y_{0}^{d-4}F \cdot \ell, \dots, y_{1}^{d-4}F \cdot \ell \mid \ell \right. \\ &- \dim \left(\{y_{0}^{d}, y_{0}^{d-1}y_{1}, \dots, y_{1}^{d}\} \setminus \{y_{0}^{d-6} \cdot F^{2}, y_{0}^{d-7}y_{1} \cdot F^{2}, \dots, y_{1}^{d-6} \cdot F^{2}\} \right) \\ &= \binom{d+n}{d} - \{d - (d-3)\}(n-1) - \{(d+1) - (d-5)\} \\ &= \binom{d+n}{d} - 3(n-1) - 6 = \text{expected codim}(\sigma_{3}(X), \mathbb{P}S^{d}V) \;, \end{split}$$

which implies that $\sigma_3(X)$ is also smooth at f_D .

Conclusion

Theorem (Singularity of $\sigma_3(v_d(\mathbb{P}^n))$)

Let X be the n-dimensional Veronese variety $v_d(\mathbb{P}V)$ in \mathbb{P}^N with $N = \binom{n+d}{d} - 1$. Then, the following holds that the singular locus

 $\operatorname{Sing}(\sigma_3(X)) = \sigma_2(X)$

as a set for all (d, n) with $d \ge 3$ and $n \ge 2$ unless d = 4 and $n \ge 3$. In the exceptional case d = 4, for each $n \ge 3$ the singular locus Sing $(\sigma_3(v_4(\mathbb{P}V)))$ is $D \cup \sigma_2(v_4(\mathbb{P}V))$, where D denotes the locus of all the degenerate forms f (i.e. dim $\langle f \rangle = 2$) in $\sigma_3(v_4(\mathbb{P}V)) \setminus \sigma_2(v_4(\mathbb{P}V))$.

We can sum up all the relevant results into the following table:

$(\mathbf{k}, \mathbf{d}, \mathbf{n})$	$Sing\sigma_k(v_d(\mathbb{P}^n))$	Comment
$(\geq 2, \geq 2, 1)$	σ_{k-1}	Classical; case of binary forms
$(\geq 2, 2, \geq 1)$	σ_{k-1}	Symmetric matrice case
$(2, \ge 2, \ge 1)$	σ_1	Kanev
(3,3,2)	σ_2	Aronhold hypersurface
$(3, \ge 4, 2)$	σ_2	
$(3,3,\geq 3)$	σ_2	
$(3,4,\geq 3)$	$D\cup \sigma_2$	Only exceptional case $(d = 4)$
$(3, \ge 5, \ge 3)$	σ_2	

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Figure: Singular locus of $\sigma_k(v_d(\mathbb{P}^n))$

As an immediate corollary of our Theorem, we obtain defining equations of the singular locus in our third secant of Veronese embedding $\sigma_3(X)$.

Corollary

Let X be the n-dimensional Veronese embedding as above. The singular locus of $\sigma_3(X)$ is cut out by 3×3 -minors of the two symmetric flattenings $\phi_{d-1,1}$ and $\phi_{d-2,2}$ unless d = 4 and $n \ge 3$ case, in which the (set-theoretic) defining ideal of the locus is the intersection of the ideal generated by the previous 3×3 -minors and the ideal generated by 3×3 -minors of $\phi_{d-1,1}$ and 4×4 -minors of $\phi_{d-\lfloor \frac{d}{2} \rfloor, \lfloor \frac{d}{2} \rfloor}$.

▶ By our theorem, for ND(2)-varieties,

$$\deg(X) \ge \binom{e+2}{2}$$
$$\deg(X) = \binom{e+2}{2} \Leftrightarrow I_X \text{ has ACM 3-linear resolution.}$$

- ▶ Note that $\deg(X) = \binom{e+1}{1} \Leftrightarrow I_X$ has ACM 2-linear resolution and del Pezzo-Bertini classification gave the geometric classification.
- Problem : What is a geometric classification/or characterization of 'Minimal degree varieties of the second kind'?

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Example (Varieties having ACM 3-linear resolution)

(a) Hypercubic (e = 1);

- (b) 3-minors of 4 × 4 generic symmetric matrix (i.e. Sec(v₂(ℙ³)) ⊂ ℙ⁹);
- (c) 3-minors of 3 × (e + 2) sufficiently generic matrices (e.g. Sec(RNS)).
 - All the varieties with ACM 2-linear resolution are determinantal.
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Thank you!