

# The Frozen Phase of F-Theory

Lakshya Bhardwaj\*

(in collaboration with Morrison, Tachikawa and Tomasiello)

\*Perimeter Institute for Theoretical Physics

September 2017

Compactification to 6d  $\mathcal{N} = (1, 0)$

## Compactification to 6d $\mathcal{N} = (1, 0)$

Compactify F-theory on an elliptically fibered Calabi-Yau three-fold. The complex structure of the elliptic fiber is described in terms of a Weierstrass equation:

$$y^2 = x^3 + f x + g$$

where  $f$  and  $g$  are functions on the base (locally).

## Compactification to 6d $\mathcal{N} = (1, 0)$

Compactify F-theory on an elliptically fibered Calabi-Yau three-fold. The complex structure of the elliptic fiber is described in terms of a Weierstrass equation:

$$y^2 = x^3 + f x + g$$

where  $f$  and  $g$  are functions on the base (locally). The fiber develops singularities over a codimension one locus defined by vanishing of the discriminant of the above equation:

$$\Delta = 4f^3 + 27g^2 = 0$$

## Compactification to 6d $\mathcal{N} = (1, 0)$ (contd.)

The discriminant locus can be divided into irreducible complex curves. We refer to these irreducible components of the discriminant locus as simply “curves” in what follows.

## Compactification to 6d $\mathcal{N} = (1, 0)$ (contd.)

The discriminant locus can be divided into irreducible complex curves. We refer to these irreducible components of the discriminant locus as simply “curves” in what follows.

The type of singular fiber over each curve is determined by the behavior of  $f$ ,  $g$  and  $\Delta$  in the vicinity of the curve. The different behaviors were classified by Kodaira and further refined by Tate.

## Compactification to 6d $\mathcal{N} = (1, 0)$ (contd.)

The discriminant locus can be divided into irreducible complex curves. We refer to these irreducible components of the discriminant locus as simply “curves” in what follows.

The type of singular fiber over each curve is determined by the behavior of  $f$ ,  $g$  and  $\Delta$  in the vicinity of the curve. The different behaviors were classified by Kodaira and further refined by Tate.

Physically, a type of singular fiber in Kodaira’s list corresponds to a type of 7-brane wrapped on the curve. Each 7-brane carries an 8d gauge algebra which contributes in a particular way to the 6d gauge algebra visible at low energies.

## Traditional map from 8d to 6d

Traditionally, the map from the 8d gauge algebra living on 7-branes to the low-energy 6d gauge algebra has the following features:



## Traditional map from 8d to 6d

Traditionally, the map from the 8d gauge algebra living on 7-branes to the low-energy 6d gauge algebra has the following features:

- ▶ Each curve is associated either to a simple factor of the 6d gauge algebra or to no gauge algebra, and

## Traditional map from 8d to 6d

Traditionally, the map from the 8d gauge algebra living on 7-branes to the low-energy 6d gauge algebra has the following features:

- ▶ Each curve is associated either to a simple factor of the 6d gauge algebra or to no gauge algebra, and
- ▶ Each simple factor of 6d gauge algebra is associated to a single curve.

## Traditional map from 8d to 6d

Traditionally, the map from the 8d gauge algebra living on 7-branes to the low-energy 6d gauge algebra has the following features:

- ▶ Each curve is associated either to a simple factor of the 6d gauge algebra or to no gauge algebra, and
- ▶ Each simple factor of 6d gauge algebra is associated to a single curve.

This correspondence between simple factors of 6d gauge algebra and curves is critical for the anomaly cancellation of the 6d theory as we now explain.

## 6d Anomaly Cancellation

Coupling tensor multiplets to a 6d theory induces a counterterm in the anomaly polynomial which can be used to cancel certain kinds of anomalies in the 6d theory. This mechanism of anomaly cancellation is known as Green-Schwarz mechanism.

## 6d Anomaly Cancellation

Coupling tensor multiplets to a 6d theory induces a counterterm in the anomaly polynomial which can be used to cancel certain kinds of anomalies in the 6d theory. This mechanism of anomaly cancellation is known as Green-Schwarz mechanism. It was shown by Sdov that F-theory induces a particular counterterm which can be expressed geometrically as follows:

$$\mathrm{Tr}_{adj} F_a^2 - \mathrm{Tr}_{\rho_a} F_a^2 = 6(K \cdot D_a) \mathrm{tr} F_a^2 \quad (1)$$

$$\mathrm{Tr}_{adj} F_a^4 - \mathrm{Tr}_{\rho_a} F_a^4 = -3(D_a \cdot D_a) (\mathrm{tr} F_a^2)^2 \quad (2)$$

$$\mathrm{Tr}_{\rho_{ab}} (F_a^2 \otimes F_b^2) = (D_a \cdot D_b) \mathrm{tr} F_a^2 \mathrm{tr} F_b^2 \quad (3)$$

## Today's map from 8d to 6d

Today, we are going to consider compactifications where the correspondence between curves and simple factors of 6d gauge algebra is violated. We will see that in general:

## Today's map from 8d to 6d

Today, we are going to consider compactifications where the correspondence between curves and simple factors of 6d gauge algebra is violated. We will see that in general:

- ▶ Each curve is associated either to a semi-simple factor of the 6d gauge algebra or to no gauge algebra, and

## Today's map from 8d to 6d

Today, we are going to consider compactifications where the correspondence between curves and simple factors of 6d gauge algebra is violated. We will see that in general:

- ▶ Each curve is associated either to a semi-simple factor of the 6d gauge algebra or to no gauge algebra, and
- ▶ A simple factor of 6d gauge algebra can be associated to multiple curves.



## O7<sup>+</sup>

The star of the talk will be a new 7-brane in F-theory: the O7<sup>+</sup> plane of Type IIB string theory.

The star of the talk will be a new 7-brane in F-theory: the O7<sup>+</sup> plane of Type IIB string theory.

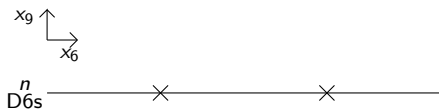
This 7-brane is not included in traditional F-theory compactifications. We will see that including O7<sup>+</sup> forces the violation of the correspondence between curves and simple factors of 6d gauge algebra.

# Goal

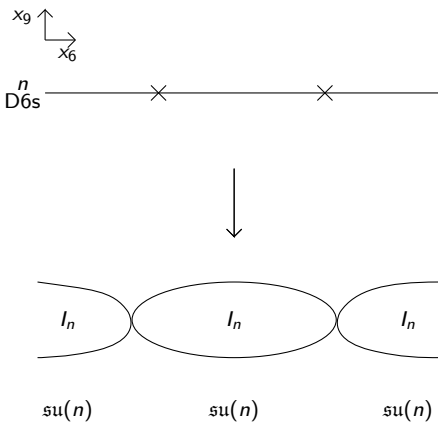
In this talk, our goal will be:

1. To understand why the correspondence is violated in the presence of  $O7^+$ ,
2. To understand how 6d anomaly cancellation works in the presence of  $O7^+$ , and
3. To see that one can construct new 6d SCFTs using F-theory compactifications involving  $O7^+$ .

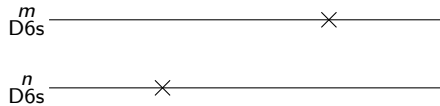
## Type IIA - Traditional



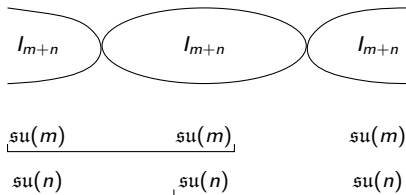
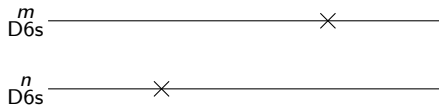
# Type IIA - Traditional



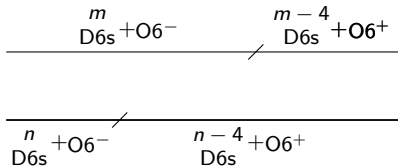
## Type IIA - Deformation of Traditional



# Type IIA - Deformation of Traditional



## Type IIA - Not Traditional





# Type IIA - Not Traditional

$$\frac{m}{D6s} + O6^- \quad / \quad \frac{m-4}{D6s} + O6^+$$

$$\frac{n}{D6s} + O6^- \quad / \quad \frac{n-4}{D6s} + O6^+$$



$$I_{m+n-4}^* \quad \text{---} \quad I_{2(m+n-4)}^{ns} \quad \text{---} \quad ?? (O7^+)$$

$$\underbrace{so(2m)} \quad \underbrace{so(2m)} \quad sp(m-4)$$

$$so(2n) \quad \underbrace{sp(n-4)} \quad \underbrace{sp(n-4)}$$

## More about $O7^+$

The RR-charge of  $O7^+$  is equal to that of  $O7^- + 8$  D7 branes. Also, both of them induce the same  $\mathbb{Z}_2$  orbifolding action on the geometry. These two facts imply that the Weierstrass equation governing both  $O7^+$  and  $O7^- + 8$  D7 branes is the same, and corresponds to  $I_4^*$  Kodaira singularity.

## More about $O7^+$

The RR-charge of  $O7^+$  is equal to that of  $O7^- + 8$  D7 branes. Also, both of them induce the same  $\mathbb{Z}_2$  orbifolding action on the geometry. These two facts imply that the Weierstrass equation governing both  $O7^+$  and  $O7^- + 8$  D7 branes is the same, and corresponds to  $I_4^*$  Kodaira singularity.

Witten further showed that the full F-theory geometry corresponding to  $O7^+$  is such that the singularity is not resolved. Hence, we have two physical situations with exactly the same F-theory geometry. It is still unknown which physical quantity distinguishes them in F-theory.

## Frozen singularities

One difference between the two situations is that the  $I_4^*$  singularity corresponding to  $O7^+$  cannot be resolved because there are no D7 branes in the Type IIB description that can be moved around. In other words,  $I_4^*$  corresponding to  $O7^+$  is **frozen**.

## Frozen singularities

One difference between the two situations is that the  $I_4^*$  singularity corresponding to  $O7^+$  cannot be resolved because there are no D7 branes in the Type IIB description that can be moved around. In other words,  $I_4^*$  corresponding to  $O7^+$  is **frozen**.

We can stack more D7 branes to find that each  $I_{n \geq 4}^*$  singularity in F-theory has two physical descriptions: the traditional one being  $O7^- + (n + 4)$  D7 branes and the partially frozen one being  $O7^+ + (n - 4)$  D7 branes. In what follows, we will denote a (partially) frozen  $I_n^*$  singularity as  $\hat{I}_n^*$ .

## Frozen singularities

One difference between the two situations is that the  $I_4^*$  singularity corresponding to  $O7^+$  cannot be resolved because there are no D7 branes in the Type IIB description that can be moved around. In other words,  $I_4^*$  corresponding to  $O7^+$  is **frozen**.

We can stack more D7 branes to find that each  $I_{n \geq 4}^*$  singularity in F-theory has two physical descriptions: the traditional one being  $O7^- + (n + 4)$  D7 branes and the partially frozen one being  $O7^+ + (n - 4)$  D7 branes. In what follows, we will denote a (partially) frozen  $I_n^*$  singularity as  $\hat{I}_n^*$ .

In a recent work, Tachikawa argued that these are the only examples of partially frozen singularities in F-theory.

## Anomaly Cancellation

Now we describe anomaly cancellation conditions for most general F-theory compactifications involving shared gauge algebras and frozen singularities. We will modify the original argument of Sadov to achieve this.

## Anomaly Cancellation

Now we describe anomaly cancellation conditions for most general F-theory compactifications involving shared gauge algebras and frozen singularities. We will modify the original argument of Sadov to achieve this.

Consider an F-theory compactification with irreducible components of discriminant locus being  $D_a$ . Each  $D_a$  carries an 8d gauge algebra  $\mathfrak{g}_a$ . Call the simple factors in the 6d gauge algebra as  $\mathfrak{h}_i$ . Each  $\mathfrak{h}_i$  is shared between some  $D_a$ . Define  $n_{i,a} = 1$  if  $\mathfrak{h}_i$  is shared with  $D_a$  and define  $n_{i,a} = 0$  otherwise.



## Gravitational Coupling without $O7^+$

## Gravitational Coupling without $O7^+$

When there are no  $O7^+$ , the stack of 7-branes on  $D_a$  has a ten dimensional coupling to gravity given by

$$\int B^{(4)} \left( -\frac{N_a}{24} \text{tr} R^2 \right) \delta^{(2)}(D_a)$$

where  $B^{(4)}$  is the chiral 4-form of type IIB,  $N_a$  is the order of vanishing of discriminant  $\Delta$  on  $D_a$ , and  $\delta^{(2)}(D_a)$  is the delta-function supported on  $D_a$ .

## Gravitational Coupling without $O7^+$

When there are no  $O7^+$ , the stack of 7-branes on  $D_a$  has a ten dimensional coupling to gravity given by

$$\int B^{(4)} \left( -\frac{N_a}{24} \text{tr} R^2 \right) \delta^{(2)}(D_a)$$

where  $B^{(4)}$  is the chiral 4-form of type IIB,  $N_a$  is the order of vanishing of discriminant  $\Delta$  on  $D_a$ , and  $\delta^{(2)}(D_a)$  is the delta-function supported on  $D_a$ .

In particular, a D7 brane contributes  $-1/24$  and an  $O7^-$  contributes  $-2/24$ .

## Gravitational Coupling with $O7^+$

It is known that the contribution of  $O7^+$  to this gravitational coupling is of opposite sign to that of  $O7^-$ , that is  $2/24$ . Since an  $\hat{I}_k^*$  singularity corresponds to  $O7^+ + (k - 4)D7$  branes, it contributes  $\frac{2}{24} - \frac{(k-4)}{24} = -\frac{k-6}{24}$  but  $-\frac{N_a}{24} = -\frac{k+6}{24}$ .

## Gravitational Coupling with $O7^+$

It is known that the contribution of  $O7^+$  to this gravitational coupling is of opposite sign to that of  $O7^-$ , that is  $2/24$ . Since an  $\hat{I}_k^*$  singularity corresponds to  $O7^+ + (k-4)D7$  branes, it contributes  $\frac{2}{24} - \frac{(k-4)}{24} = -\frac{k-6}{24}$  but  $-\frac{N_a}{24} = -\frac{k+6}{24}$ .

Hence, in the presence of  $O7^+$ , the above ten dimensional coupling can be written as

$$\int B^{(4)} \left( -\frac{N_a - 12s_a}{24} \text{tr} R^2 \right) \delta^{(2)}(D_a)$$

where  $s_a = 1$  when the curve  $D_a$  carries an  $O7^+$  and  $s_a = 0$  when it does not.

## Gauge Coupling due to a Single Curve

## Gauge Coupling due to a Single Curve

In the traditional case, the stack of 7-branes on  $D_a$  has a ten dimensional coupling given by

$$\int B^{(4)} (2 \operatorname{tr} F_a^2) \delta^{(2)}(D_a)$$

where  $F_a$  is the field strength valued in  $\mathfrak{g}_a$ .

## Gauge Coupling due to a Single Curve

In the traditional case, the stack of 7-branes on  $D_a$  has a ten dimensional coupling given by

$$\int B^{(4)} (2 \operatorname{tr} F_a^2) \delta^{(2)}(D_a)$$

where  $F_a$  is the field strength valued in  $\mathfrak{g}_a$ .

In our case, there are some holonomies on  $D_a$  breaking  $\mathfrak{g}_a$  to  $\oplus_i n_{i,a} \mathfrak{h}_i$ . Thus the gauge coupling becomes

$$\int B^{(4)} \left( 2 \sum_i n_{i,a} o_{i,a} \operatorname{tr} F_{i,a}^2 \right) \delta^{(2)}(D_a)$$

where  $F_{i,a}$  is the field strength valued in  $\mathfrak{h}_i$  and  $o_{i,a}$  describes how the Casimir of  $\mathfrak{g}_a$  is decomposed into Casimirs of  $\mathfrak{h}_i$ .



## Total Gauge Coupling

Now we have to account for the fact that  $h_i$  is actually shared among some  $D_a$ .

## Total Gauge Coupling

Now we have to account for the fact that  $h_i$  is actually shared among some  $D_a$ . From the 8d perspective, this is done by imposing a boundary condition on the gauge connection  $A_{i,a} = A_{i,b}$  at the points of intersection of  $D_a$  and  $D_b$ .

## Total Gauge Coupling

Now we have to account for the fact that  $\mathfrak{h}_i$  is actually shared among some  $D_a$ . From the 8d perspective, this is done by imposing a boundary condition on the gauge connection  $A_{i,a} = A_{i,b}$  at the points of intersection of  $D_a$  and  $D_b$ . This identifies all the different  $\mathfrak{h}_i$  living over different  $D_a$  into a single gauge algebra  $\mathfrak{h}_i$  living over multiple  $D_a$ . Thus we can write  $F_{i,a} = F_i$  for all  $a$  such that  $n_{i,a} = 1$ .

## Total Gauge Coupling

Now we have to account for the fact that  $\mathfrak{h}_i$  is actually shared among some  $D_a$ . From the 8d perspective, this is done by imposing a boundary condition on the gauge connection  $A_{i,a} = A_{i,b}$  at the points of intersection of  $D_a$  and  $D_b$ . This identifies all the different  $\mathfrak{h}_i$  living over different  $D_a$  into a single gauge algebra  $\mathfrak{h}_i$  living over multiple  $D_a$ . Thus we can write  $F_{i,a} = F_i$  for all  $a$  such that  $n_{i,a} = 1$ . And the total gauge coupling becomes

$$\int B^{(4)} \sum_i (2 \operatorname{tr} F_i^2) \delta^{(2)}(D_i)$$

where  $D_i = \sum_a n_{i,a} o_{i,a} D_a$  is the **gauge divisor** corresponding to  $\mathfrak{h}_i$ .

## 6d Counterterm

The full six dimensional coupling relevant for Green-Schwarz mechanism is then

$$\int_B B^{(4)} \left( \sum_a \frac{1}{2} (c_1(B) + s_a \delta^{(2)}(D_a)) \text{tr} R^2 + \sum_i 2 \delta^{(2)}(D_i) \text{tr} F_i^2 \right)$$

where the integral is performed only over the base  $B$ ,  $B^{(4)}$  has two legs on the base  $B$  and we have used the Calabi-Yau condition

$$c_1(B) = -\frac{1}{12} N_a \delta^{(2)}(D_a).$$

## 6d Counterterm

The full six dimensional coupling relevant for Green-Schwarz mechanism is then

$$\int_B B^{(4)} \left( \sum_a \frac{1}{2} (c_1(B) + s_a \delta^{(2)}(D_a)) \text{tr} R^2 + \sum_i 2 \delta^{(2)}(D_i) \text{tr} F_i^2 \right)$$

where the integral is performed only over the base  $B$ ,  $B^{(4)}$  has two legs on the base  $B$  and we have used the Calabi-Yau condition  $c_1(B) = -\frac{1}{12} N_a \delta^{(2)}(D_a)$ .

The contribution to anomaly polynomial is then a square of the coefficient of  $B^{(4)}$ :

$$I_{8,\text{GS}} = -\frac{1}{2} \int_B \left( \frac{1}{2} (c_1(B) + \sum_a s_a \delta^{(2)}(D_a)) \text{tr} R^2 + 2 \sum_i \delta^{(2)}(D_i) \text{tr} F_i^2 \right)^2$$

## Anomaly Cancellation Conditions

Expanding the square, we find the anomaly cancellation conditions:

$$\text{Tr}_{adj} F_i^2 - \text{Tr}_{\rho_i} F_i^2 = 6[(K + F) \cdot D_i] \text{tr} F_i^2 \quad (4)$$

$$\text{Tr}_{adj} F_i^4 - \text{Tr}_{\rho_i} F_i^4 = -3(D_i \cdot D_i) (\text{tr} F_i^2)^2 \quad (5)$$

$$\text{Tr}_{\rho_{ij}}(F_i^2 \otimes F_j^2) = (D_i \cdot D_j) \text{tr} F_i^2 \text{tr} F_j^2 \quad (6)$$

Notice that there are two differences from the traditional case:

## Anomaly Cancellation Conditions

Expanding the square, we find the anomaly cancellation conditions:

$$\mathrm{Tr}_{adj} F_i^2 - \mathrm{Tr}_{\rho_i} F_i^2 = 6[(K + F) \cdot D_i] \mathrm{tr} F_i^2 \quad (4)$$

$$\mathrm{Tr}_{adj} F_i^4 - \mathrm{Tr}_{\rho_i} F_i^4 = -3(D_i \cdot D_i) (\mathrm{tr} F_i^2)^2 \quad (5)$$

$$\mathrm{Tr}_{\rho_{ij}}(F_i^2 \otimes F_j^2) = (D_i \cdot D_j) \mathrm{tr} F_i^2 \mathrm{tr} F_j^2 \quad (6)$$

Notice that there are two differences from the traditional case:

1. On the right hand side, the gauge divisor  $D_i = \sum_a n_{i,a} o_{i,a} D_a$  appears instead of a single curve  $D_a$ .
2. The canonical divisor  $K$  is replaced by  $K + F$  where  $F = \sum_a s_a D_a$  is the divisor corresponding to the location of frozen singularities.



# Potential SCFT 1

## Potential SCFT 1

Consider a 6d  $\mathcal{N} = (1, 0)$  gauge theory having gauge group  $SU(n) \times SO(n + 8)$  with a hypermultiplet charged in the bifundamental and  $n - 8$  hypermultiplets charged in the fundamental of  $SU(n)$ .

## Potential SCFT 1

Consider a 6d  $\mathcal{N} = (1, 0)$  gauge theory having gauge group  $SU(n) \times SO(n+8)$  with a hypermultiplet charged in the bifundamental and  $n-8$  hypermultiplets charged in the fundamental of  $SU(n)$ .

The gauge anomaly can be cancelled by the Green-Schwarz mechanism and hence this theory can appear as a low-energy effective theory on the tensor branch of a 6d  $\mathcal{N} = (1, 0)$  SCFT.

## SCFT is Missing

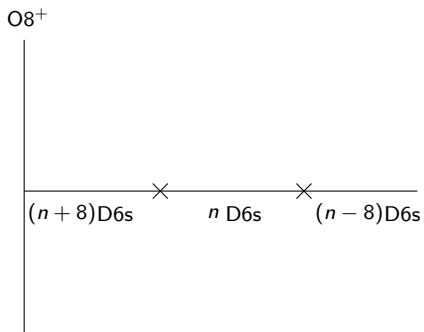
If this SCFT were to have a traditional F-theory realization without  $O7^+$ , the anomaly cancellation conditions will tell us that

$$D_{SU} \cdot D_{SO} = 2.$$

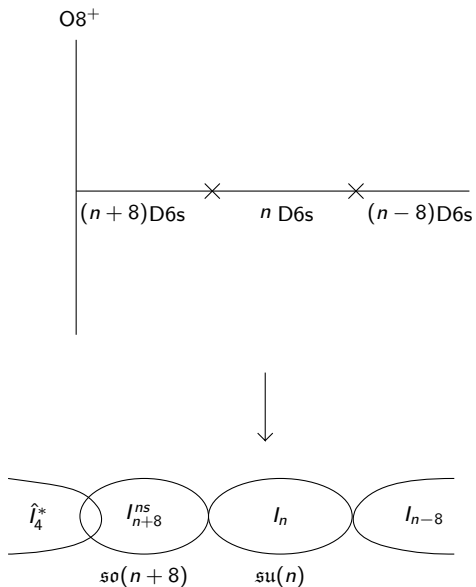
However, it is impossible for two components of discriminant locus to intersect twice in an F-theory configuration manufacturing a 6d SCFT.

Thus this SCFT does not appear in the classification of Heckman, Morrison, Rudelius and Vafa based on traditional F-theory compactifications.

## SCFT is Found Using $O7^+$



# SCFT is Found Using $O7^+$



## SCFT Satisfies Anomaly Cancellation

Label the curves carrying  $\hat{I}_4^*$ ,  $I_{n+8}^{ns}$ ,  $I_n$  and  $I_{n-8}$  as  $D_1$ ,  $D_2$ ,  $D_3$  and  $D_4$  respectively.

The frozen locus is  $F = D_1$ . The gauge divisors are  $D_{SU} = D_3$  and  $D_{SO} = 2D_2$ . The factor of 2 comes from the fact that  $\text{tr} F_{\text{su}(k)}^2 = 2 \text{tr} F_{\text{so}(k)}^2$ .

Using these divisors, it can be checked that the anomaly cancellation presented in this talk is satisfied. In particular,  $D_{SU} \cdot D_{SO} = 2$  as expected from gauge theory.

## A More Non-Trivial Example which is Compact

Consider an F-theory model with base  $\mathbb{P}^1 \times \mathbb{P}^1$  with coordinates  $z, w$ .



## A More Non-Trivial Example which is Compact

Consider an F-theory model with base  $\mathbb{P}^1 \times \mathbb{P}^1$  with coordinates  $z, w$ . Say we have an  $I_{12}^*$  singularity at  $z = 0$  and an  $\hat{I}_{12}^*$  singularity at  $w = 0$ .

## A More Non-Trivial Example which is Compact

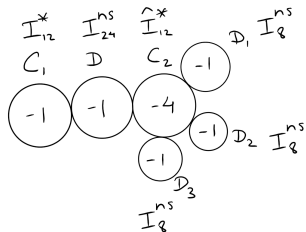
Consider an F-theory model with base  $\mathbb{P}^1 \times \mathbb{P}^1$  with coordinates  $z, w$ . Say we have an  $I_{12}^*$  singularity at  $z = 0$  and an  $\hat{I}_{12}^*$  singularity at  $w = 0$ . This model has a perturbative Type IIB dual in terms of O7 planes on  $T^4$ . The perturbative spectrum is:

- ▶ Gauge algebra  $\mathfrak{su}(8) \oplus \mathfrak{usp}(8)_1 \oplus \mathfrak{usp}(8)_2$ .
- ▶ A hypermultiplet in the bifundamental of each pair of gauge algebras.
- ▶ Two hypermultiplets in 2-index antisymmetric of  $\mathfrak{su}(8)$ .
- ▶ 1+4 tensor multiplets.

# F-Theory Construction

Since we have 4 tensor multiplets, we have 4 exceptional divisors.

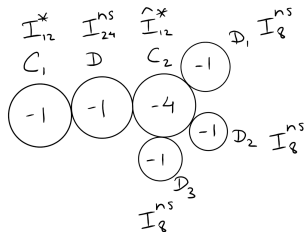
The F-theory geometry is as follows:



## F-Theory Construction

Since we have 4 tensor multiplets, we have 4 exceptional divisors.

The F-theory geometry is as follows:



The gauge divisors are:

- ▶  $2(C_1 + D)$  for  $\mathfrak{su}(8)$ .
- ▶  $D + \frac{1}{2}C_2 + D_1$  for  $\mathfrak{usp}(8)_1$ .
- ▶  $D_2 + \frac{1}{2}C_2 + D_3$  for  $\mathfrak{usp}(8)_2$ .



Thank you for your attention.