

(0, 4) dualities

KIAS-YITP Workshop 2015

Geometry in gauge theories and string theory

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in collaboration with

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[arXiv:1505.07110](https://arxiv.org/abs/1505.07110)

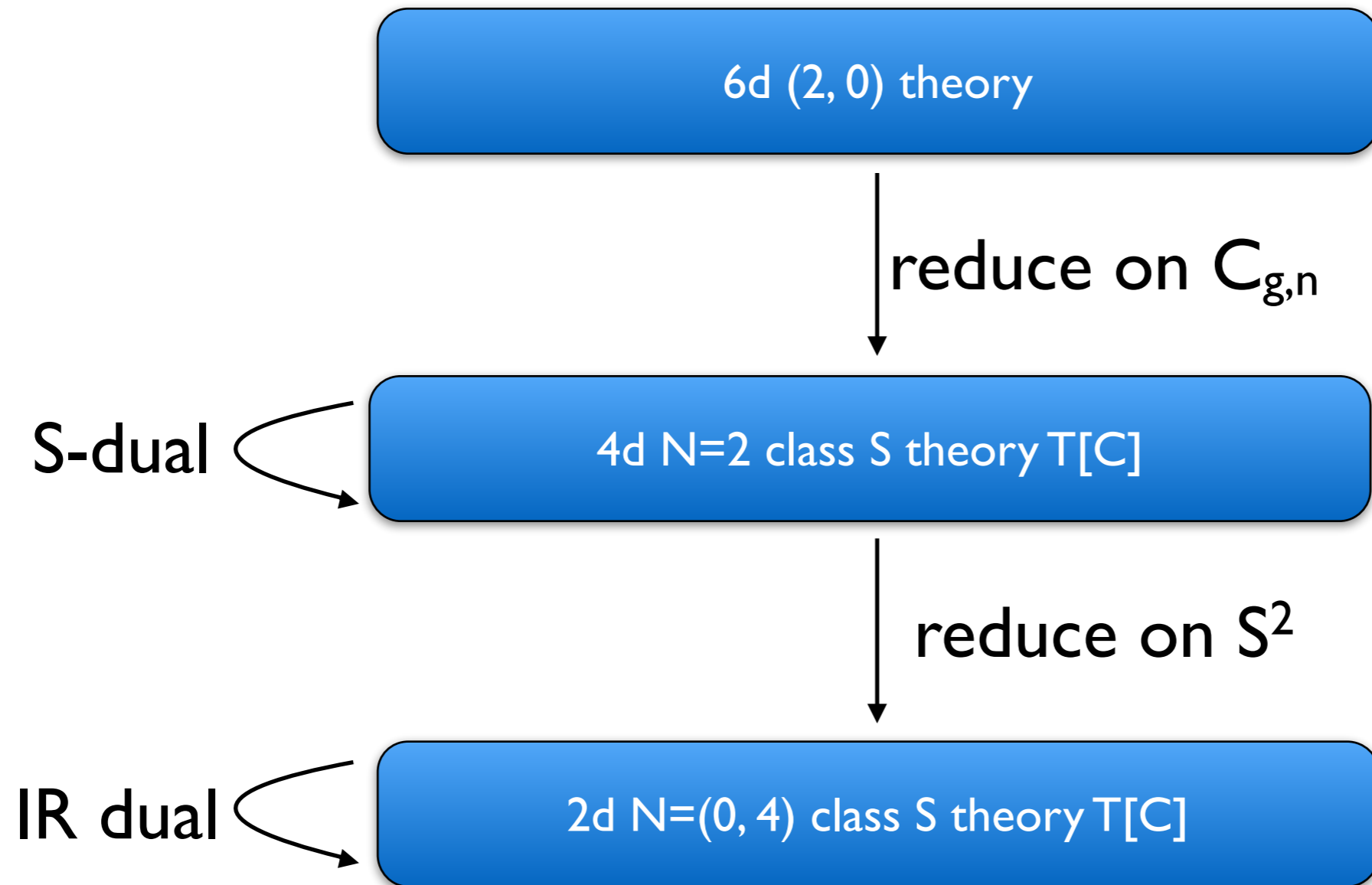
Motivation

- $N=(0, 4)$ gauge theories flow to SCFT
 - Recent developments on $N=(0, 2)$ theory - IR dualities [Gadde-Gukov-Putrov][Jia-Sharpe-Wu][Kutasov-Lin] See E.Sharpe's talk
 - Self-dual strings in 6d $(1, 0)$ $(2, 0)$ theories. "M-string" [Haghighat-Iqbal-Kozcaz-Lockart-Vafa] [Kim-Kim-Lee-Park-Vafa]....
 - Not so many literatures on $N=(0,4)$ non-abelian gauge theory. [Tong]
- M5-branes wrapped on a 4-manifold M_4
 - $d=2$ $(0, 2)$ SCFT $T[M_4] \iff$ TQFT on M_4 [Gadde-Gukov-Putrov]
 - Special class: $C_{g1,n1} \times C_{g2,n2}$ [Benini-Bobev]

Summary

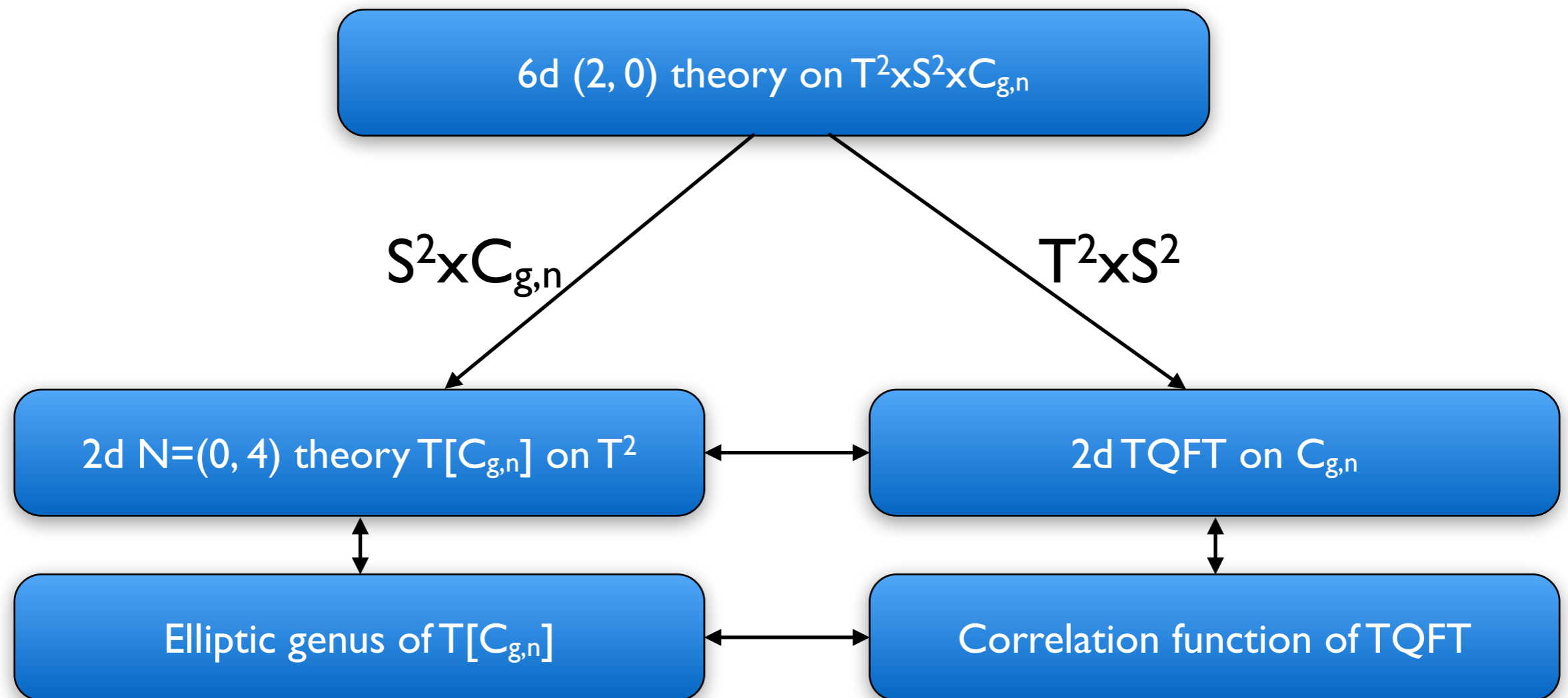
Summary (I)

[Gaiotto]
[Gaiotto-Moore-Neitzke]



Summary (2)

[Alday-Gaiotto-Tachikawa]
[Gadde-Pomoni-Rastelli-Razamat-Yan]
[Dimfte-Gaiotto-Gukov]
[Gadde-Gukov-Putrov]

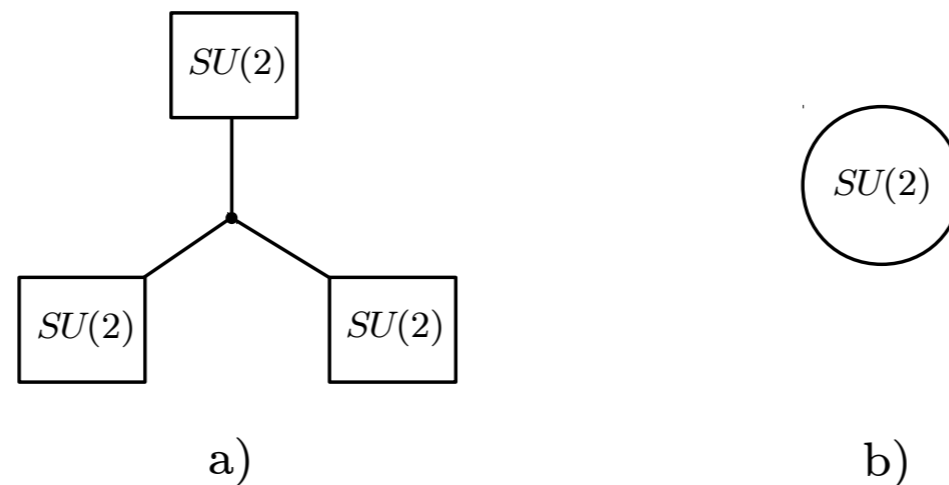


$SU(2)$ theories

$(0, 4)$ gauge theory

- $(0, 4)$ multiplets
 - **vector** multiplet = $(0, 2)$ vector + $(0, 2)$ Fermi
 (A_μ, λ_-) (ψ_-)
 - **(twisted) hypermultiplet** = $(0, 2)$ chiral + $(0, 2)$ chiral
 (ψ_+, ϕ)
 - **Fermi** multiplet = $(0, 2)$ Fermi
- Lagrangian for a given matter content fixed by SUSY?
- Gauge theories generally realize **non-compact** CFTs with **holomorphic bundle** over a **hyperkahler** target space

SU(2) building blocks



- a) Sphere with 3-punctures: $SU(2)^3$ -trifundamental half-hypermultiplet
 - R-charge for the **hypermultiplets** are zero.
 - CFT on the **Higgs branch** [Witten]
- b) Cylinder: $SU(2)$ **gauge multiplet**

Superconformal Index

[Gadde-Gukov]

[Benini-Eager-Hori-Tachikawa]

- We verify duality by computing the elliptic genus or superconformal index.

$$\mathcal{I}^{(0,2),NS}(\mathbf{a}; q) = \text{Tr}_{NS}(-1)^F q^{H_L} \bar{q}^{H_R - \frac{1}{2}J_R} \prod_i a_i^{f_i}$$

- Vector multiplet $\mathcal{I}_{\Lambda, G}^{(0,4),NS}(\mathbf{z}; q) = (\theta(q^{\frac{1+\alpha}{2}} v^{-2}; q))^{\text{rk } G} \prod_{\substack{\alpha \in \text{adj}_G \\ \alpha \neq 0}} \theta(q^{\frac{1+\alpha}{2}} v^{-2} \mathbf{z}^\alpha; q) \theta(\mathbf{z}^\alpha; q)$

- Hypermultiplet $\mathcal{I}_{\Phi', \mathcal{R}}^{(0,4),NS}(\mathbf{x}; q) = \prod_{\rho \in \mathcal{R}} \frac{1}{\theta(q^{\frac{1+\alpha}{4}} v^{-1} \mathbf{x}^\rho; q)}$

- Fermi multiplet $\mathcal{I}_{\Psi, \mathcal{R}}^{(0,4),NS}(\mathbf{x}; q) = \prod_{\rho \in \mathcal{R}} \theta(q^{\frac{1}{2}} \mathbf{x}^\rho; q)$

- α denotes a choice of R-charge. We choose $\alpha=1$, which sets R charge for the hypermultiplets to be zero.

SU(2) N_f=4 theory

- Can be obtained from a 4-punctured sphere
- We get a CFT on the Higgs branch = SO(8) 1-instanton moduli space
- Elliptic genus can be written in terms of SO(8) characters

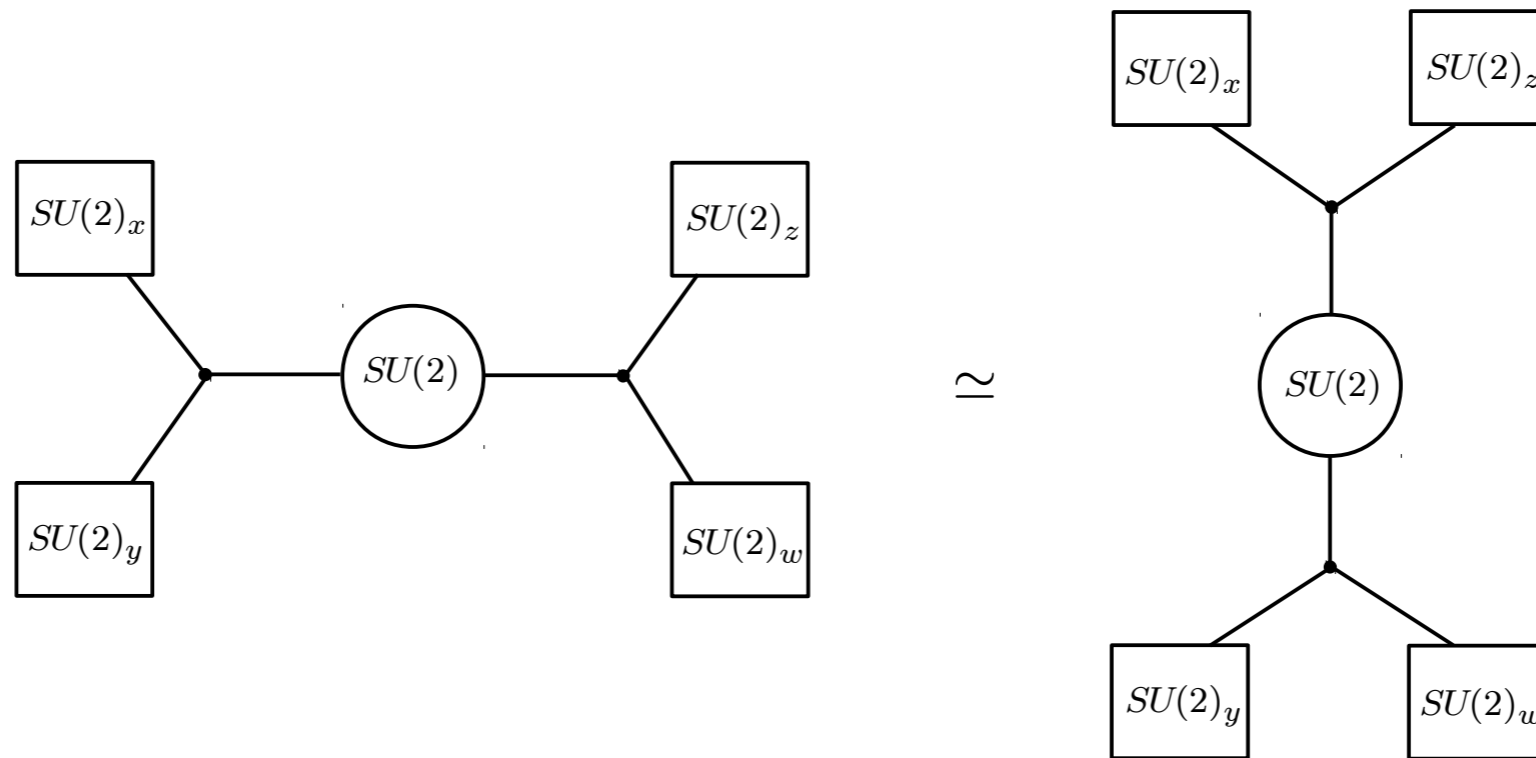
$$\mathcal{I}_{\text{JK}}^{(0,4)}(x, y, z, w; v; q) = \int \frac{d\xi}{2\pi i \xi} \mathcal{I}_{T_2}^{(0,4)}(x, y, \xi; v; q) \mathcal{I}_{V, SU(2)}^{(0,4)}(\xi; v, q) \mathcal{I}_{T_2}^{(0,4)}(1/\xi, z, w; v, q)$$

$$\begin{aligned} \mathcal{I}_{\text{JK}}^{(0,4)}(\mathbf{x}; v; q) &= (1 + 28 v^2 + 300 v^4 + 1925 v^6 + \dots) \\ &\quad + ((1 + 28) + (2 \cdot 28 + 300 + 350) v^2 + \dots) q + \dots \end{aligned}$$

- EG can be written in terms of **F₄-characters** as in 4d [\[Gadde-Pomoni-Rastelli-Razamat\]](#), so that Weyl group can act. **NO conserved current of F₄**

$$\begin{aligned} \mathcal{I}_{\text{JK}}^{(0,4)}(\mathbf{x}; v; q) &= (1 + (52 - 26 + 2 \cdot 1) v^2 + 300 v^4 + \dots) \\ &\quad + ((52 - 26 + 3 \cdot 1) + \dots) q + \dots \end{aligned}$$

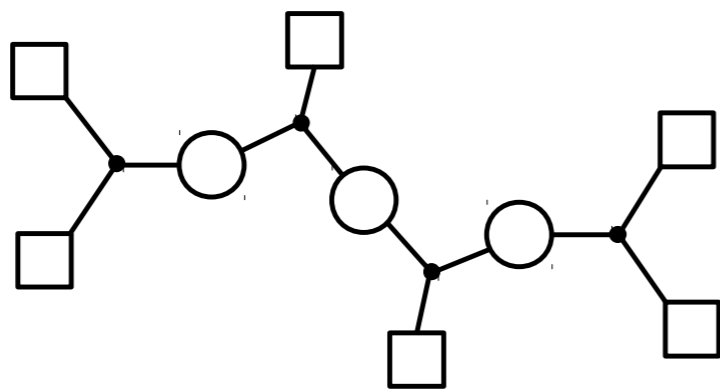
Crossing-symmetry



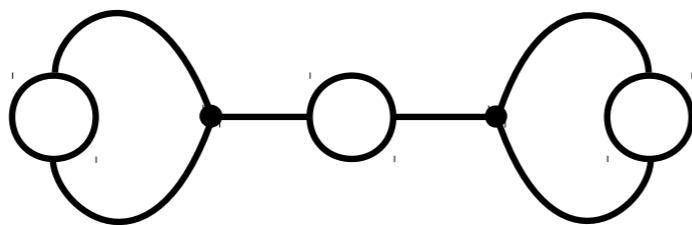
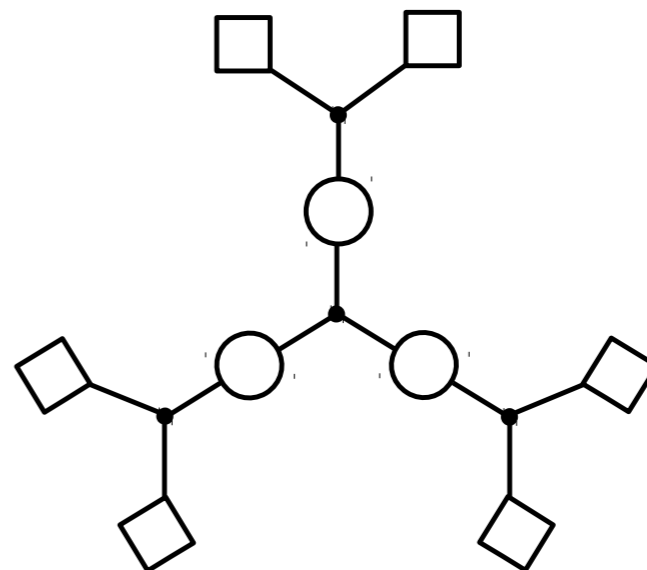
$$\mathcal{I}_{\text{crossing}}^{(0,4)}(x, y, z, w; v; q) - \mathcal{I}_{\text{crossing}}^{(0,4)}(x, z, y, w; v; q) = 0$$

- The “dual theory” has the **same** Lagrangian. Constraints on the spectrum.

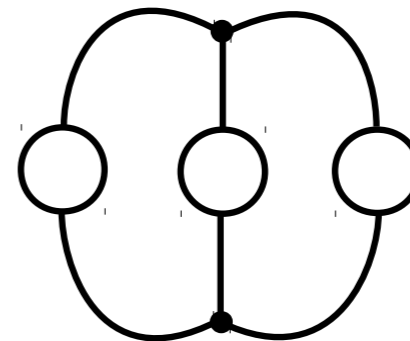
Generalized quivers



\cong



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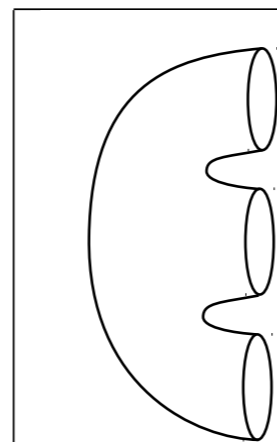


Elliptic genus and 2d TQFT

$$\mathcal{H}_{S^1}^{(0,4)} = \{f : \mathbb{C}^* \rightarrow \mathbb{C} \mid f(x) = f(1/x), f(qx) = q^4 x^8 f(x)\}$$

Building blocks

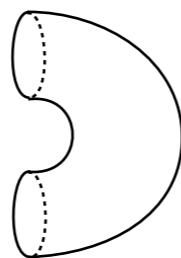
1) 3-point function



$$C : \mathbb{C} \longrightarrow \mathcal{H}_{S^1}^{(0,4)} \otimes \mathcal{H}_{S^1}^{(0,4)} \otimes \mathcal{H}_{S^1}^{(0,4)}$$

$$1 \longmapsto \mathcal{I}_{T_2}^{(0,4)}(x, y, z; v; q)$$

2) propagator

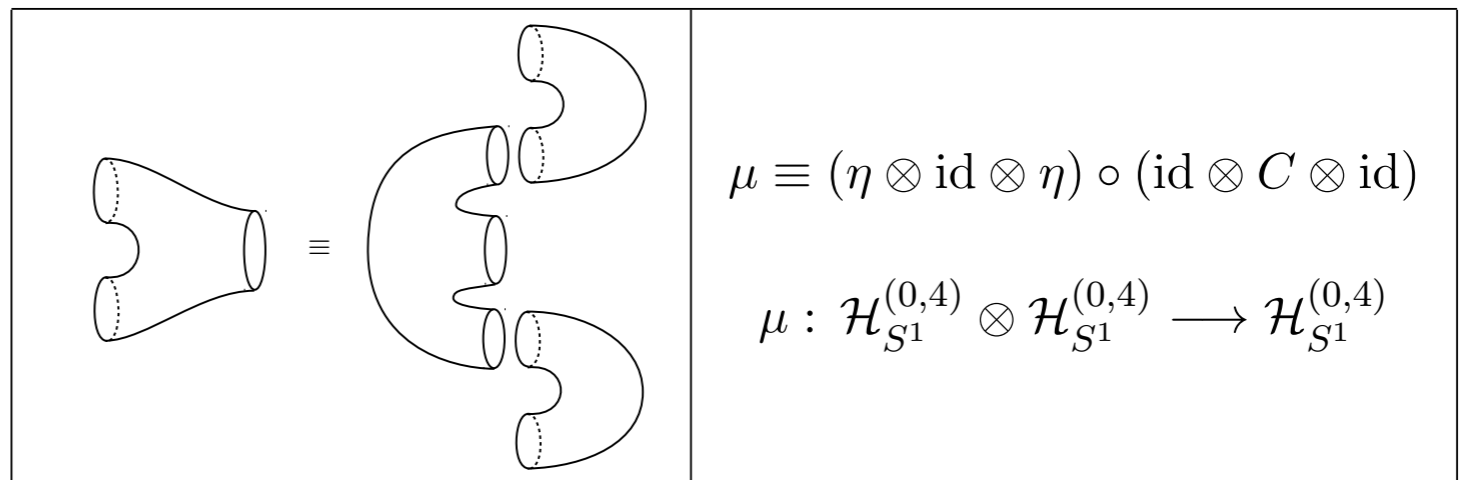


$$\eta : \mathcal{H}_{S^1}^{(0,4)} \otimes \mathcal{H}_{S^1}^{(0,4)} \longrightarrow \mathbb{C}$$

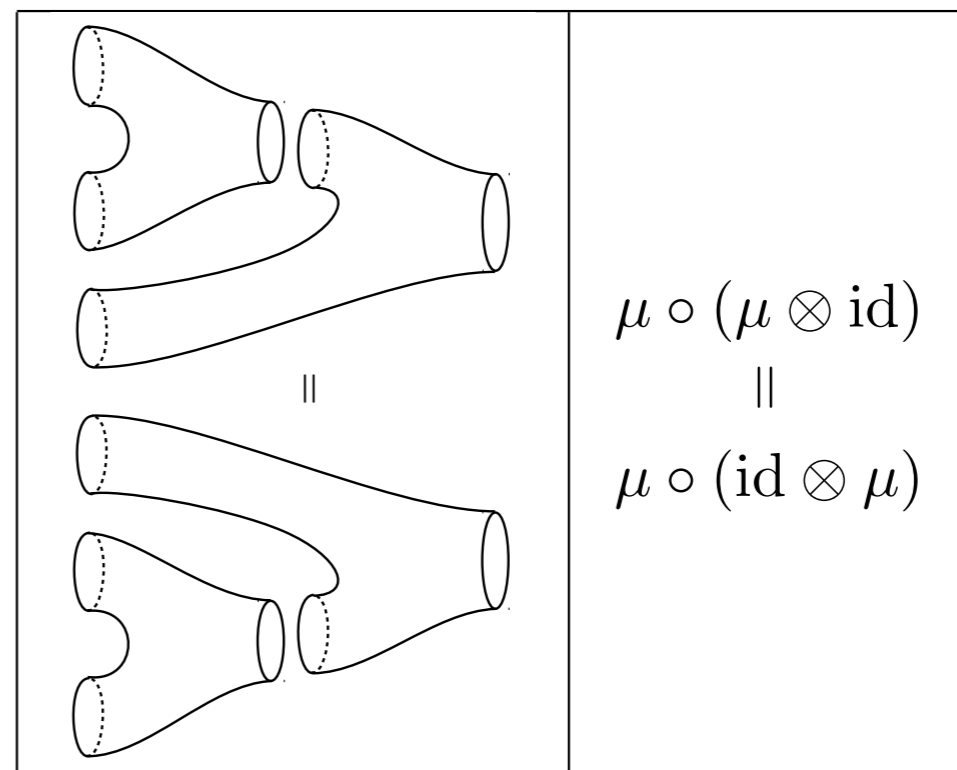
$$f(x, y) \longmapsto \int_{\text{JK}} \frac{d\xi}{2\pi i \xi} \mathcal{I}_{V, SU(2)}^{(0,4)}(\xi; v; q) f(\xi, \xi)$$

Elliptic genus and 2d TQFT

commutative product



associativity



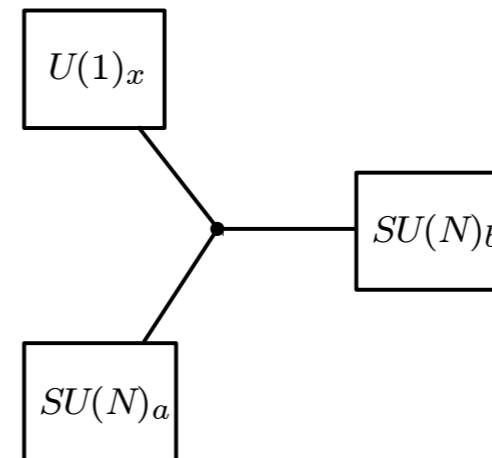
What TQFT is it?

- We have a complete formal definition, but no Lagrangian
- When $q=0$, it reduces to the Hilbert series of the Higgs branch (when $g=0$) or more precisely the **Hall-Littlewood index** of 4d $N=2$ theory [\[Gadde-Rastelli-Razamat-Yan\]](#)
- HL index is a limit of Macdonald index, where the TQFT is given by the **(q, t) -deformed** 2d Yang-Mills theory
- For non-zero q , we need to find an **elliptic version** of the **Hall-Littlewood polynomial**.

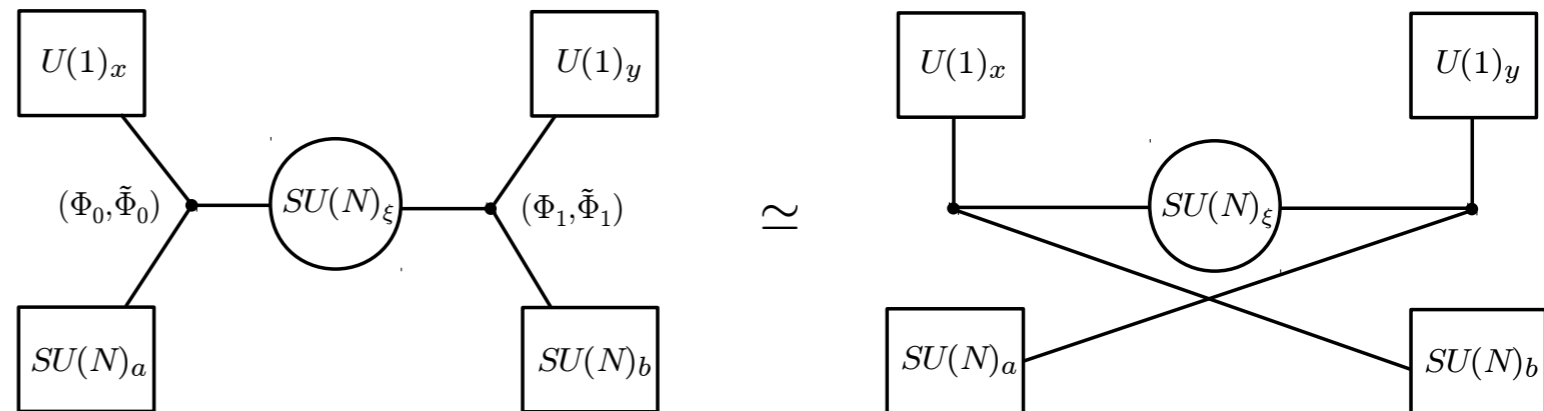
$SU(N)$ theories

$SU(N)$ $N_f=2N$

- Basic building block U_N
- 2 maximal, 1 minimal punctures



- A pair of U_N realizes $SU(N)$ theory

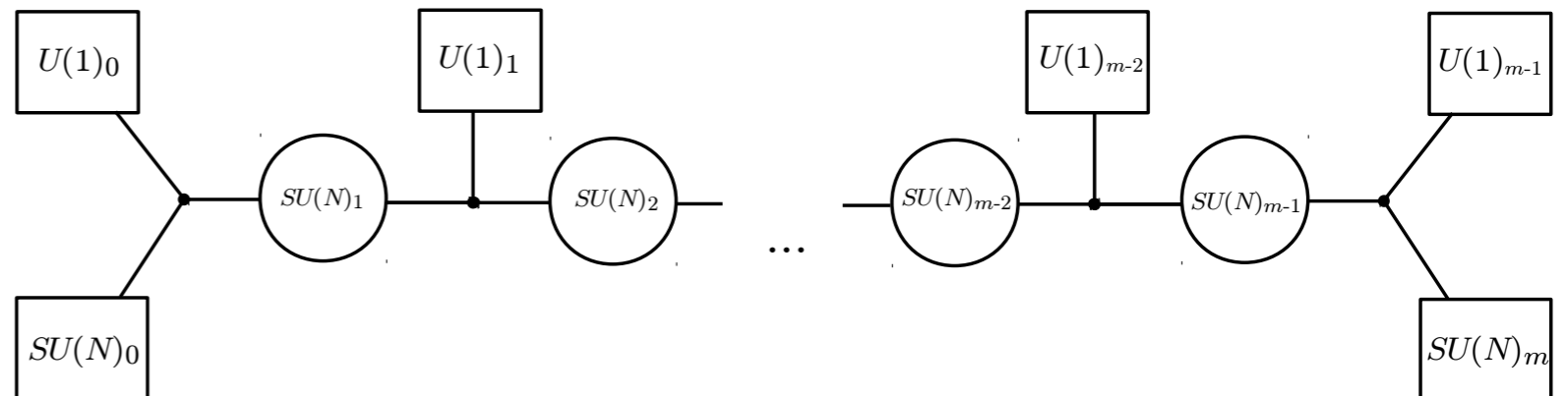


- Crossing-symmetry

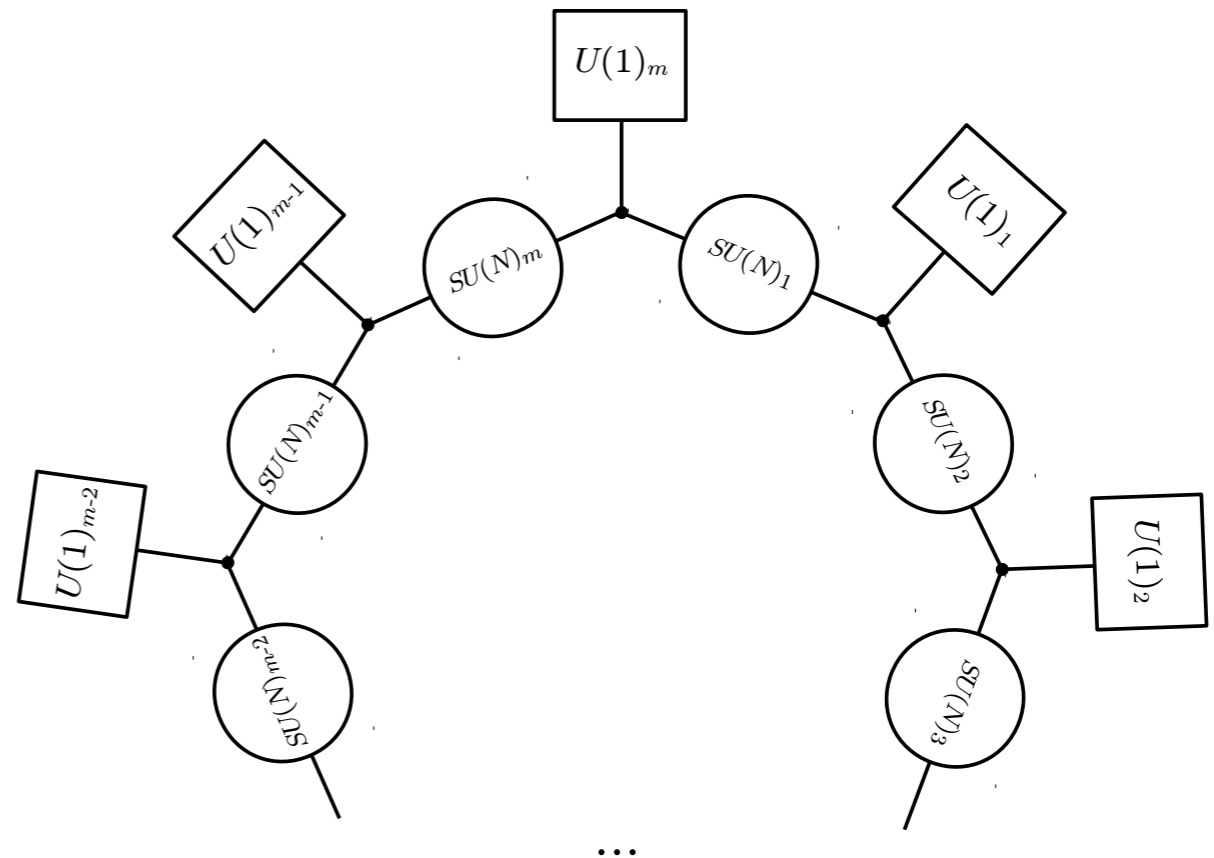
$$\mathcal{I}_{\text{Y}}^{(0,4)}(\mathbf{a}, \mathbf{b}, x, y) = \int_{\text{JK}} \left(\prod_{i=1}^{N-1} \frac{d\xi_i}{2\pi i \xi_i} \right) \mathcal{I}_{U_N}^{(0,4)}(\mathbf{a}, \boldsymbol{\xi}, x) \mathcal{I}_{V, SU(N)}^{(0,4)}(\boldsymbol{\xi}) \mathcal{I}_{U_N}^{(0,4)}(\boldsymbol{\xi}^{-1}, \mathbf{b}, y)$$

$$\mathcal{I}_{\text{Y}}^{(0,4)}(\mathbf{a}, \mathbf{b}, x, y) = \mathcal{I}_{\text{Y}}^{(0,4)}(\mathbf{b}, \mathbf{a}, x, y) = \mathcal{I}_{\text{Y}}^{(0,4)}(\mathbf{a}, \mathbf{b}, y, x)$$

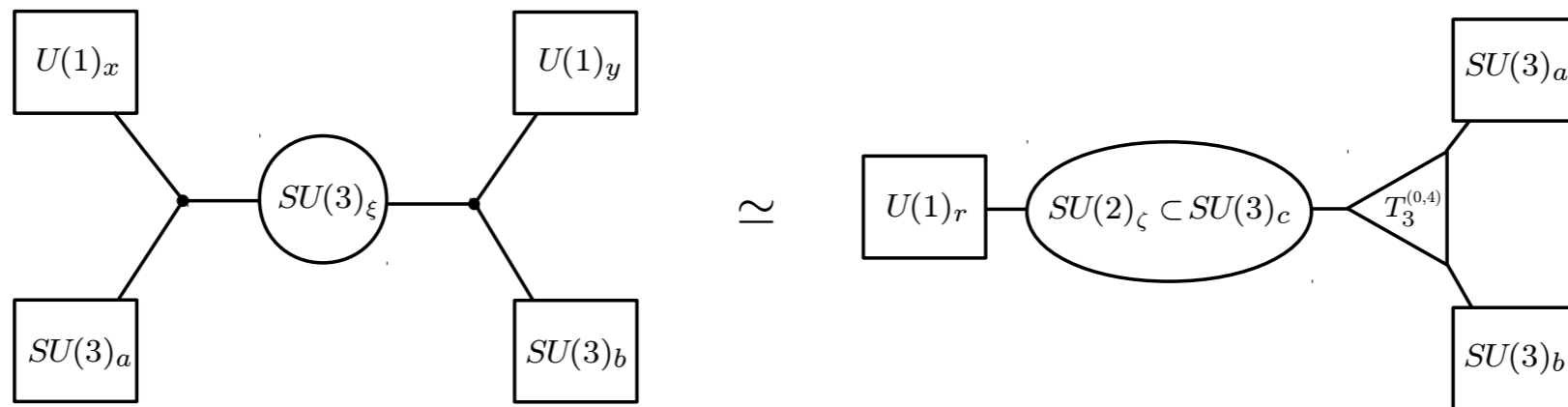
$SU(N)$ quiver theories



- Symmetric under the permutations of $U(1)_i$'s



Argyres-Seiberg duality



$$I_{\text{JK}}^{(0,4)}(\mathbf{a}, \mathbf{b}; x, y) = \frac{1}{2} \int \frac{d\zeta}{2\pi i \zeta} \frac{I_{V, SU(2)}^{(0,4)}(\zeta)}{\theta(vs^{\pm 1} \zeta^{\pm 1})} I_{T_3}^{(0,4)}(\mathbf{a}, \mathbf{b}, \mathbf{c}),$$

$$(c_1, c_2, c_3) \equiv (r\zeta, r/\zeta, 1/r^2), \quad x \equiv s^{1/3}/r, \quad y \equiv s^{-1/3}/r$$

(0,4) E₆ SCFT

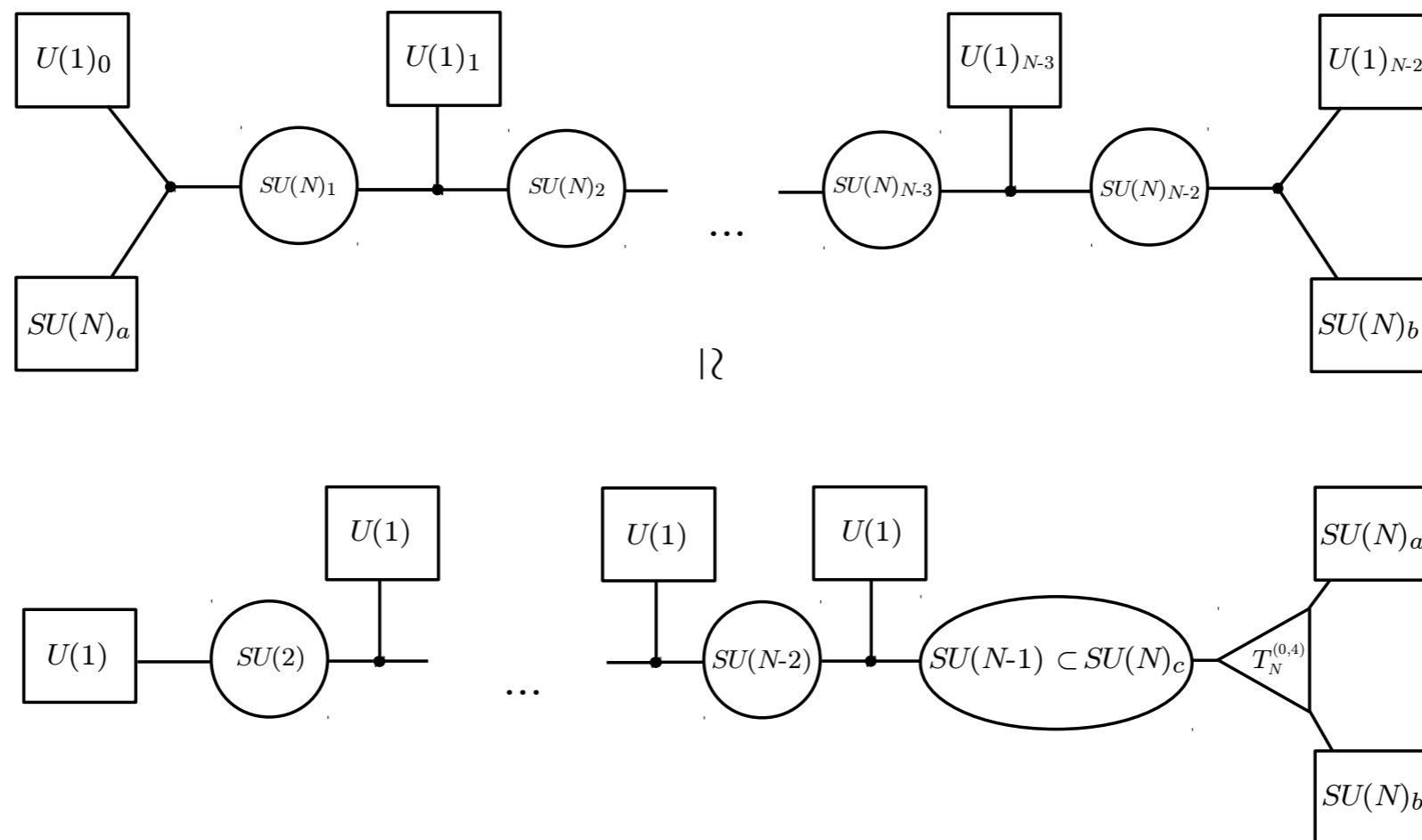
- We find that it is possible to invert the integral equations as in 4d N=2 [\[Gadde-Rastelli-Razamat-Yan\]](#)
- We prove an **inversion formula** analogous to [\[Spridonov-Warnaar\]](#) for the theta function integral.

$$I_{T_3}^{(0,4)}(\mathbf{a}, \mathbf{b}, \mathbf{c}) = \frac{1}{2 \theta(v^2 \zeta^{\pm 2})} \int_{\text{JK}} \frac{ds}{2\pi i s} \frac{\theta(s^{\pm 2}) \theta(v^{-2})}{\theta(v^{-1} s^{\pm 1} \zeta^{\pm 1})} I_{\rangle\langle}^{(0,4)}(\mathbf{a}, \mathbf{b}; x, y)$$

- This can be also understood as the index for an **N=(0, 2) theory** with SUSY enhancement in the IR. [\[Gadde-Razamat-Willet\]](#)
- It gives the elliptic genus of E₆ I-instanton string.

$$I_{T_3}^{(0,4)} = (1 + 78 v^2 + 2430 v^4 + \dots) \\ + ((1 + 78) + (1 + 2 \cdot 78 + 2430 + 2925) v^2 + \dots) q + \dots$$

$(0, 4) T_N$ SCFT and duality



$(2, 2)$ and $(0, 2)$ duality

New $N=(2, 2), (0, 2)$ duality

- Consider a $(2, 2)$ or $(0, 2)$ theory of the form

$$[SU(N)]_{(a, x)} \times SU(N) \times [SU(N)]_{(b, y)}$$

where the bifundamentals are given by chiral multiplets.

- We find that the following index is symmetric under $a \leftrightarrow b$ and $x \leftrightarrow y$

$$\mathcal{I}_{\text{JK}}^{\mathcal{N}}(\mathbf{a}, \mathbf{b}, x, y) = \frac{1}{N!} \int \prod_{i=1}^{N-1} \frac{d\xi_i}{2\pi i \xi_i} \mathcal{I}_{U_N}^{\mathcal{N}}(\mathbf{a}, \boldsymbol{\xi}, x) \mathcal{I}_{V, SU(N)}^{\mathcal{N}}(\boldsymbol{\xi}) \mathcal{I}_{U_N}^{\mathcal{N}}(\boldsymbol{\xi}^{-1}, \mathbf{b}, y)$$

- IR fixed point is invariant under $U(1)_x \leftrightarrow U(1)_y$

$(0, 2)$ duality and IdTQFT

- Let us define a Landau-Ginzburg model $K_N^{(0,2)}$ with N^2 chiral and a Fermi with superpotential $W = \Gamma \det \Phi$

- We have an identity

$$\frac{1}{N!} \int_{\text{JK}} \frac{d\xi}{2\pi i \xi} \mathcal{I}_{K_N}^{(0,2)}(\mathbf{a}, \xi^{-1}, x) \mathcal{I}_{V, SU(N)}^{(0,2)}(\xi) \mathcal{I}_{K_N}^{(0,2)}(\xi, \mathbf{b}^{-1}, 1/y) = \mathcal{I}_{K_N}^{(0,2)}(\mathbf{a}, \mathbf{b}^{-1}, x/y)$$

- The quiver theory of arbitrary copies collapses to single copy.
- It can be written as a IdTQFT on a line with two endpoints.

Summary

Summary

- We find dualities of “class S” $N=(0, 4)$ theories
- Elliptic genus of $(0, 4)$ theory $T[C] = \text{TQFT on } C$
 - Elliptic genus of E_6 1-instanton string
- New dualities of $N=(2, 2)$ and $(0, 2)$ quiver theories.

Thank you!