

Surface operators in 4d $N=2$ gauge theories

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Surface operators

* 2-dimensional (= co-dimension 2) defects in 4D field theories

* defined via

- singular behavior of fields near the surface

- 2D degrees of freedom localized on the surface

1/2-BPS surface operators in **4D** $\mathcal{N} = 2$ **SUSY** gauge theories

$$4D \mathcal{N} = 2 \implies 2D \mathcal{N} = (2, 2)$$

have been studied extensively using localization technique.

Labels of surface operators

Surface operators supporting 2D gauge theory

- **discrete labels** . . . choice of gauge group
- **continuous labels** . . . vortex counting (FI-theta) parameters

Labels of surface operators

Surface operators providing singular boundary condition

* $U(N)$ gauge field near $w = 0$ in $\mathbb{C}^2(z, w)$,

$$A \sim \text{diag}(\underbrace{\alpha_1, \dots, \alpha_1}_{n_1 \text{ times}}, \underbrace{\alpha_2, \dots, \alpha_2}_{n_2 \text{ times}}, \dots, \underbrace{\alpha_M, \dots, \alpha_M}_{n_M \text{ times}}) d\theta$$

$(\theta = \arg w)$

- **discrete labels** ... Levi subgroup $\mathbb{L} = U(n_1) \times \dots \times U(n_M)$
- **continuous labels** ... $(\alpha_1, \dots, \alpha_M)$ ($2\pi > \alpha_1 > \dots > \alpha_M \geq 0$)

Class S theories

N M5-branes wrapped on $M_4 \times \Sigma$

When $M_4 = \mathbb{R}_{\epsilon_1, \epsilon_2}^4$, A_{N-1} W-algebra

$M_4 = S_b^4$, A_{N-1} Toda CFT arises on Σ .

Surface operators on $C_2 \subset M_4$ in class S theories :

1. Co-dimension 4 defects in (2,0) theory

M2-branes ending at $C_2 \times \{\text{pt}\} \subset M_4 \times \Sigma$

... Toda degenerate insertion at a point in Σ .

2. Co-dimension 2 defects in (2,0) theory

M5-branes intersecting at $C_2 \times \Sigma \subset M_4 \times \Sigma$

... change of CFT on Σ .

Surface operators

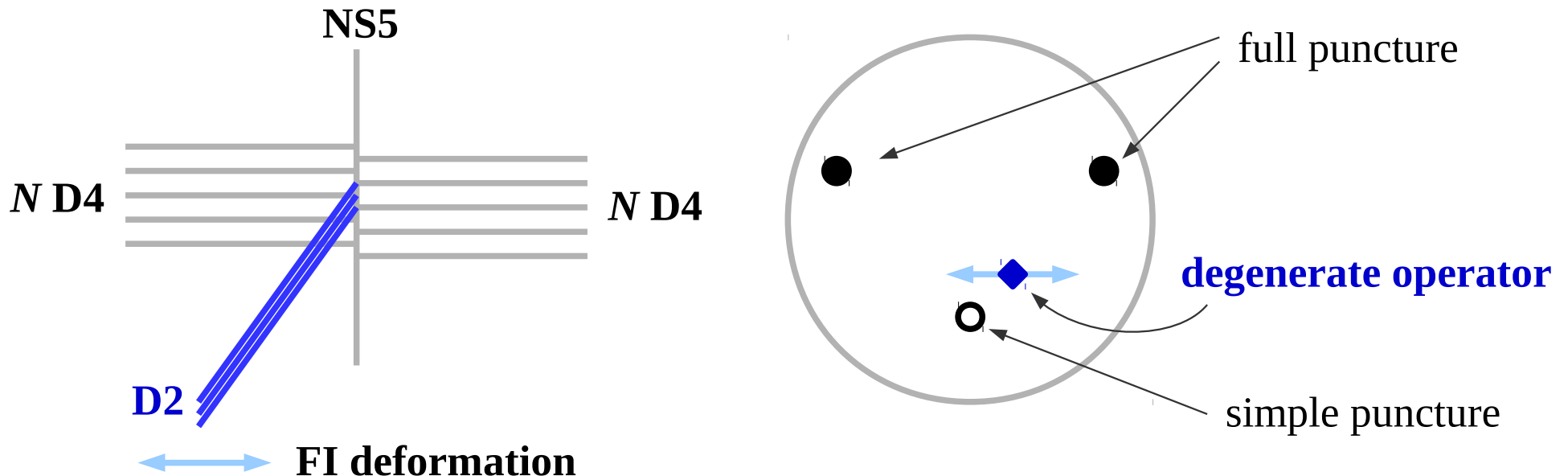
made of co-dimension 4 defects

Surface operators from co-dimension 4 defects

Gomis-Le Floch '14

Identified the worldvolume theory of the surface operators put in the 4D theory of free N^2 hypermultiplets.

Obtained the expected Toda degenerate correlators.



Degenerate Toda representations

A_{N-1} Toda representations are labeled by N-component momentum

$$\vec{a} = (a_1, \dots, a_N); \quad \sum_i a_i = 0.$$

Fully degenerate representations have discrete, pure imaginary momentum

$$\vec{a} = ib(\vec{\rho} + \vec{\lambda}_1) + ib^{-1}(\vec{\rho} + \vec{\lambda}_2)$$

$\vec{\rho}$: $SU(N)$ Weyl vector

$\vec{\lambda}_1, \vec{\lambda}_2$: highest weights of $SU(N)$ reps

They correspond to two intersecting surface operators

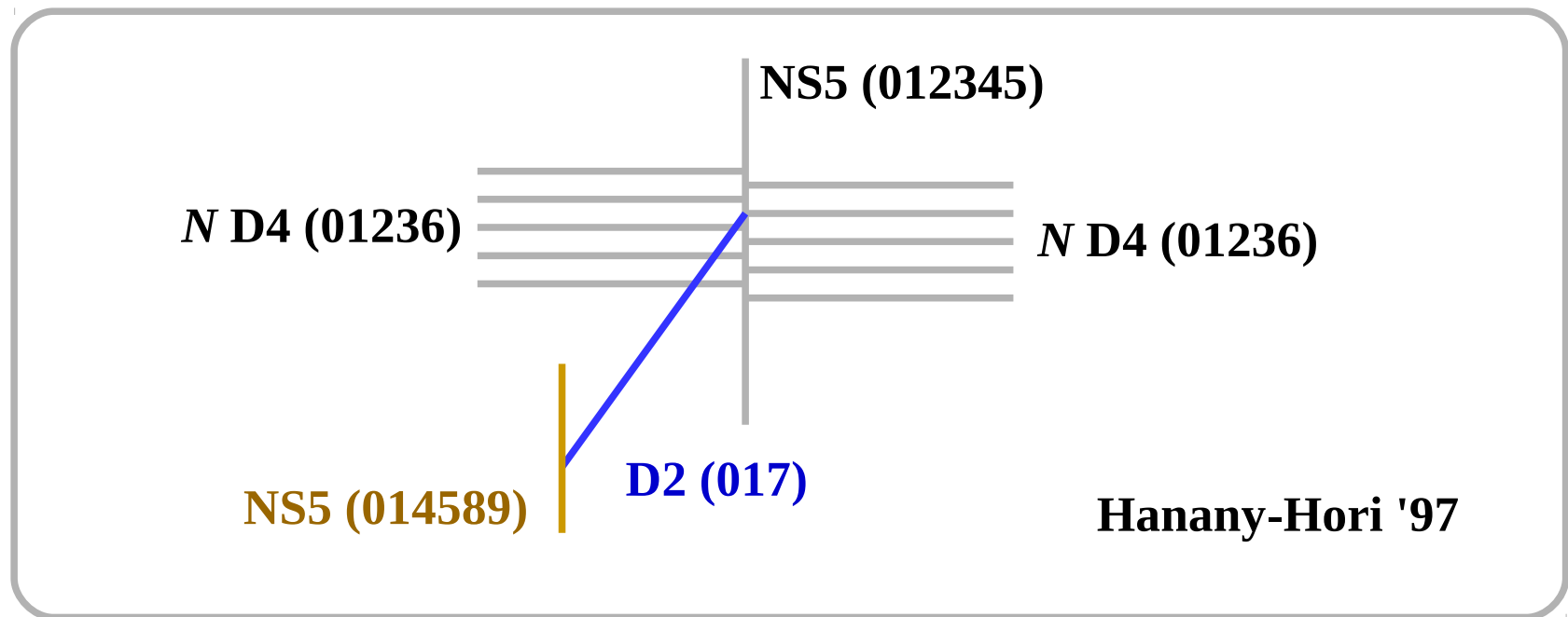
$$\mathcal{O}_{\vec{\lambda}_1}(x_3 = x_4 = 0), \quad \mathcal{O}_{\vec{\lambda}_2}(x_1 = x_2 = 0).$$

Surface operators are labeled by $SU(N)$ representations.

Surface operator: fundamental rep

If it is near a simple puncture, the 2D theory is

$\mathcal{N} = (2, 2)$ SQED with N electrons and N positrons.



D4 positions gauge the global symmetry of the 2D theory

$$SU(N) \times SU(N) \times U(1).$$

Partition function on $S^2 \subset S^4$

* 2D N -flavor SQED : (Coulomb branch localization)

$$Z_{2D} = \sum_{h \in \mathbb{Z}/2} \int \frac{d\sigma}{2\pi} z^{i\sigma+h} \bar{z}^{i\sigma-h} \prod_{s=1}^N \frac{\Gamma(-h - i\sigma + im_s)}{\Gamma(1 - h + i\sigma - im_s)} \cdot \frac{\Gamma(h + i\sigma - i\tilde{m}_s)}{\Gamma(1 + h - i\sigma + i\tilde{m}_s)}$$

$(z = e^{-2\pi\zeta + i\theta})$

* 4D hypermultiplets :

$$Z_{4D} = \prod_{s,t=1}^N \Upsilon^{-1}\left(\frac{Q}{2} - im_s^{(4)} + i\tilde{m}_t^{(4)}\right)$$

$$\Upsilon(x) \equiv \prod_{m,n \geq 0} (x + mb + nb^{-1})(x - Q - mb - nb^{-1})$$

* It turns out $m_s = bm_s^{(4)} - \frac{i}{4}(1 - b^2)$, $\tilde{m}_s = b\tilde{m}_s^{(4)} + \frac{i}{4}(1 - b^2)$.

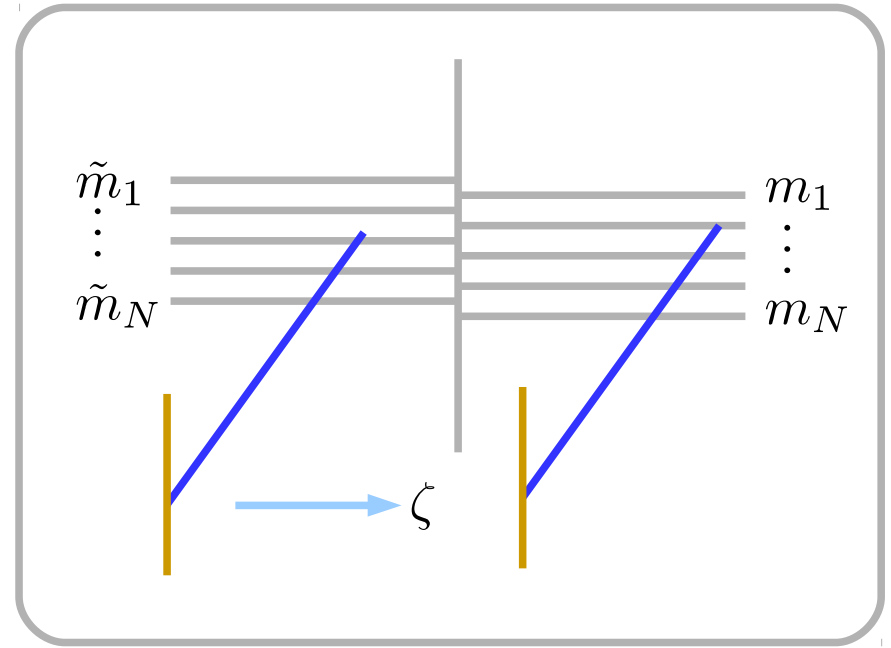
Partition function on $S^2 \subset S^4$

* contour integral = sum of residues over poles

$$\zeta > 0 \Rightarrow \sigma = m_s - i|h| - i\mathbb{Z}_{\geq 0}$$

$$\zeta < 0 \Rightarrow \sigma = \tilde{m}_s + i|h| + i\mathbb{Z}_{\geq 0}$$

$$(s = 1, \dots, N)$$



Higgs branch formula for ($\zeta > 0$)

$$Z_{2D} = \sum_{t=1}^N (x\bar{x})^{im_t} \frac{\prod_{s \neq t}^N \gamma(im_t - im_s)}{\prod_{s=1}^N \gamma(1 - im_t + i\tilde{m}_s)} F_t(m, \tilde{m}|x) F_t(m, \tilde{m}|\bar{x}),$$

$$x \equiv z(-1)^N, \quad F_t(m, \tilde{m}|x) = \sum_{n \geq 0} \prod_{s=1}^N \frac{(im_t - i\tilde{m}_s)_n}{(1 - im_s + im_t)_n} x^n.$$

Comparison

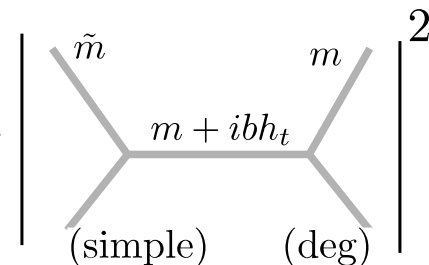
Partition function on $S^2 \subset S^4$

$$Z = Z_{4D} \cdot \sum_{t=1}^N \frac{\prod_{s \neq t}^N \gamma(im_t - im_s)}{\prod_{s=1}^N \gamma(1 - im_t + im_s)} (x\bar{x})^{im_t} F_t(m, \tilde{m}|x) F_t(m, \tilde{m}|\bar{x}),$$

Toda correlator

$$\langle V_{\tilde{m}}^{\text{full}}(\infty) V^{\text{simple}}(1) V^{\text{deg}}(x) V_m^{\text{full}}(0) \rangle$$

$$= \sum_{t=1}^N C(\tilde{m}, \text{simple}, m + ibh_t) \cdot C(m + ibh_t, \text{deg}, m) \cdot$$



h_1, \dots, h_N : fundamental weights of $SU(N)$

Comparison

N Higgs branch vacua = N D4-branes the D2 can end on
= N intermediate channels

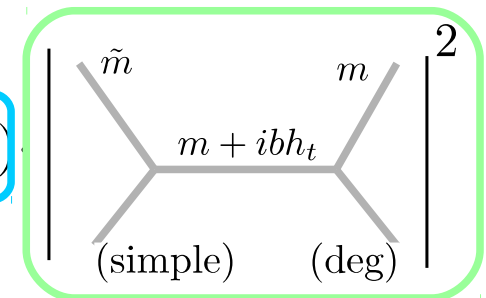
Partition function on $S^2 \subset S^4$

$$Z = Z_{4D} \cdot \sum_{t=1}^N \frac{\prod_{s \neq t}^N \gamma(im_t - im_s)}{\prod_{s=1}^N \gamma(1 - im_t + i\tilde{m}_s)} (x\bar{x})^{im_t} F_t(m, \tilde{m}|x) F_t(m, \tilde{m}|\bar{x}),$$

Toda correlator

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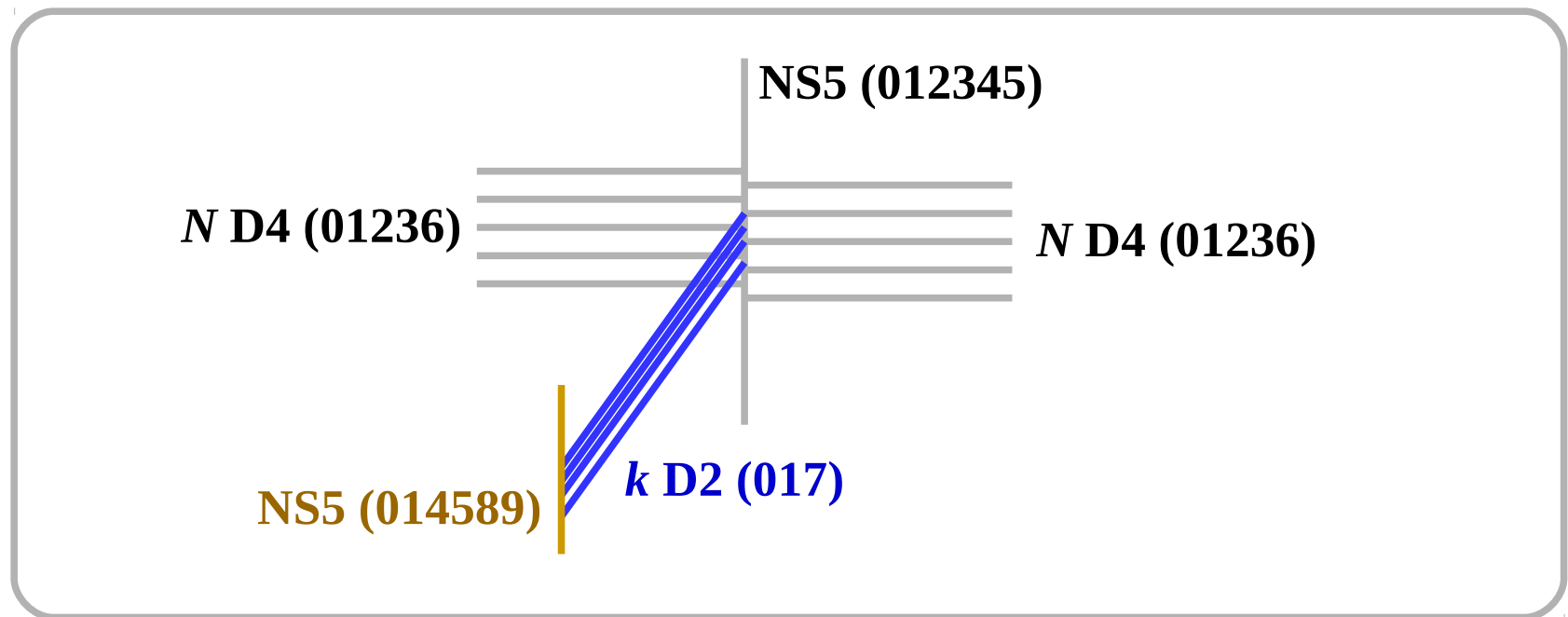


h_1, \dots, h_N : fundamental weights of $SU(N)$

Surface operator: k -th anti-sym rep

If it is near a simple puncture, the 2D theory is

$\mathcal{N} = (2, 2) U(k)$ **SQCD** with N fundamentals and N anti-fundamentals.



D4 positions gauge the global symmetry of the 2D theory

$$SU(N) \times SU(N) \times U(1).$$

Partition function on $S^2 \subset S^4$

* 2D N -flavor $SU(k)$ SQCD : Coulomb branch localization

$$\begin{aligned}
 Z_{\text{SQCD}} &= \frac{1}{k!} \sum_{h \in (\mathbb{Z}/2)^k} \int \frac{d^k \sigma}{(2\pi)^k} z^{\text{Tr}(i\sigma+h)} \bar{z}^{\text{Tr}(i\sigma-h)} \prod_{i < j} [(\sigma_i - \sigma_j)^2 + (h_i - h_j)^2] \\
 &\quad \cdot \prod_{j=1}^k \prod_{s=1}^N \frac{\Gamma(-h_j - i\sigma_j + im_s)}{\Gamma(1 - h_j + i\sigma_j - im_s)} \frac{\Gamma(h_j + i\sigma_j - i\tilde{m}_s)}{\Gamma(1 + h_j - i\sigma_j + i\tilde{m}_s)} \\
 &= \frac{1}{k!} \prod_{i < j}^k [-(z_i \partial_{z_i} - z_j \partial_{z_j})(\bar{z}_i \partial_{\bar{z}_i} - \bar{z}_j \partial_{\bar{z}_j})] \prod_{j=1}^k Z^{(\text{SQED})}(m, \tilde{m}, z_i, \bar{z}_i) \Big|_{z_i=z} \\
 &= \dots, \text{ integration contours can be closed}
 \end{aligned}$$

Higgs branch formula for $(\zeta > 0)$

$$Z = \sum_{\{t\}} (x\bar{x})^{i \sum_i m_{t_i}} \frac{\prod_{u \in \{t\}} \prod_{s \in \overline{\{t\}}} \gamma(im_s - im_u)}{\prod_{u \in \{t\}} \prod_s \gamma(1 - im_u + im_s)} F_{\{t\}}(m, \tilde{m}|x) F_{\{t\}}(m, \tilde{m}|\bar{x}),$$

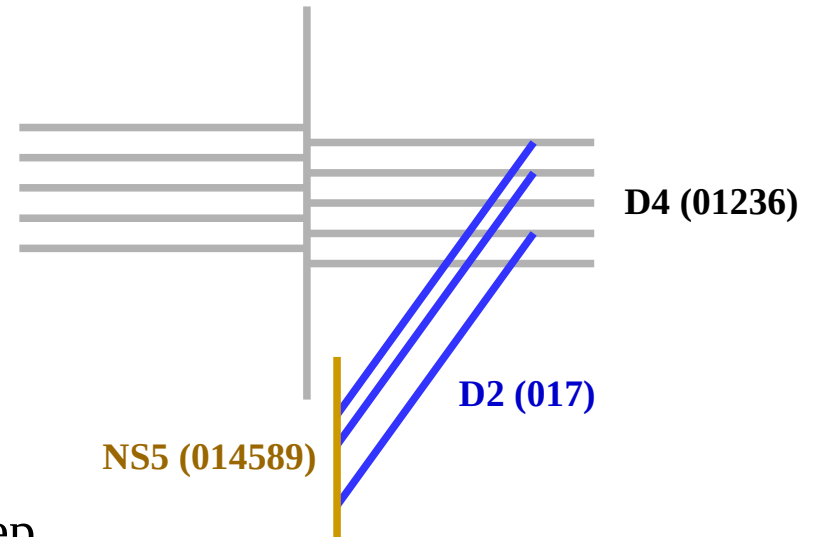
$$F_{\{t\}}(m, \tilde{m}|x) \equiv \sum_{k_1, \dots, k_N \geq 0} x^{\sum k_i} \prod_{i < j} \frac{k_i - k_j + im_{t_i} - im_{t_j}}{im_{t_i} - im_{t_j}} \prod_{i=1}^N \frac{\prod_{u=1}^{N_a} (im_{t_i} - im_u)^{k_i}}{\prod_{u=1}^{N_f} (1 - im_u + im_{t_i})^{k_i}}$$

Higgs branch vacua are labeled by

$$\{t\} = \{t_1, \dots, t_k\} \subset \{1, \dots, N\}$$

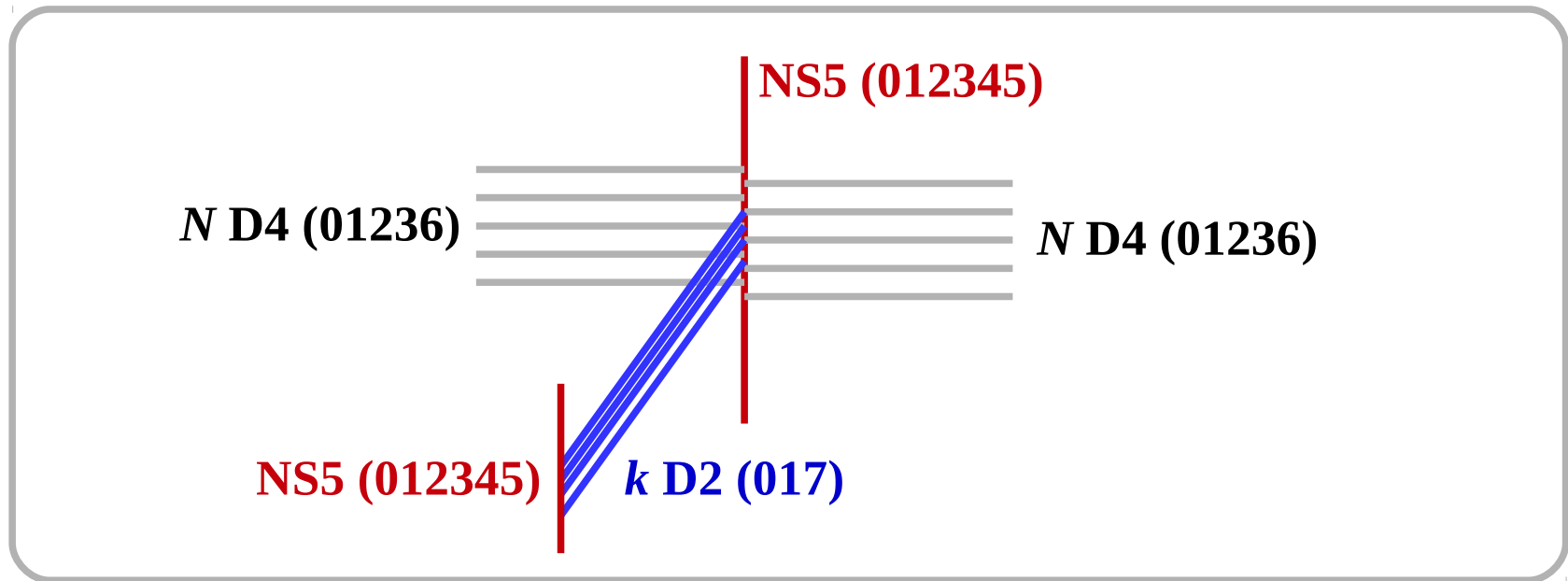
k D2-branes end on N D4-branes
in a **fermionic** manner

${}^N C_k$ = dimension of k -th anti-symmetric rep.



Surface operator: k -th sym rep

$\mathcal{N} = (2, 2) U(k)$ SQCD with N fund, N anti-fund and 1 adjoint.



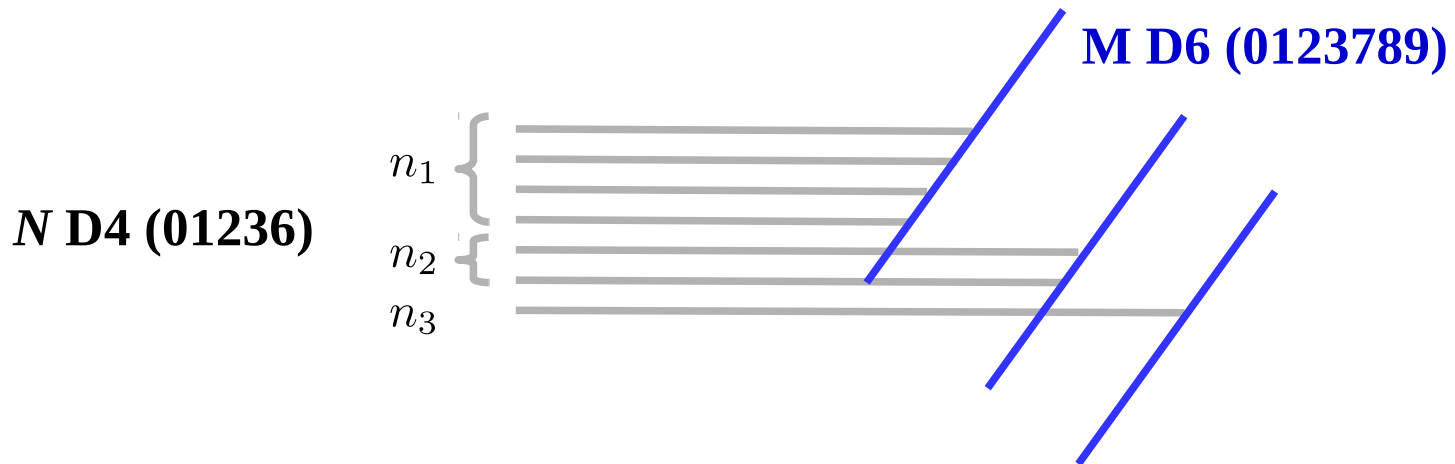
One can try (Gomis-Le Floch '14)

- * construct more general reps
- * match the dualities of gauge theory with symmetry of Toda correlators

Surface operators

made of co-dimension 2 defects

Co-dimension 2 defects of (2,0) theory



In IIA description of class S-theories,
Some co-dimension 2 defects are described by
D4-branes ending on **M D6-branes**.

Co-dimension 2 defects in (2,0) theory of type A_{N-1}
are labeled by partition of N .

$$N = n_1 + n_2 + \cdots + n_M$$

Surface operator from co-dimension 2 defects

$SU(N)$ gauge field near the surface operator at $w = 0$

$$A \sim \text{diag}(\underbrace{\alpha_1, \dots, \alpha_1}_{n_1 \text{ times}}, \underbrace{\alpha_2, \dots, \alpha_2}_{n_2 \text{ times}}, \dots, \underbrace{\alpha_M, \dots, \alpha_M}_{n_M \text{ times}}) d\theta$$

$$\left(\alpha_1 > \alpha_2 > \dots > \alpha_M > \alpha_1 - 2\pi, \theta = \arg w \right)$$

- **discrete labels** ... Levi subgroup $\mathbb{L} = S[U(n_1) \times \dots \times U(n_M)]$
- **continuous labels** ... $(\alpha_1, \dots, \alpha_M)$

Gauge field configurations are labeled by instanton number k
as well as magnetic fluxes

$$m^I = \int_{w=0} \text{tr} \left(\frac{F}{2\pi} \right)_{I\text{-th block}} \quad \left(\sum_I m^I = 0 \right)$$

Parabolic subalgebra

... a subalgebra $\mathbb{P} \subset SL(N)$ spanned by elements x satisfying

$$[\alpha, x] = \lambda x, \quad \lambda \geq 0$$

$$\left(\alpha \equiv \text{diag} \left(\underbrace{\alpha_1, \dots, \alpha_1}_{n_1 \text{ times}}, \underbrace{\alpha_2, \dots, \alpha_2}_{n_2 \text{ times}}, \dots, \underbrace{\alpha_M, \dots, \alpha_M}_{n_M \text{ times}} \right) \right)$$

$$x = \begin{pmatrix} (*)_{n_1 \times n_1} & (*)_{n_1 \times n_2} & \cdots & (*)_{n_1 \times n_M} \\ 0 & (*)_{n_2 \times n_2} & \cdots & (*)_{n_2 \times n_M} \\ 0 & 0 & \ddots & \vdots \\ 0 & 0 & 0 & (*)_{n_M \times n_M} \end{pmatrix}$$

Note : \mathbb{P} depends on the ordering of (n_1, \dots, n_M) while \mathbb{L} does not.

Partial flag manifold

$$F(k_1, \dots, k_M) \equiv \text{space of different nested embeddings} \\ \mathbb{C}^{k_1} \subset \mathbb{C}^{k_2} \subset \dots \subset \mathbb{C}^{k_M} \quad (k_1 < k_2 < \dots < k_M)$$

* coset space description

$$F(k_1, \dots, k_M) = SU(N)/\mathbb{L} = SL(N)/\mathbb{P}, \quad (N \equiv k_M)$$

$$\mathbb{L} = S[U(k_1) \times U(k_2 - k_1) \times \dots \times U(k_M - k_{M-1})]$$

* Different \mathbb{P} for the same \mathbb{L} corresponds to different complex structure.

* GLSM description

$$F(k_1, \dots, k_M) = \left(\mathbb{C}^{k_1 k_2} \times \mathbb{C}^{k_2 k_3} \times \dots \times \mathbb{C}^{k_{M-1} k_M} \right) // \prod_{i=1}^{M-1} GL(k_i)$$

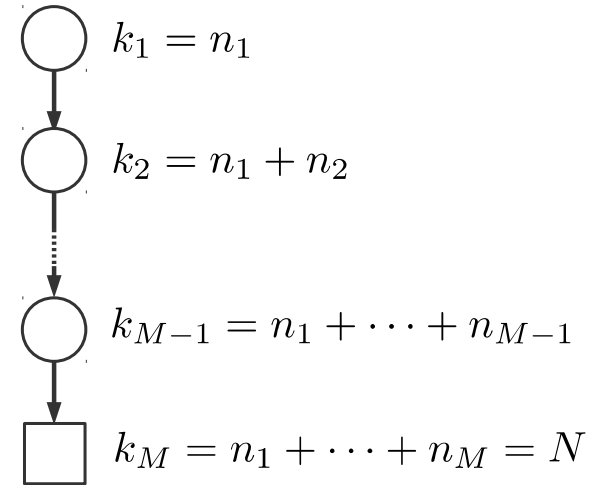
2D field theory description

Gukov-Witten '06

Surface operators of type $N = n_1 + \dots + n_M$

in $\mathcal{N} = 2$ pure SYM

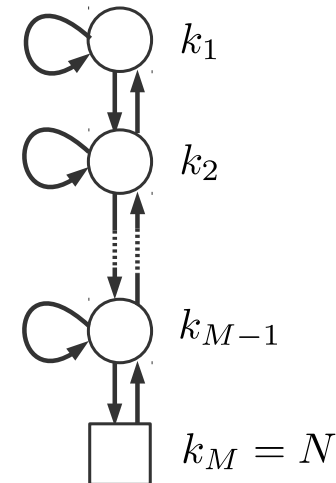
$\mathcal{N} = (2, 2)$ GLSM for $SL(N)/\mathbb{P}$



Surface operators of the same type

in $\mathcal{N} = 4$ SYM

$\mathcal{N} = (4, 4)$ GLSM for $T^*[SL(N)/\mathbb{P}]$



Partition function for *ramified instantons*

calculable by using an **equivalence** :

moduli space of $U(N)$ instantons
with **surface operator** labeled by

$$N = n_1 + \cdots + n_M$$

of instantons k
magnetic fluxes m^I $\left(\sum_{I=1}^M m^I = 0 \right)$

moduli space of $U(N)$ instantons
on **orbifold** $\mathbb{C} \times (\mathbb{C}/\mathbb{Z}_M)$, acting by

$$\text{diag}(\underbrace{\omega, \dots, \omega}_{n_1}, \dots, \underbrace{\omega^M, \dots, \omega^M}_{n_M})$$

on fundamental representation.

of fractional instantons
 k_I $(I = 1, \dots, M)$

$$k_M = k, \quad k_{I+1} = k_I + m^I$$

ADHM construction of moduli space

RECAP : moduli space of ordinary k -instantons of $U(N)$

$$\mathcal{M}_{N,k} = \frac{\left\{ A_{(k,k)}, B_{(k,k)}, P_{(k,N)}, Q_{(N,k)} \mid [A, B] + PQ = 0 \right\}}{GL(k)}$$

Infinitesimal action of $g = \text{diag}(a_1, \dots, a_N) \in U(N)$

and $\epsilon_1 J_{12} + \epsilon_2 J_{34} \in SO(4)$

$$\delta(A, B, P, Q) = \left(\epsilon_1 A, \epsilon_2 B, -Pg, gQ + (\epsilon_1 + \epsilon_2)Q \right)$$

The point (A, B, P, Q) is fixed under this action if

$$\exists \phi \text{ s.t. } \delta(A, B, P, Q) = \left([\phi, A], [\phi, B], \phi P, -Q\phi \right)$$

ADHM construction of moduli space

RECAP : moduli space of ordinary k -instantons of $U(N)$

$$\mathcal{M}_{N,k} = \frac{\left\{ A_{(k,k)}, B_{(k,k)}, P_{(k,N)}, Q_{(N,k)} \mid [A, B] + PQ = 0 \right\}}{GL(k)}$$

Each fixed point is labeled by k boxes forming N Young diagrams.

The fixed points are the representatives of equivariant cohomology

$$H_{a, \epsilon_1, \epsilon_2}(\mathcal{M}_{N,k})$$

AGT : the symmetry W_N acts on the space $\bigoplus_k H_{a, \epsilon_1, \epsilon_2}(\mathcal{M}_{N,k})$

Instanton partition function

Simplest case : N=4 SYM

$$Z_{\text{inst}} = \sum_{\vec{Y}} q^{|Y_1| + \dots + |Y_N|} = \prod_{n \geq 1} (1 - q^n)^{-N}$$

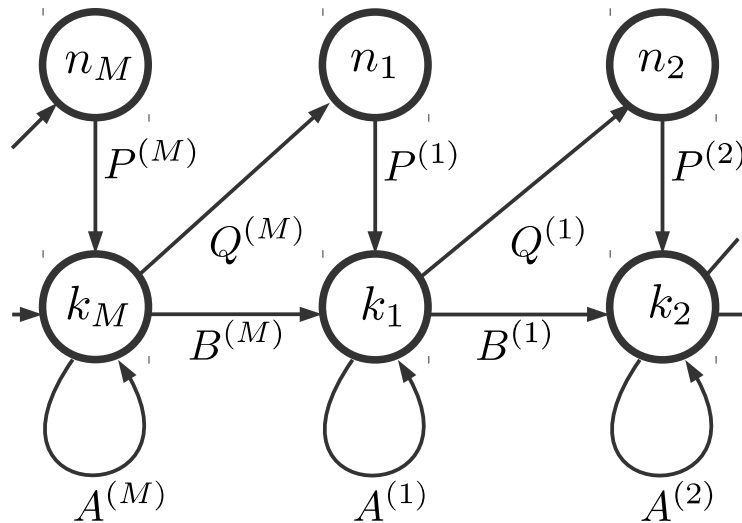
Adding a column of height n to any one of the diagrams Y_1, \dots, Y_N costs q^n .

Orbifolding

Moduli space :

$$\mathcal{M}_{N, \vec{k}} = \left\{ \begin{array}{l} A_{(k_I \times k_I)}^{(I)}, B_{(k_{I+1} \times k_I)}^{(I)}, P_{(k_I \times n_I)}^{(I)}, Q_{(n_{I+1} \times k_I)}^{(I)} \\ \text{s.t. } A^{(I+1)}B^{(I)} - B^{(I)}A^{(I)} + P^{(I+1)}Q^{(I)} = 0 \end{array} \right\} / \prod GL(k_I)$$

Chain-saw quiver :



Fixed points and Young diagrams

On $\mathcal{M}_{N, \vec{k}}$, we consider the action of

$$g = \text{diag}(a_1, \dots, a_N) + \frac{\epsilon_2}{M} \left(\underbrace{1, \dots, 1}_{n_1}, \dots, \underbrace{M, \dots, M}_{n_M} \right) \in U(N)$$

$$\text{and } \epsilon_1 J_{12} + \frac{\epsilon_2}{M} J_{34} \in SO(4)$$

The fixed points are labeled by k boxes forming N diagrams.

$k = (k_1 + k_2 + \dots + k_M) \dots k_I$ boxes are assigned the \mathbb{Z}_M charge I ,

$N = (n_1 + n_2 + \dots + n_M) \dots N$ diagrams form M groups,

$$Y_{s,I} = \begin{pmatrix} s = 1, \dots, n_I \\ I = 1, \dots, M \end{pmatrix} \begin{array}{|c|c|c|c|} \hline I+2 & & & \\ \hline I+1 & I+1 & I+1 & \\ \hline I & I & I & I \\ \hline \end{array}$$

The boxes in the j -th row of $Y_{s,I}$ carry \mathbb{Z}_M charge $I + j - 1$.

Ramified instanton partition function

Simplest case : N=4 SYM

$$Z_{\text{inst}} = \sum_{\vec{Y}} \prod_I q_I^{k_I} = \prod_{I=1}^M \prod_{J=1}^{\infty} \left(1 - \prod_{s=I}^{I+J-1} q_s \right)^{-n_I}$$

Adding a column of height J to any one of

the diagrams $Y_{1,I}, \dots, Y_{n_I,I}$ costs $q_I q_{I+1} \dots q_{I+J-1} = \prod_{s=I}^{I+J-1} q_s \cdot$

$I + 2$

$I + 1$

I

Kanno-Tachikawa '11

compared this with the character of general W algebra.

General W algebra

$W(\widehat{SU}(N), [n_I])$... Drinfeld-Sokolov reduction of current algebra $\widehat{SU}(N)$
according to the decomposition $N = (n_1 + \cdots + n_M)$

Important properties :

$(N \times N)$ matrix is decomposed into $M \times M$ blocks.

(I, J) -th block is of size $(n_I \times n_J)$. It gives rise to currents

$$U^I_{J,s}(z) = \sum_n U^I_{J,s;n} z^{-n-s},$$

$$\text{spin } s = \frac{1}{2}|n_I - n_J| + 1, \cdots, \frac{1}{2}|n_I + n_J|$$

Classification of mode operators $U^I_{J,s;n}$

- **creation operators** : $n < 0$ or $(n = 0, I < J)$
- **annihilation operators** : $n > 0$ or $(n = 0, I > J)$
- **Cartan generators** : $n = 0$ and $I = J$

In particular, the Cartan generator $U^I_{I,s=1;0} \equiv T_I$ satisfies

$$[T_I, U^J_{K,s;n}] = (\delta_{IJ} - \delta_{IK})U^J_{K,s;n}.$$

We also have, as usual,

$$[L_0, U^J_{K,s;n}] = -nU^J_{K,s;n}.$$

Character

... Trace of the operator $\mathcal{O} \equiv q^{L_0} \prod_{I=1}^M y_I^{T_I}$ over a Verma module.

Now observe

$$\mathcal{O} U_{J,s;-n}^I \mathcal{O}^{-1} = (q^n y_I / y_J) \cdot U_{J,s;-n}^I$$

$$q_1 \equiv y_1 / y_2, q_2 \equiv y_2 / y_3, \dots, q_M \equiv q y_M / q_1$$

$$= (q_I q_{I+1} \cdots q_{I+\ell-1}) \cdot U_{J,s;-n}^I$$

($\ell \equiv J - I + Mn > 0$ for creation operators)

Creation operator corresponds to addition of a column!

Character formula :
$$\text{Tr} \mathcal{O} = \prod_{I=1}^M \prod_{J=1}^{\infty} \left(1 - \prod_{s=I}^{I+J-1} q_s \right)^{-\min(n_I, n_J)}$$

Other interesting topics

- Co-dimension 2 defects in field theories in other dimensions.
(next talk)
- Significance in **geometric Langlands program**
- **Nekrasov-Shatashvili** limit :
Ramified instanton partition function as eigenfunctions
of integrable Hamiltonians
- **Ribault-Teschner correspondence** :
WZW correlator = Toda correlator with degenerate operator insertions.

Fundamental problems

- Why is imposing singular boundary condition the same as introducing 2D localized degree of freedom ?
- How the instantons with surface defects are related to the instantons in orbifolds?
- What happens at intersection of surface defects?