

Review on black hole evaporation and related topics

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contents



- Black hole thermodynamics and evaporation:
Easy applications
- origin of Hawking radiation
- Applications of Entropy
- Information loss problem



Black hole thermodynamics and evaporation

Easy applications

Bardeen-Carter-Hawking, 1973

Stationary black holes are parametrized by mass M , charge Q , and angular momentum J .

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For slow-varying processes, the following law is satisfied:

(Φ : electrostatic potential, Ω : angular frequency)

$$\delta M = \frac{\kappa}{8\pi} \delta A + \Phi \delta Q + \Omega \delta J$$

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$$\delta M = \frac{\overset{\text{surface gravity}}{\kappa}}{8\pi} \delta \overset{\text{horizon area}}{A} + \underbrace{\Phi \delta Q + \Omega \delta J}_{\text{working term}}$$

Analogous with the **first law of thermodynamics**:

$$dE = T dS - p dv$$

Bekenstein, 1974

Is the area of the event horizon **thermodynamic entropy**?

$$\delta A \geq 0 \text{ (Hawking, 1971)}$$

$$\delta A \propto \delta S \text{ (Bekenstein, 1974)}$$

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Analogous with the **second law of thermodynamics**:

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A black hole emits **thermal radiation**.

$$\langle n_{\omega} \rangle \propto \frac{1}{e^{2\pi\omega/\kappa} - 1}$$

Hawking, 1975

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The temperature, a.k.a. **Hawking temperature**, and the thermodynamic entropy, a.k.a. **Bekenstein-Hawking entropy**, are determined.

$$T = \frac{\kappa}{2\pi}$$

$$S = \frac{A}{4}$$

Black hole thermodynamics and evaporation

Easy applications



Just memorize

For static spherical symmetric black holes:

$$ds^2 = -f(r)dt^2 + \frac{1}{f(r)}dr^2 + r^2d\Omega^2$$

where $f(r) = 1 - \frac{2M}{r} + \frac{Q^2}{r^2} - \frac{r^2}{3}\Lambda$.

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horizon

$$f(r_+) = 0$$

entropy

$$S = \pi r_+^2$$

Hawking temperature

$$T = \frac{1}{4\pi} \left| \frac{df}{dr} \right|_{r_+}$$

Exercise 1: Schwarzschild BH

Horizon: $r_+ = 2M$

Entropy: $S = 4\pi M^2$

Hawking temperature: $T = \frac{1}{8\pi M}$

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As a check:

$$\delta S = 8\pi M \delta M = \frac{\delta M}{T}$$

So, that's ok!



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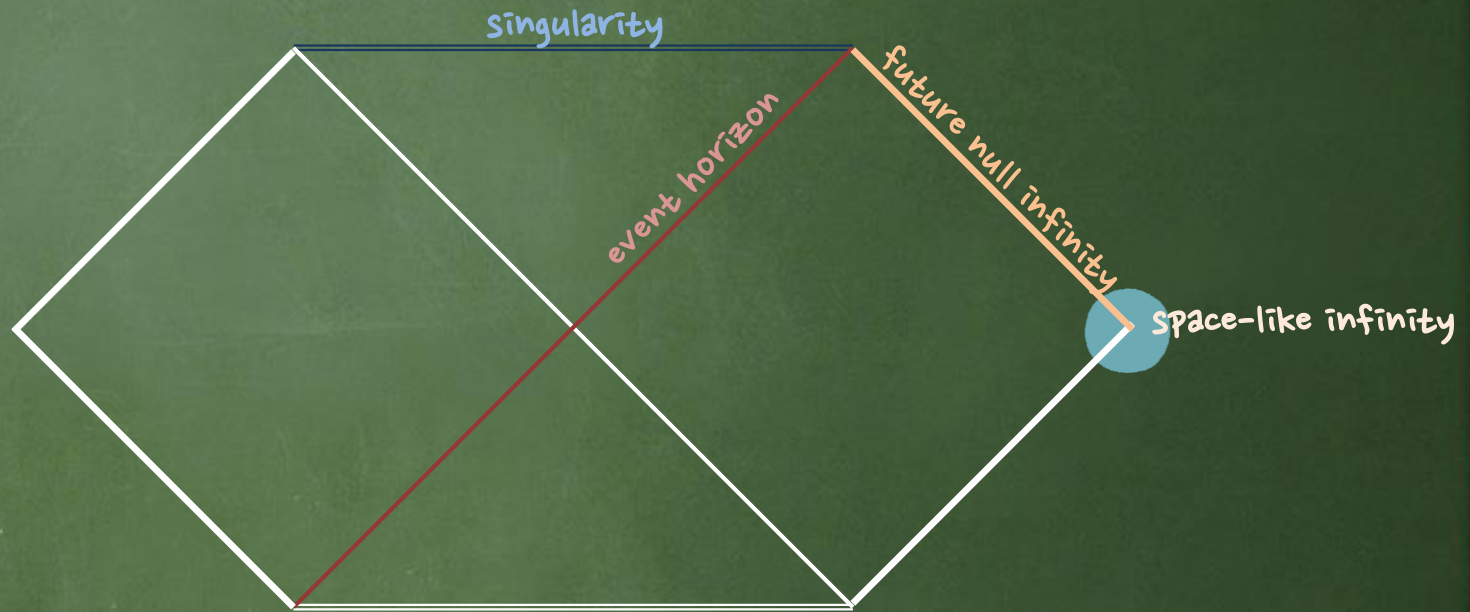
Therefore, the lifetime of a black hole is

$$t_{BH} \sim M^3.$$

Hence, black hole will disappear in finite time.

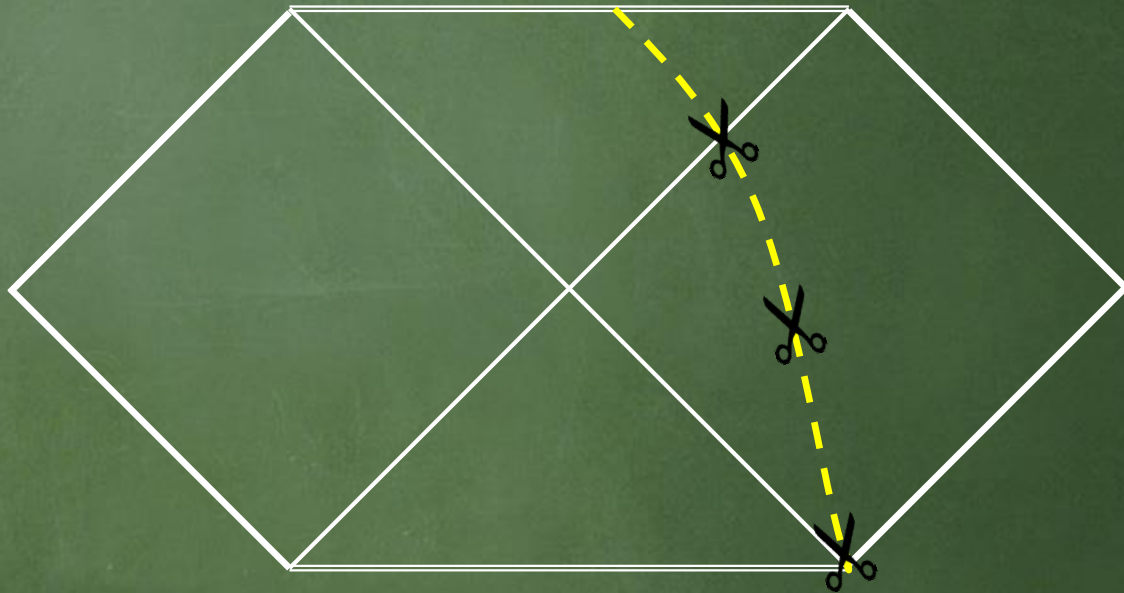
Exercise 1: Schwarzschild BH

Penrose diagram of the Schwarzschild black hole



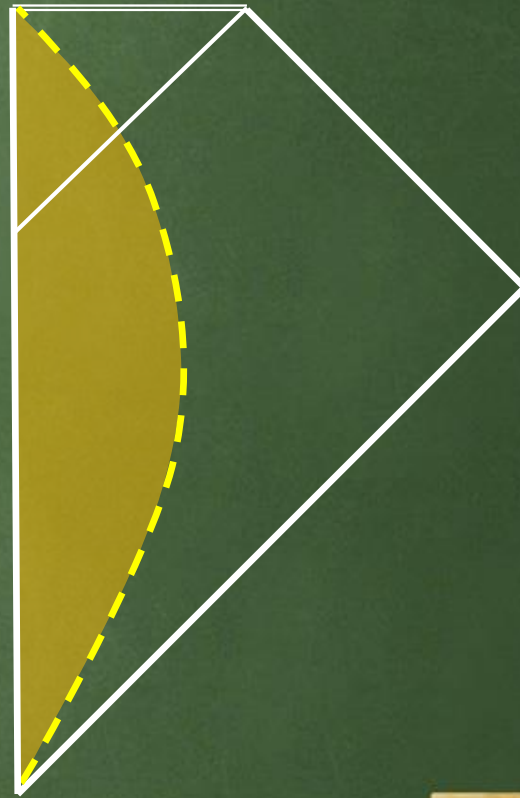
Exercise 1: Schwarzschild BH

Matching with the star-interior (time-like surface)



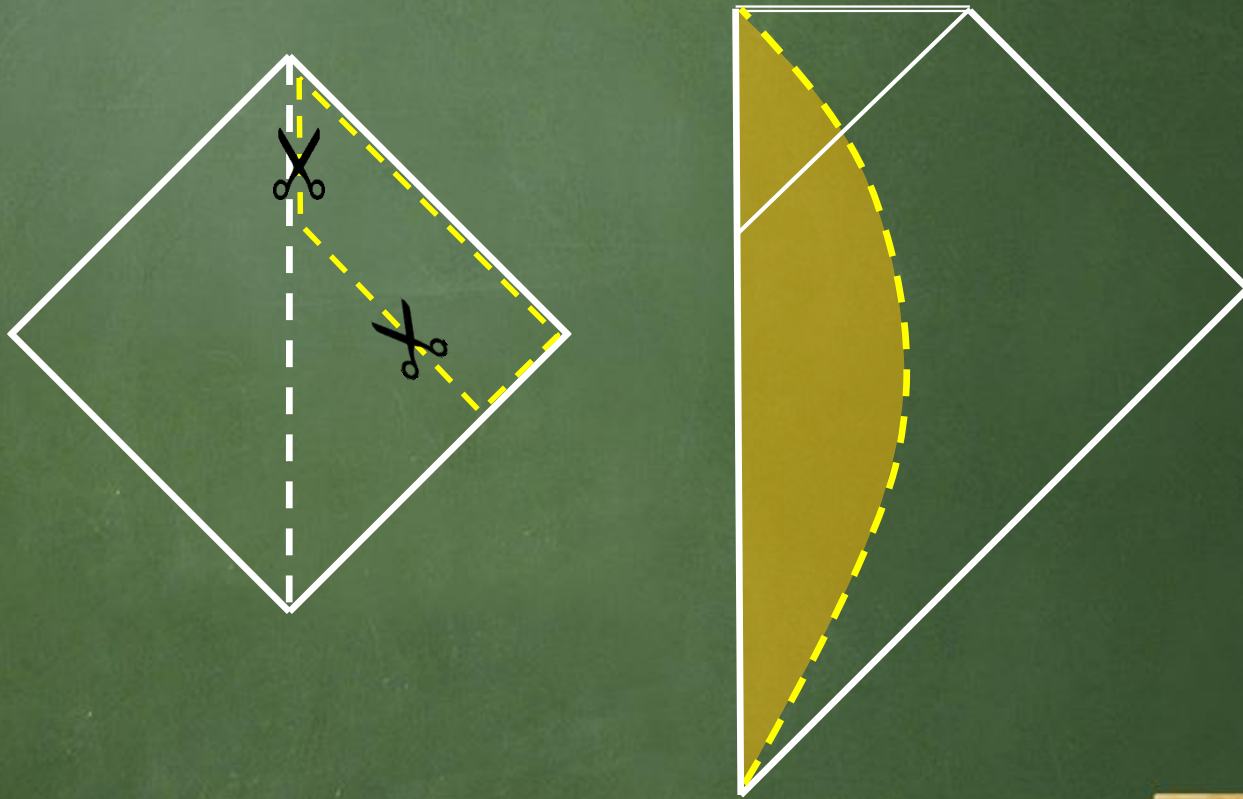
Exercise 1: Schwarzschild BH

Dynamical formation of a black hole



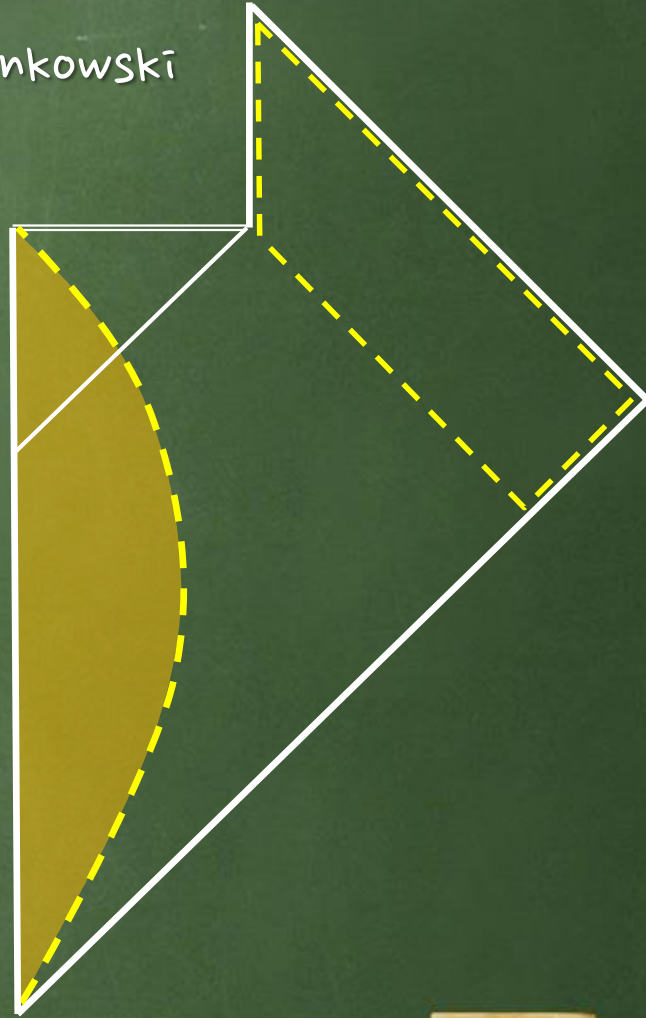
Exercise 1: Schwarzschild BH

After the evaporation, paste Minkowski



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Exercise 1: Schwarzschild BH

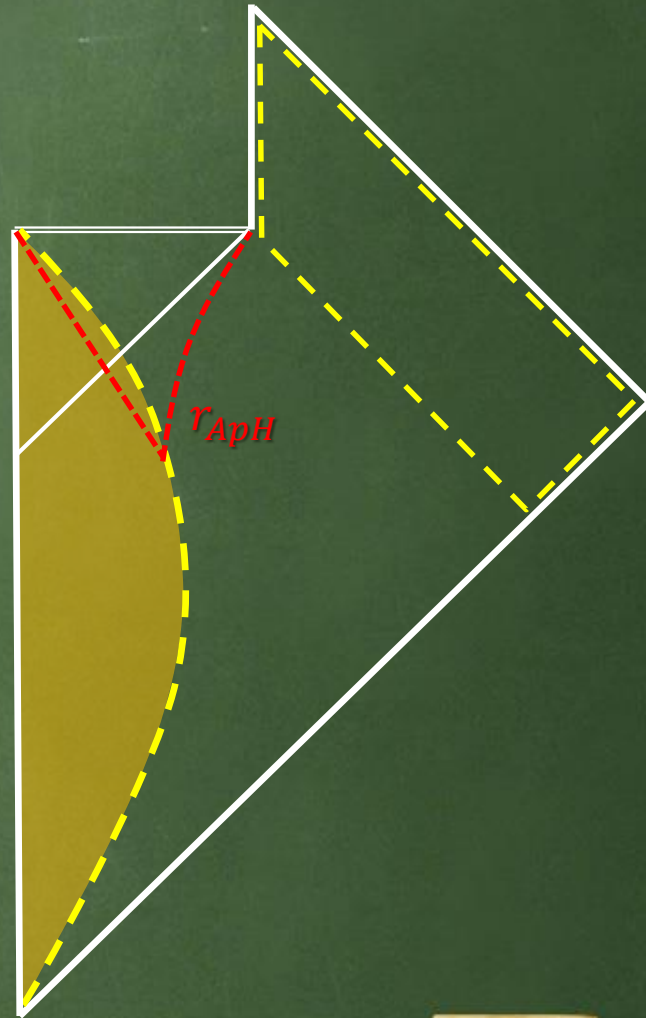
For dynamical black holes,
a **locally defined horizon** is
more meaningful.

For example, in the vaidya metric,

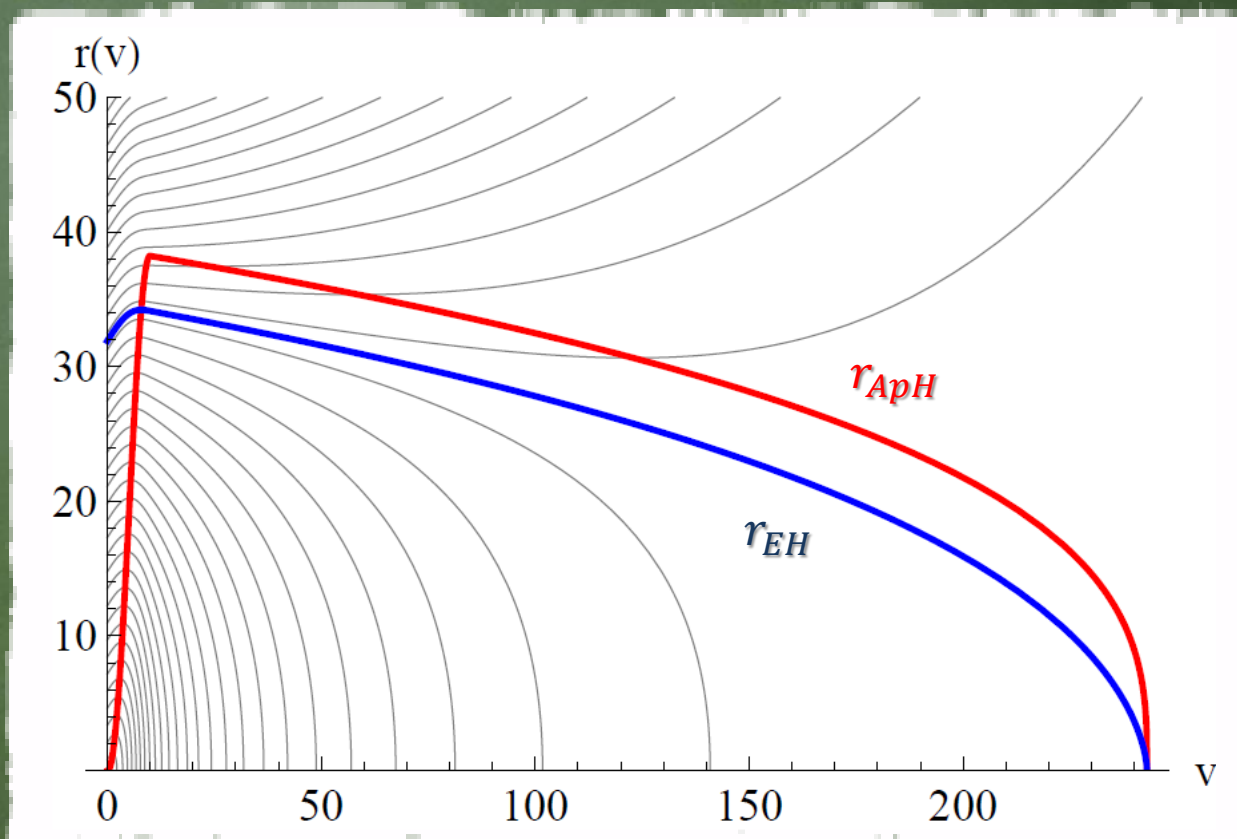
$$ds^2 = -\left(1 - \frac{2M(v)}{r}\right)dv^2 + 2dvdr + r^2d\Omega^2$$

the **apparent horizon** is

$$r_{ApH} = 2M(v).$$



Exercise 1: Schwarzschild BH




Exercise 2: charged BH

HORIZON: $r_{\pm} = M \pm \sqrt{M^2 - Q^2}$

Entropy: $S = \pi(M + \sqrt{M^2 - Q^2})^2$

Hawking temperature: $T = \frac{r_+ - M}{2\pi r_+^2}$

As a check:

$$\delta S = \frac{1}{T} \delta M - \frac{\Phi}{T} \delta Q$$


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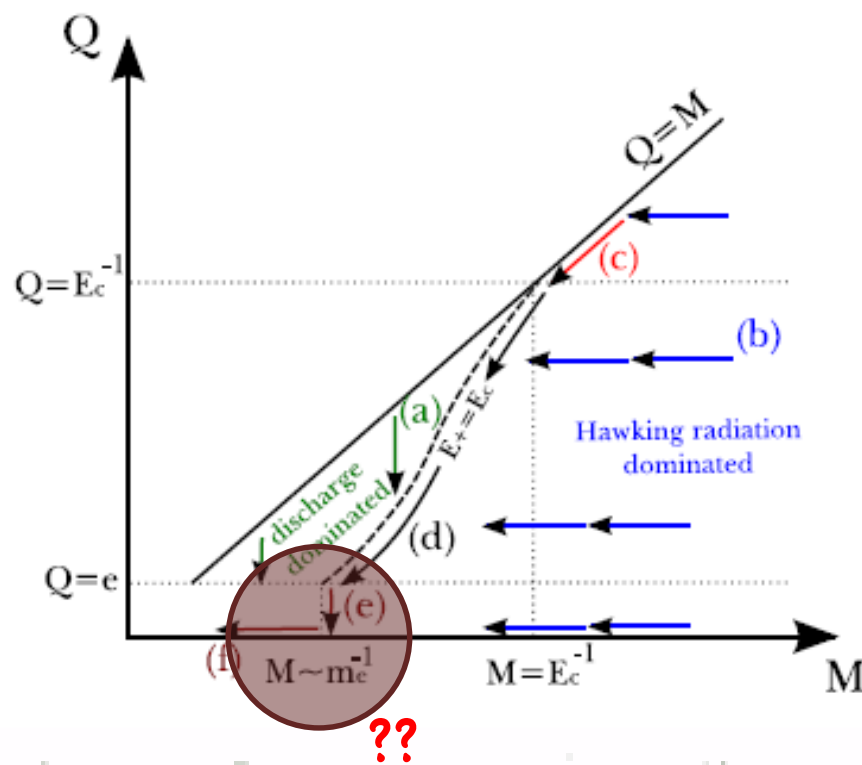
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As time goes on, M approaches to Q by Hawking radiation.

As time goes on, Q decreases due to the Schwinger effect,

where $\frac{dQ}{dt} \propto e^{-E_c/E_+}$ ($E_c = \frac{\pi m_e^2}{e}$, $E_+ = \frac{Q}{r_+^2}$).

Exercise 2: charged BH



Hong, Hwang, Stewart and DY, 2010

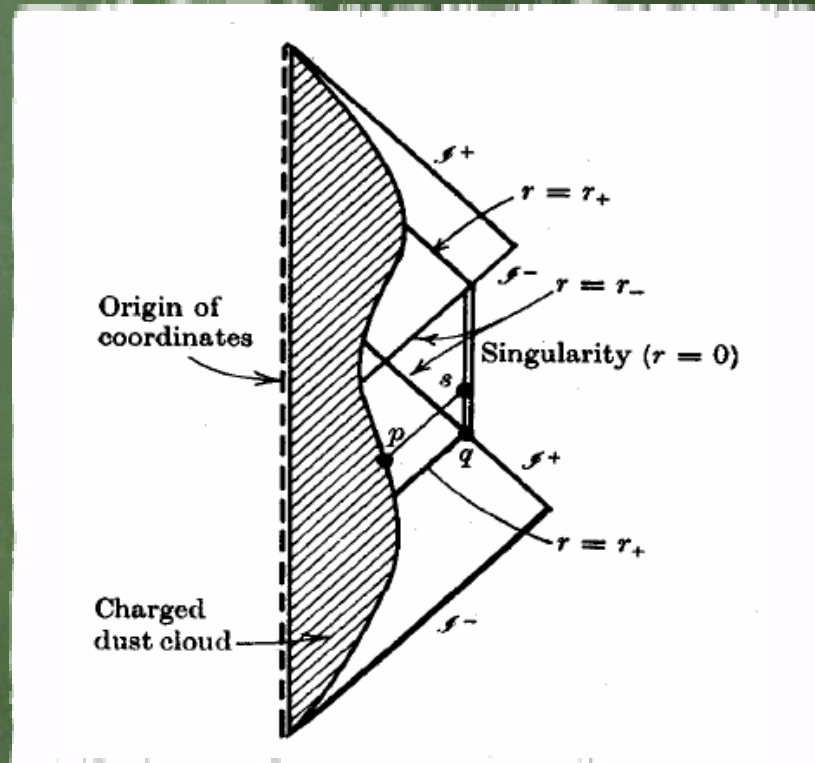
Exercise 2: charged BH

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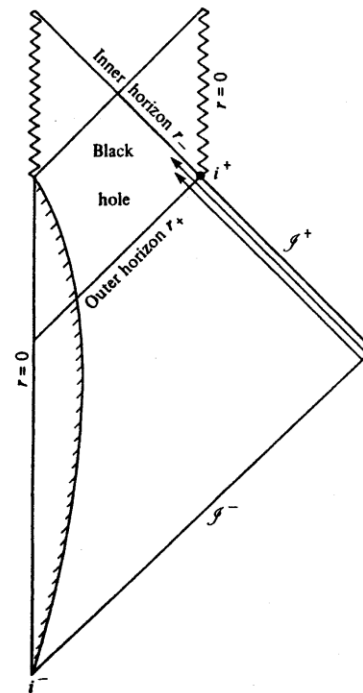
Hawking-Ellis, 1973



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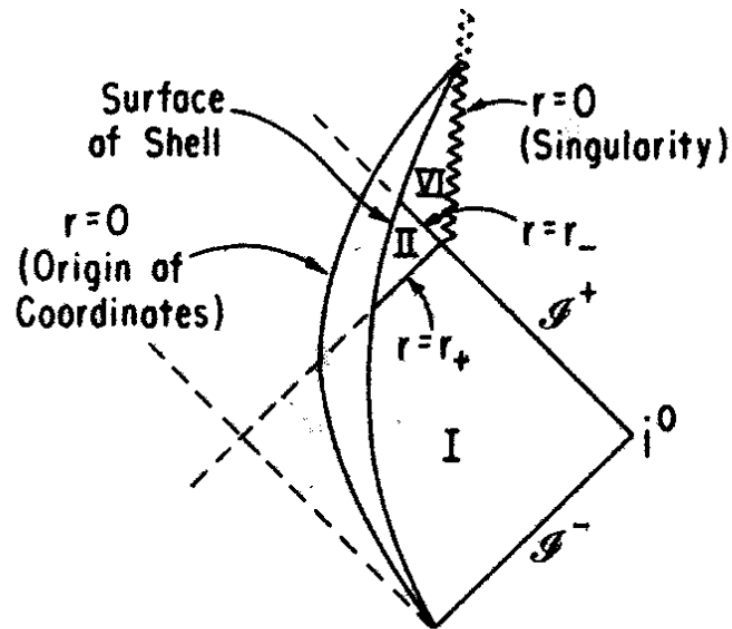
Birrell-Davies, 1982



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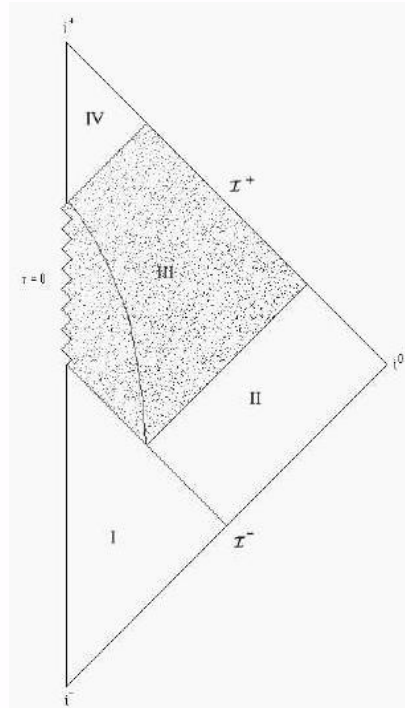
Wald, 1984



Exercise 2: charged BH

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Parikh-Wilczek, 1998

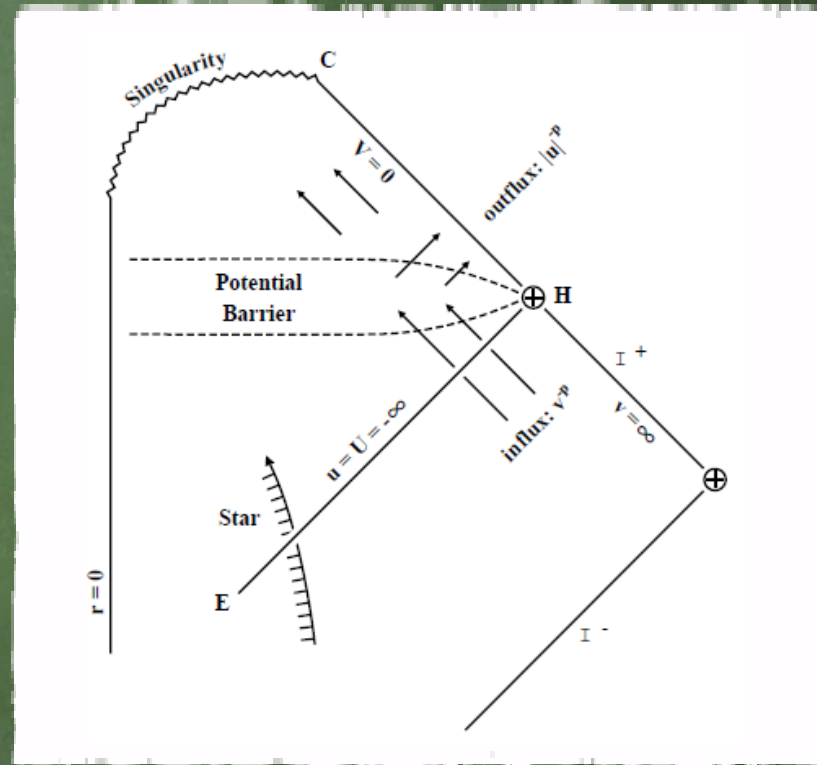


Exercise 2: charged BH

Due to mass inflation, there appears a space-like singularity.

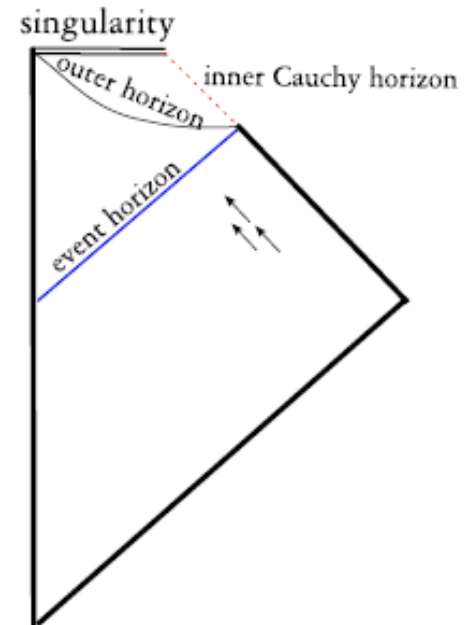
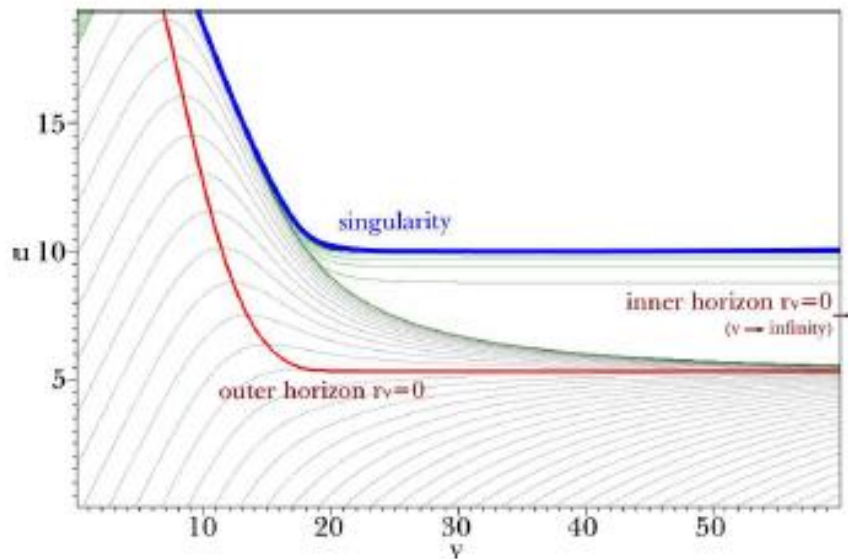
Bonanno-Droz-

Israel-Morsink, 1994



Exercise 2: charged BH

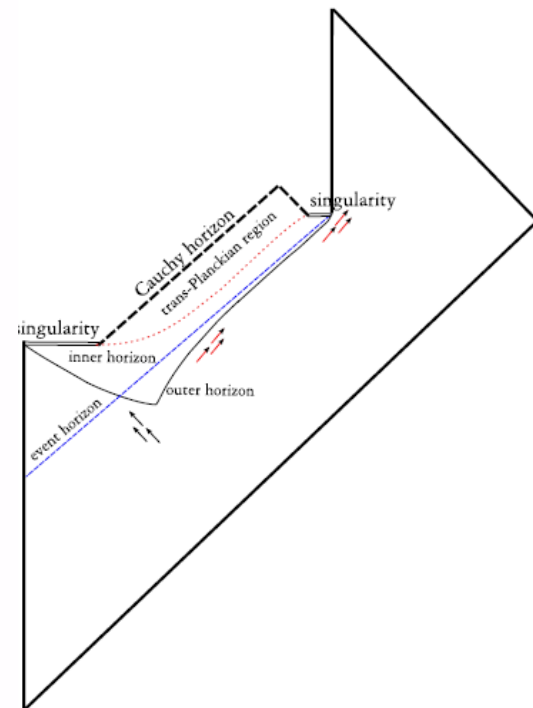
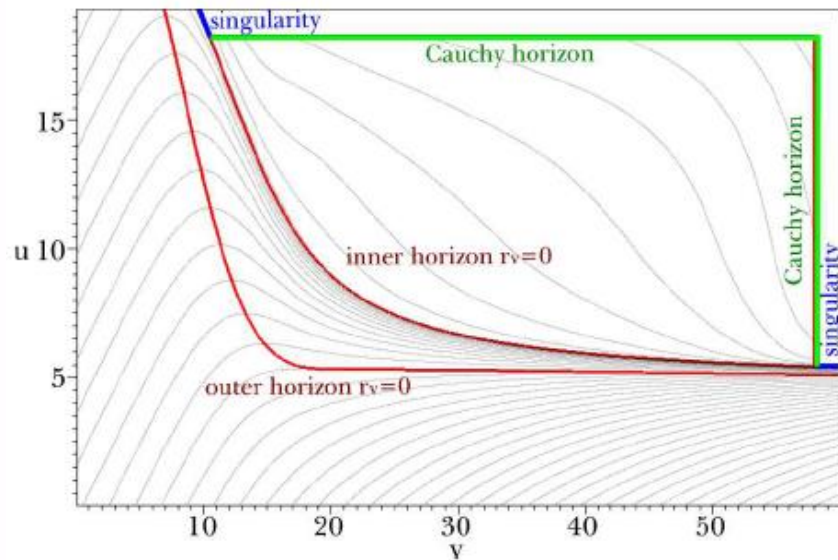
confirmed by numerical calculations



Hod and Piran, 1998; Hong, Hwang, Stewart and DY, 2010

Exercise 2: charged BH

Including Hawking radiation and discharging effect



Sorkin and Piran, 2001; Hong, Hwang, Stewart and DY, 2010

Exercise 2: charged BH

Further on charged black holes:

if a dilaton field is coupled to charge, then there may or may not be a cauchy horizon depending on details of coupling as well as the potential of the dilaton field.

Borokowska (Nakonieczna), Rogatko and Moderski, 2011

Hansen and DY, 2014; 2015

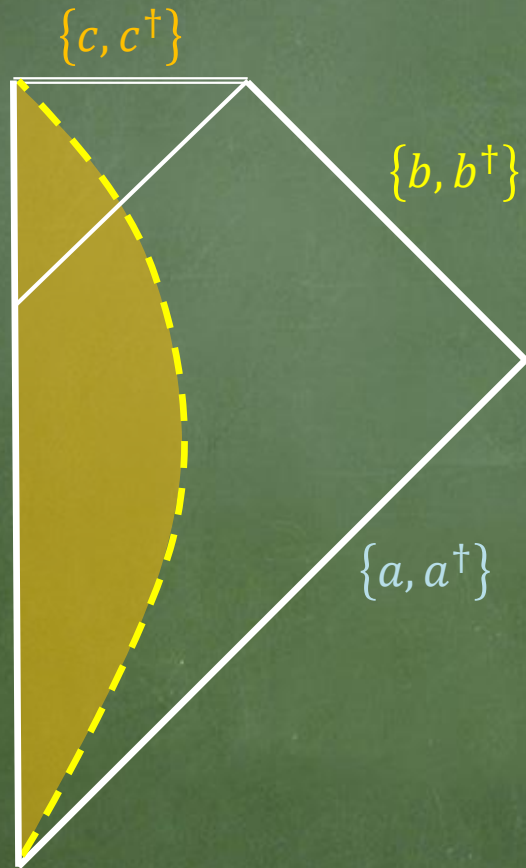
Nakonieczna and DY, 2016

origin of Hawking radiation

Three ways to Hawking radiation

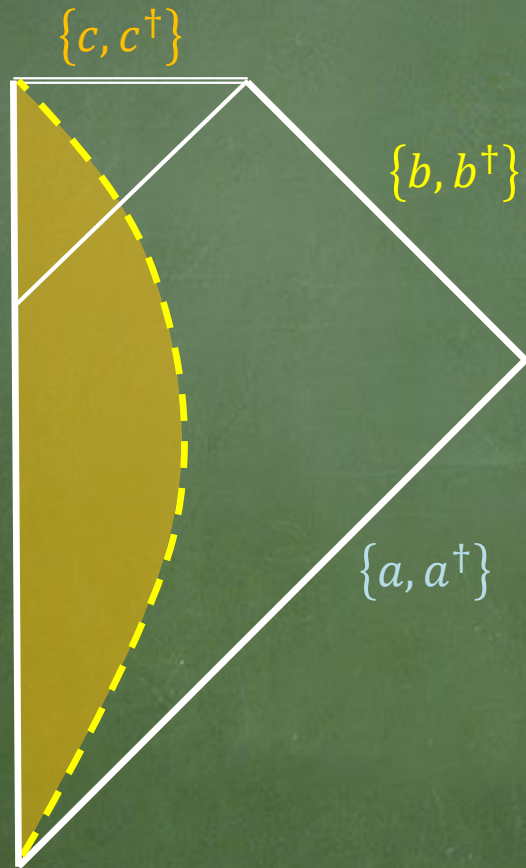
First way: Bogoliubov transformation

Hawking, 1975



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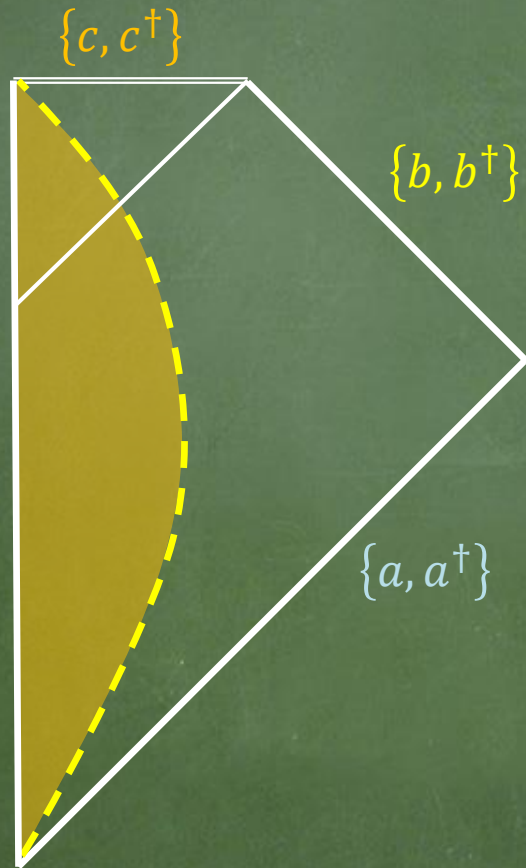
Hawking, 1975



unitary transformation between operators:

First way: Bogoliubov transformation

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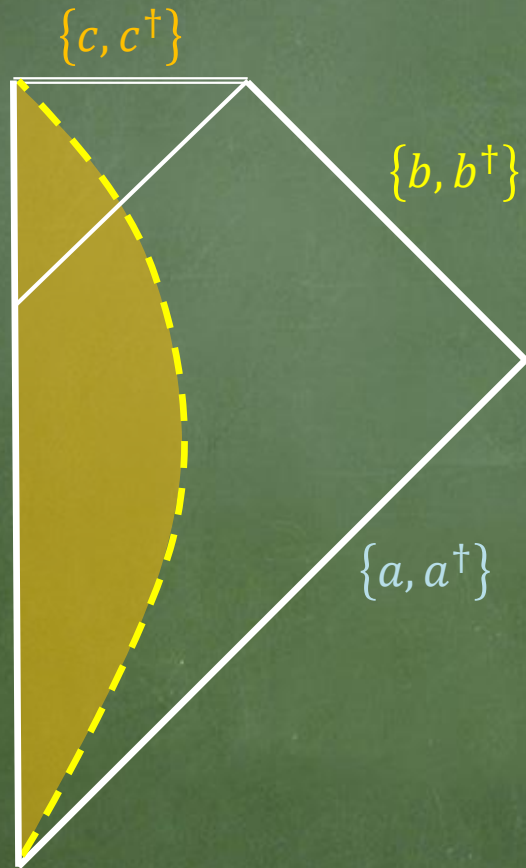
$$\phi(x) = \sum_i [a_i f_i(x) + a_i^\dagger f_i^*(x)]$$

to

$$\phi(x) = \sum_i [b_i p_i(x) + b_i^\dagger p_i^*(x) + c_i q_i(x) + c_i^\dagger q_i^*(x)]$$

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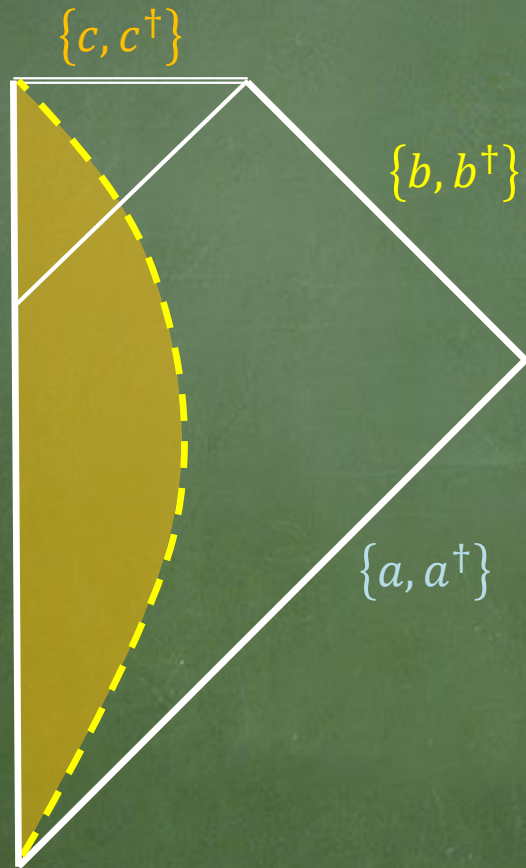
so to speak,

$$p_i = \sum_j [\alpha_{ij} f_j + \beta_{ij} f_j^*]$$

$$q_i = \sum_j [\gamma_{ij} f_j + \eta_{ij} f_j^*]$$

First way: Bogoliubov transformation

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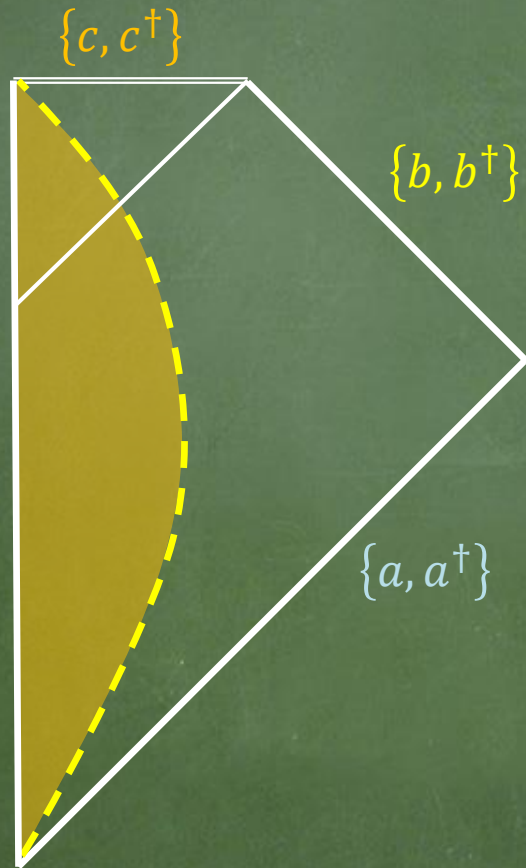
Equivalently,

$$b_i = \sum_j [\alpha_{ij}^* a_j - \beta_{ij}^* a_j^\dagger]$$

$$c_i = \sum_j [\gamma_{ij}^* a_j - \eta_{ij}^* a_j^\dagger]$$

First way: Bogoliubov transformation

Hawking, 1975



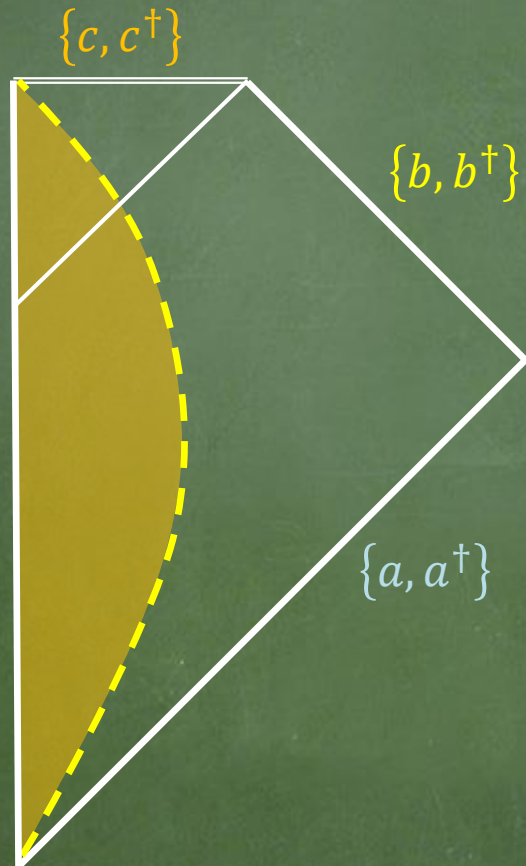
Therefore, number of particles are non-trivial!

Even though we start from the vacuum

$$a_\omega|0\rangle = 0$$

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by using

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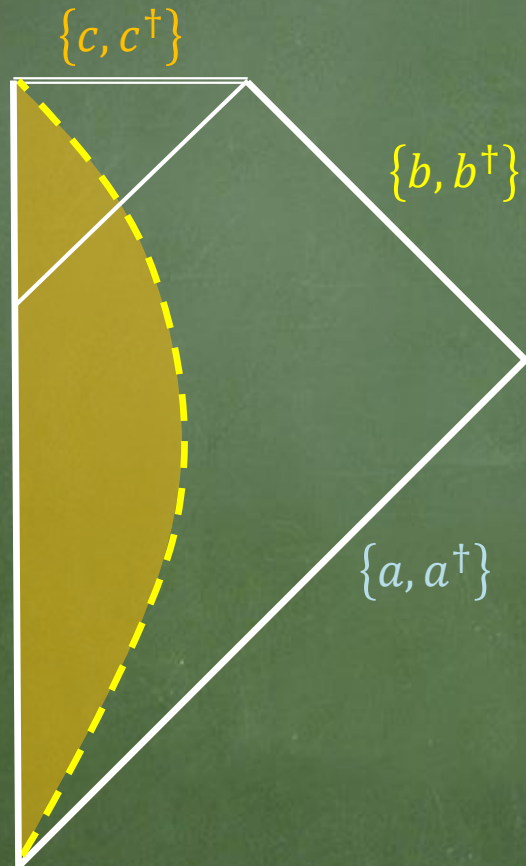
we obtain

$$\langle n_\omega \rangle = \langle b_\omega^\dagger b_\omega \rangle = \sum_{\omega'} |\beta_{\omega\omega'}|^2$$



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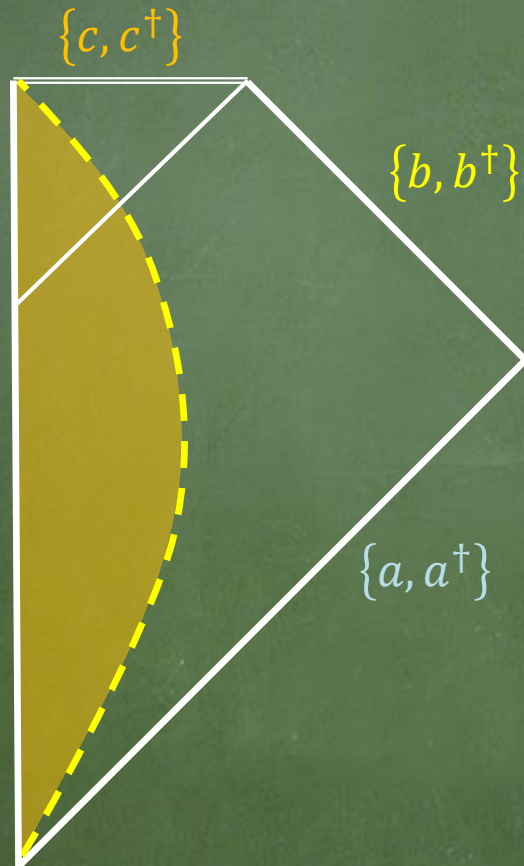
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This may be non-zero.



First way: Bogoliubov transformation

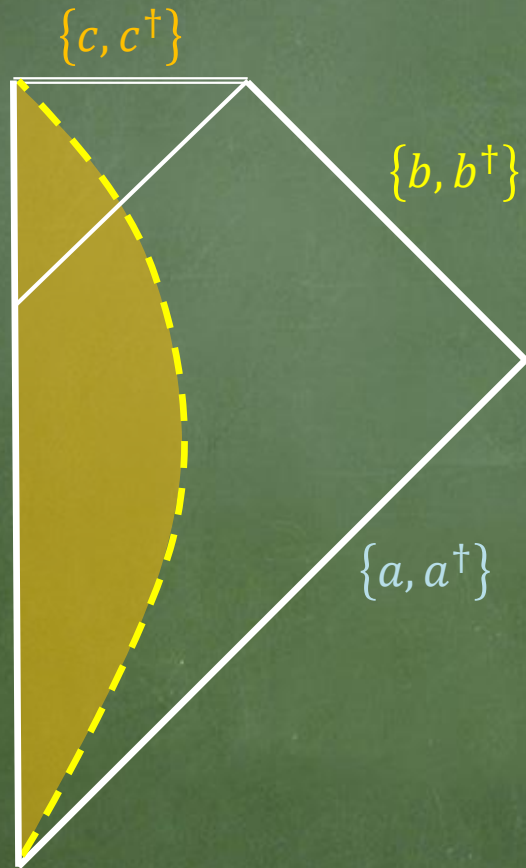
Hawking, 1975



Then how to calculate β ?

First way: Bogoliubov transformation

Hawking, 1975



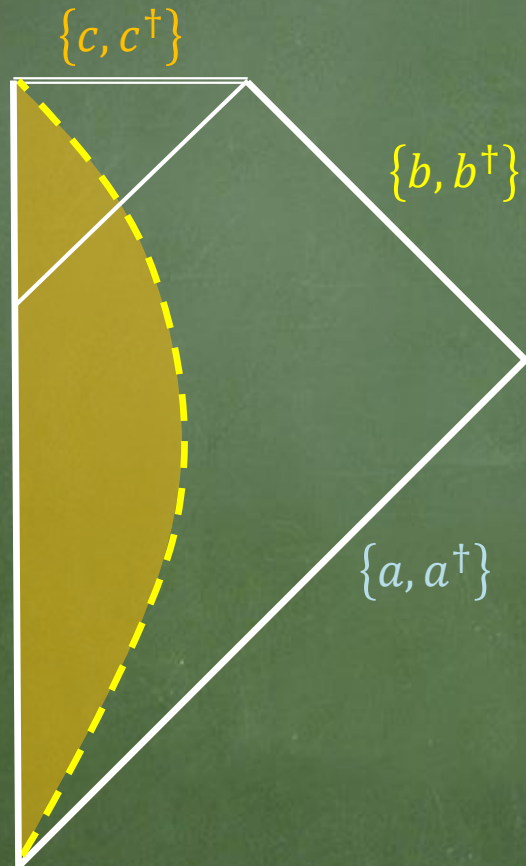
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The essence of nontrivial β is the change between in-going mode and out-going mode.

$$p_\omega = \sum_{\omega'} [\alpha_{\omega\omega'} f_{\omega'} + \beta_{\omega\omega'} f_{\omega'}^*]$$

First way: Bogoliubov transformation

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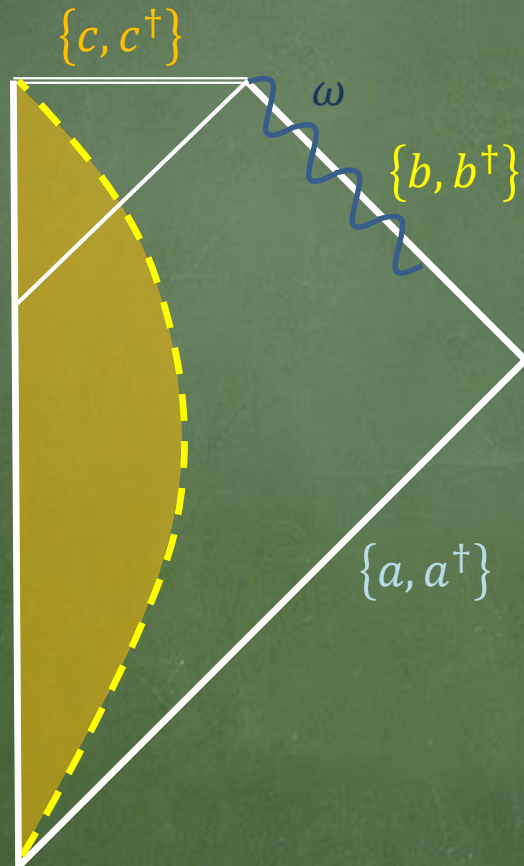
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Here, $f_{\omega'}$ is the mode function of the past infinity (mainly in-going) and p_ω is the mode function of the future infinity (mainly out-going).

First way: Bogoliubov transformation

Hawking, 1975



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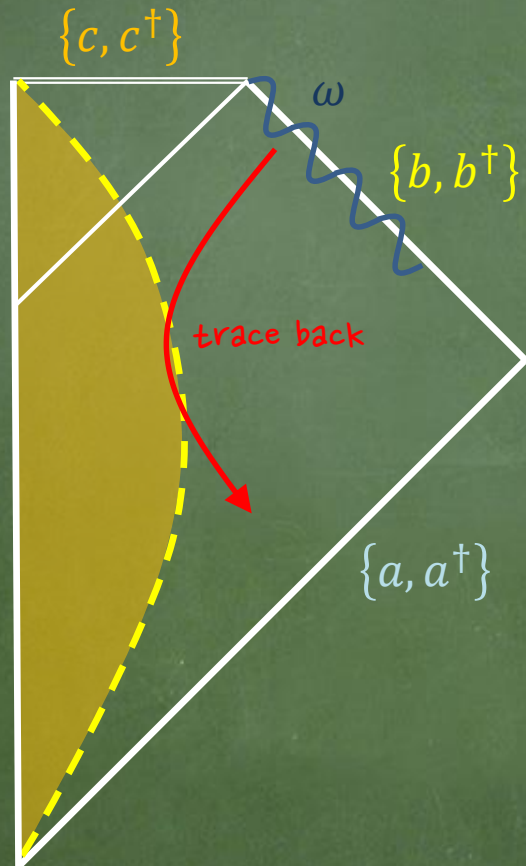
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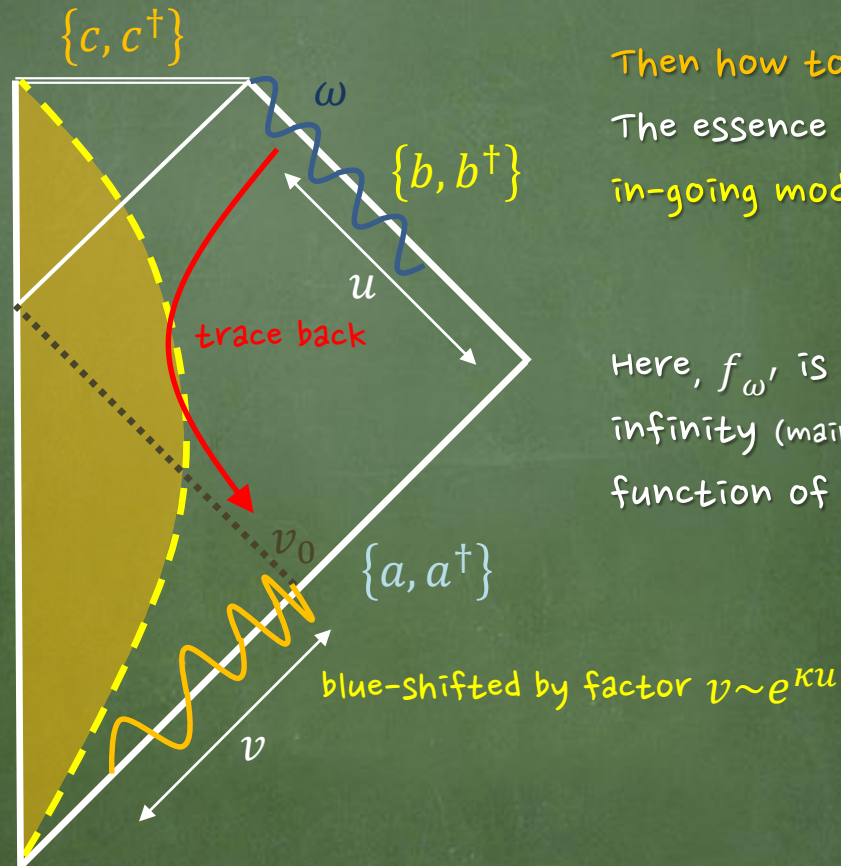
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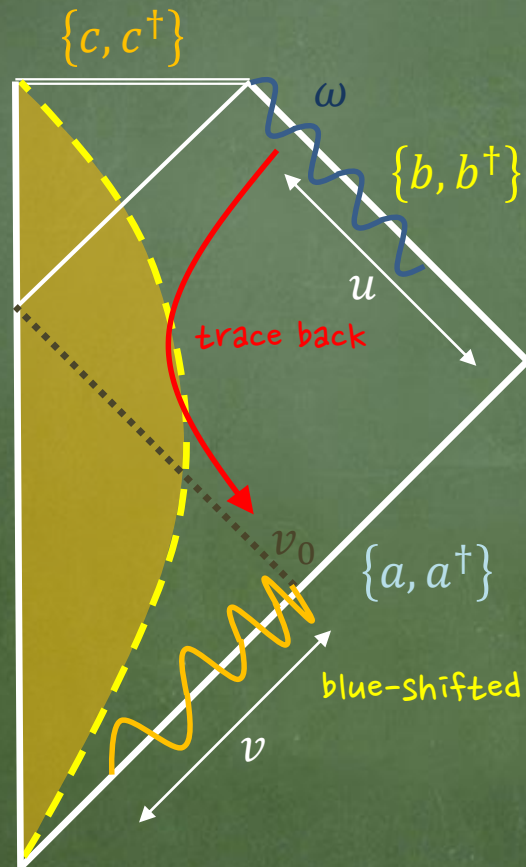
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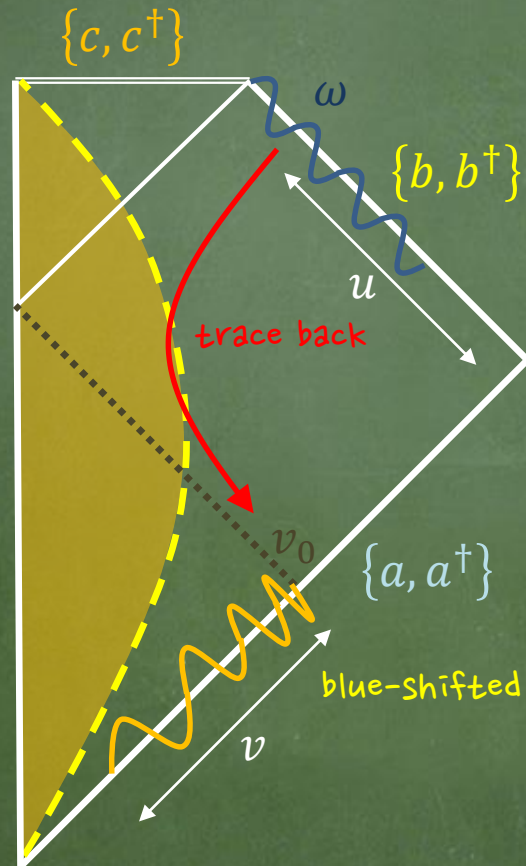
Now calculate!

$$p_\omega \sim e^{i\omega\kappa^{-1}\ln[(v_0-v)/c]} \quad (\text{for } v < v_0)$$

blue-shifted by factor $v \sim e^{\kappa u}$

First way: Bogoliubov transformation

Hawking, 1975



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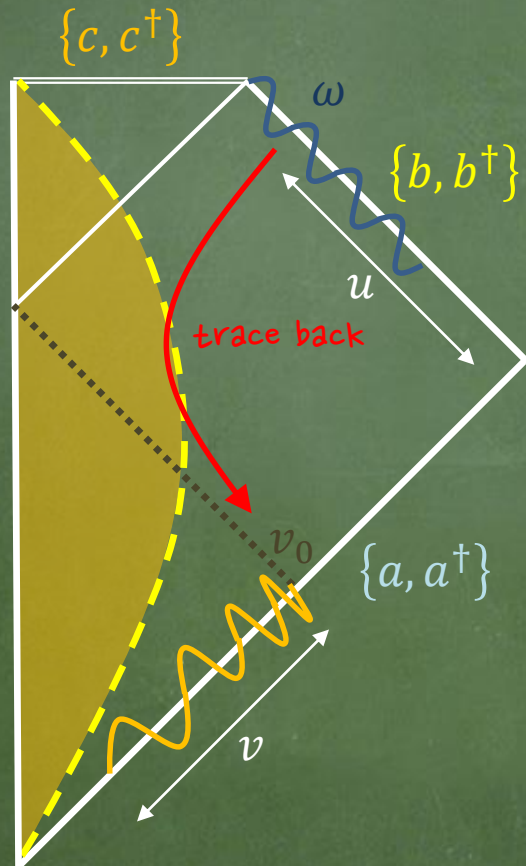
This is a function of v . Hence, by using the Fourier transformation, we can match the coefficients α and β

$$p_\omega = \sum_{\omega'} [\alpha_{\omega\omega'} f_{\omega'} + \beta_{\omega\omega'} f_{\omega'}^*]$$

where $f_\omega \sim e^{i\omega v}$.

First way: Bogoliubov transformation

Hawking, 1975



we obtain the relation

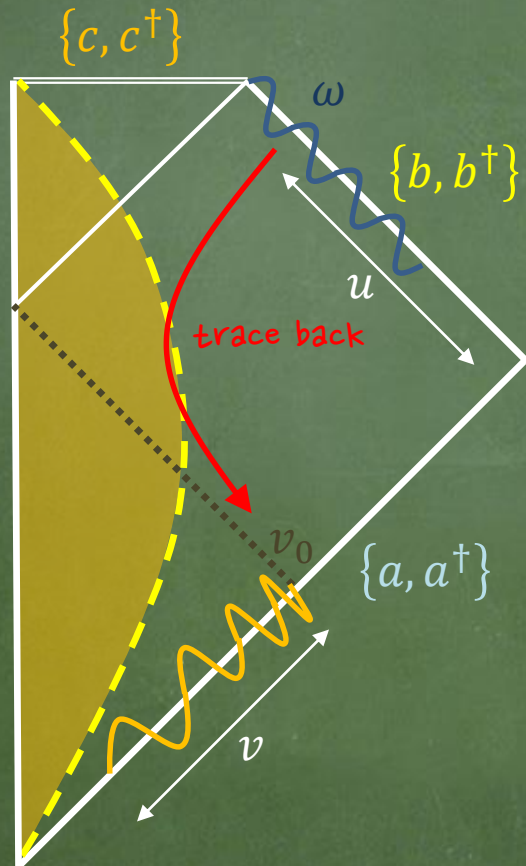
$$|\alpha_{\omega\omega'}|^2 = e^{2\pi\omega/\kappa} |\beta_{\omega\omega'}|^2$$

In addition, there is a normalization condition

$$\sum_{\omega'} (|\alpha_{\omega\omega'}|^2 - |\beta_{\omega\omega'}|^2) = 1$$

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In conclusion,

$$\langle n_\omega \rangle \propto \frac{1}{e^{2\pi\omega/\kappa} - 1}$$

Second way: Renormalized EM tensor

We want to solve the equation

$$G_{\mu\nu} = 8\pi \langle T_{\mu\nu} \rangle$$

where the energy-momentum tensor has ambiguities.

Moreover, the expectation values are divergent in general:

$$\langle \phi(x)\phi(x) \rangle$$

Second way: Renormalized EM tensor

Birrell and Davies, "Quantum fields in curved space", 1982

In order to resolve these problems, renormalization techniques were developed.

Step 1. Obtain finite two-point correlation function, e.g., by the point splitting method:

$$\lim_{x \rightarrow x'} \langle \phi(x) \phi(x') \rangle = \frac{c}{x - x'} + (\text{finite terms})$$

Second way: Renormalized EM tensor

Birrell and Davies, "Quantum fields in curved space", 1982

In order to resolve these problems, renormalization techniques were developed.

Step 2. Using the two-point correlation function, we obtain the energy-momentum tensor.

$$\langle T_{\mu}^{\nu} \rangle = \langle (\frac{2}{3} \phi_{;\mu} \phi_{;\nu} - \frac{1}{6} g_{\mu}^{\nu} \phi_{;\alpha} \phi_{;\alpha} - \frac{1}{3} \phi \phi_{;\mu}^{\nu}) \rangle$$

$$G(x, x') = i \langle \phi(x) \phi(x') \rangle$$

$$\langle T_{\mu}^{\nu} \rangle_{\text{REN}} = \lim_{x' \rightarrow x} \{ -i [\frac{1}{3} (G_{;\mu\alpha'} g^{\alpha'\nu} + G_{;\alpha'}^{\nu} g^{\alpha'}_{\mu}) - \frac{1}{6} G_{;\alpha\beta'} g^{\alpha\beta'} g_{\mu}^{\nu} - \frac{1}{6} (G_{;\mu}^{\nu} + G_{;\alpha'\beta'} g^{\alpha'}_{\mu} g^{\beta'\nu})] - \langle T_{\mu}^{\nu} \rangle_{\text{subtract}} \}$$

Howard and Candela, 1984

Second way: Renormalized EM tensor

Davies, Fulling and Unruh, 1976

For **two-dimensional cases**, we can obtain the simpler form:

$$\langle T_{\mu\nu} \rangle = \frac{P}{\alpha^2} \begin{pmatrix} (\alpha\alpha_{,uu} - 2\alpha_{,u}^2) & -(\alpha\alpha_{,uv} - \alpha_{,u}\alpha_{,v}) \\ -(\alpha\alpha_{,uv} - \alpha_{,u}\alpha_{,v}) & (\alpha\alpha_{,vv} - 2\alpha_{,v}^2) \end{pmatrix}$$

where $ds^2 = -\alpha^2 du dv$.

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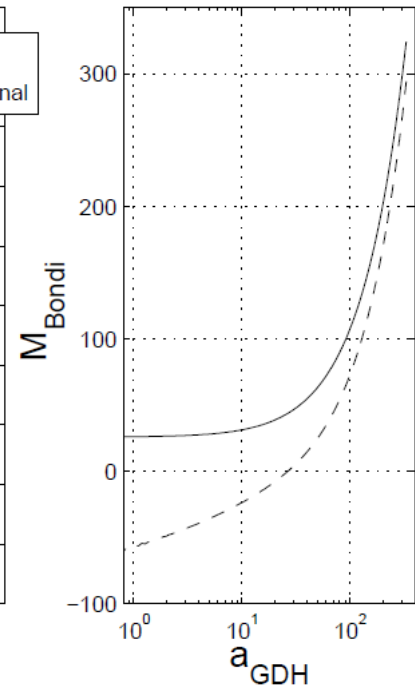
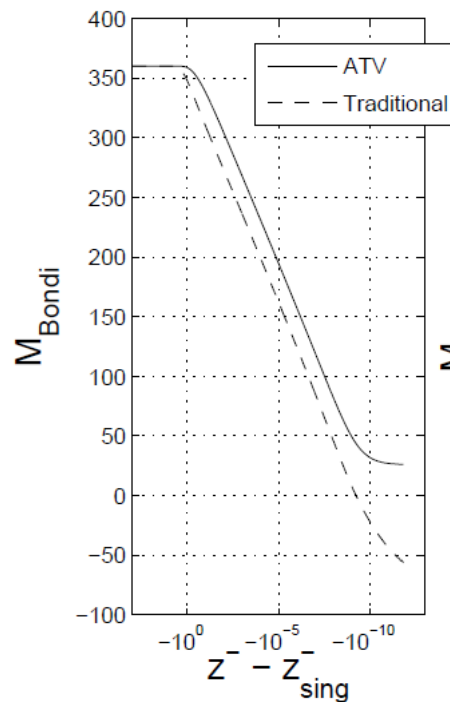
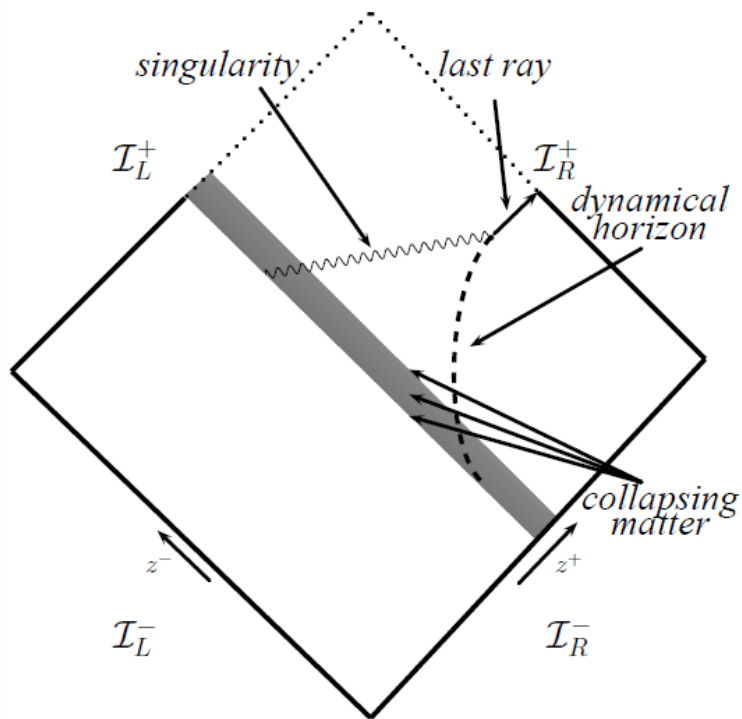
where $ds^2 = -\alpha^2 du dv$.

For dilaton black holes, there can be a back-reaction even for 2D: **CGHS model**.

Callan, Giddings, Harvey and Strominger, 1991

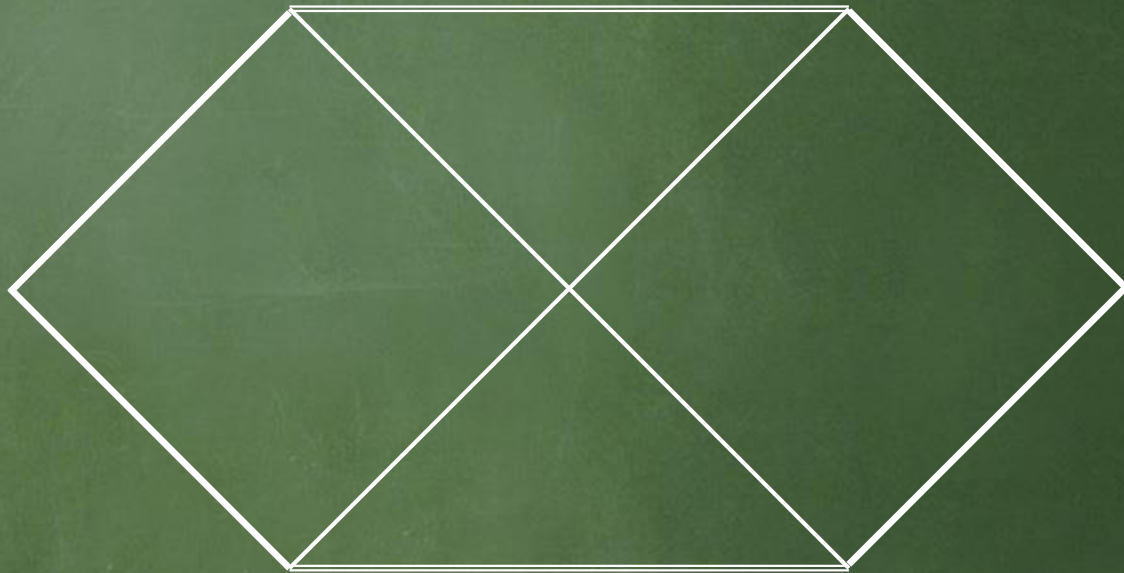
Second way: Renormalized EM tensor

Ashtekar, Pretorius and Ramazanoglu, 2011



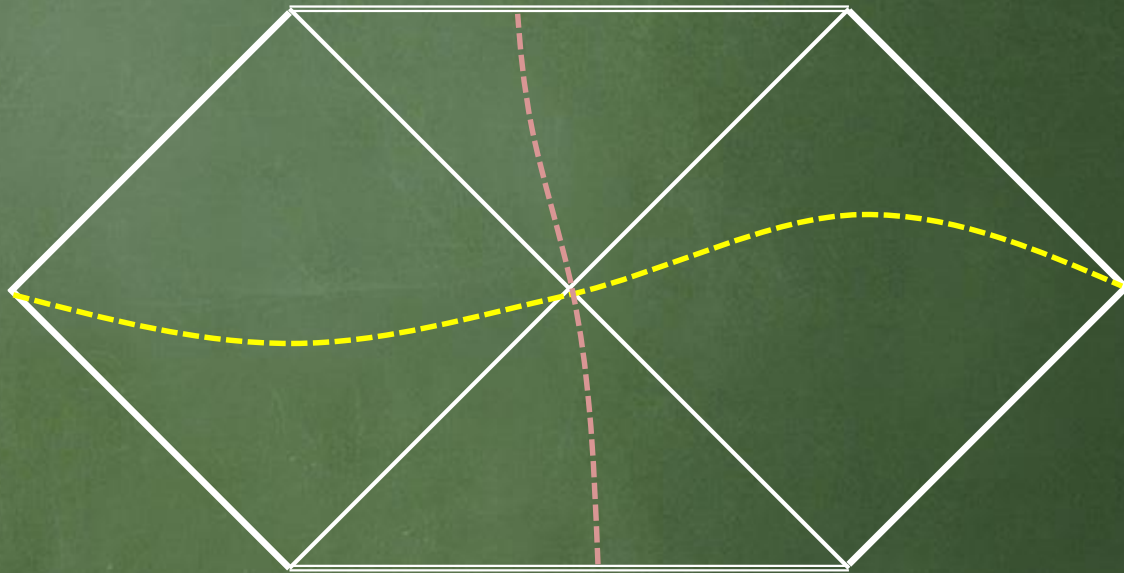
Third way: Particle tunneling

causal structure of a Schwarzschild black hole.



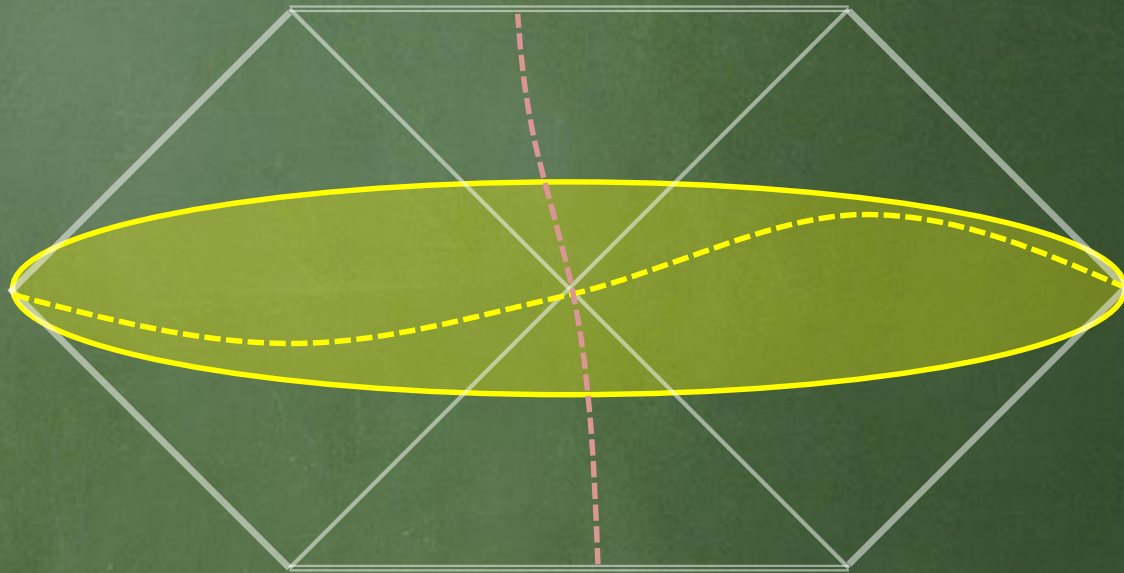
Third way: Particle tunneling

constant time hypersurfaces



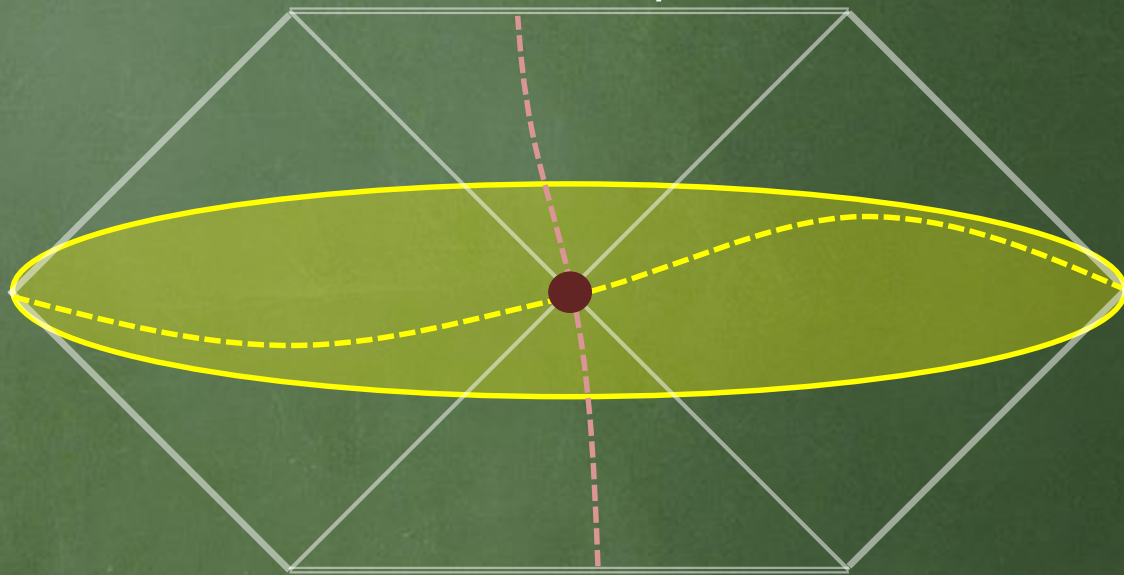
Third way: Particle tunneling

Euclidean analytic continuation



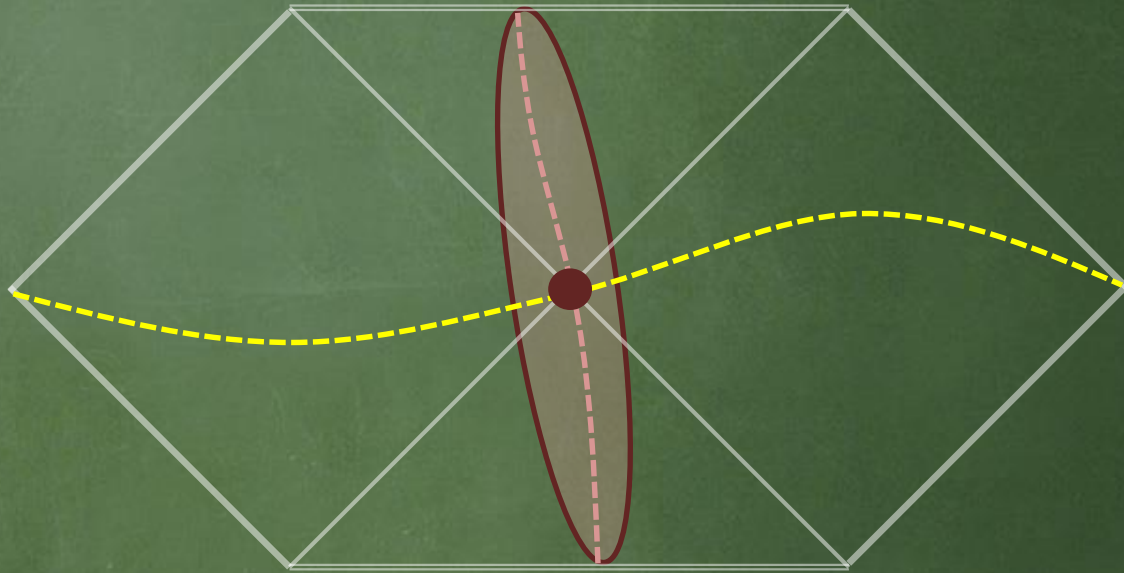
Third way: Particle tunneling

In order to remove a cusp singularity, we need to choose the Euclidean time period $\tau = 8\pi M = 1/T$.



Third way: Particle tunneling

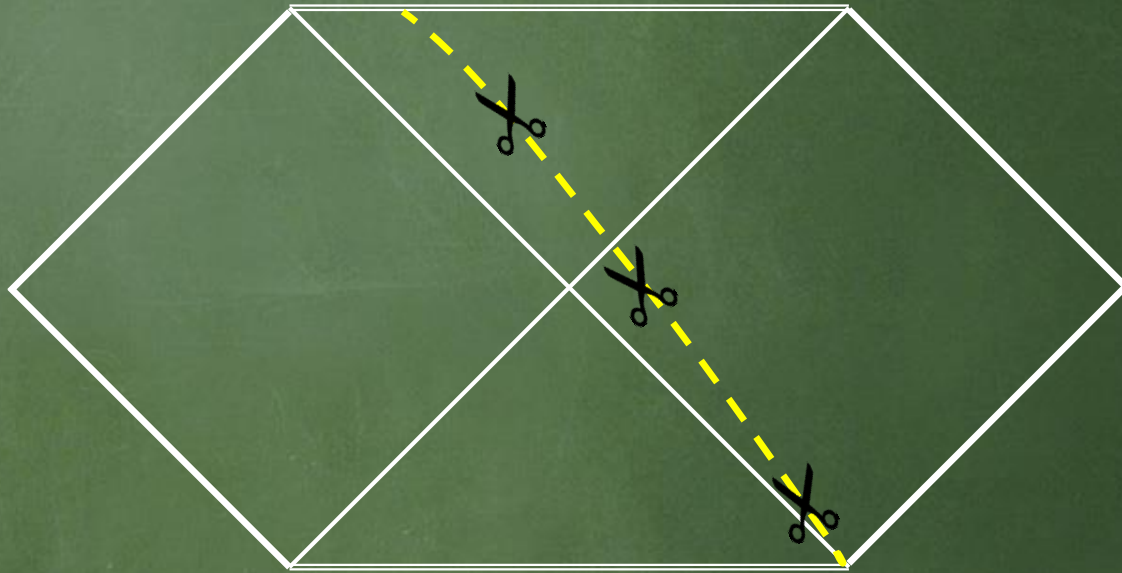
one can do a similar analytic continuation inside the horizon, although the signature becomes $(++--)$.



Third way: Particle tunneling

Hartle and Hawking, 1976

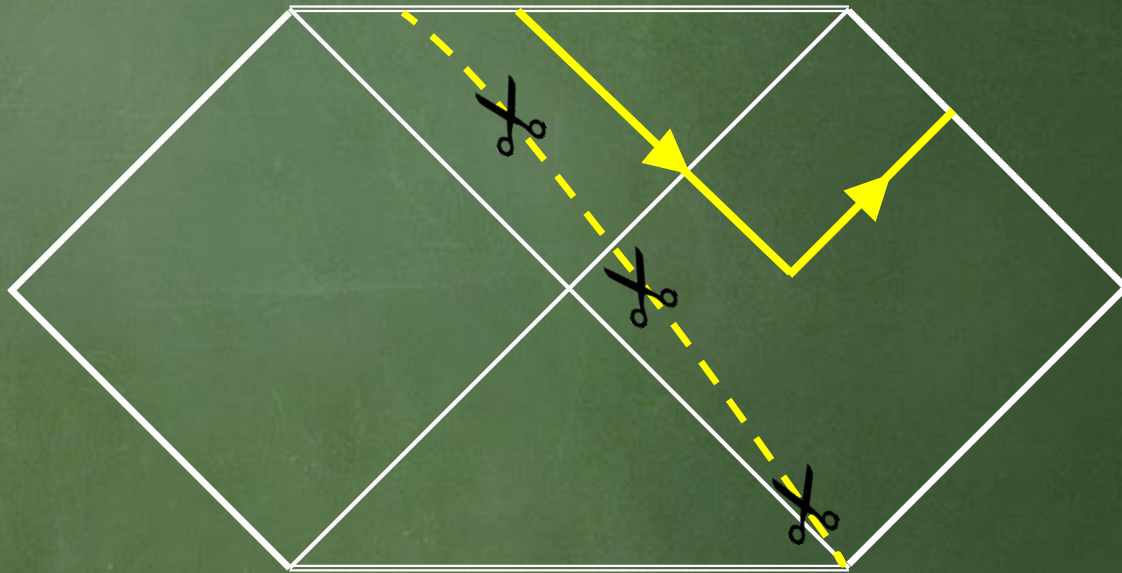
Hartle and Hawking considered a particle tunneling from inside to outside the horizon.



Third way: Particle tunneling

Hartle and Hawking, 1976

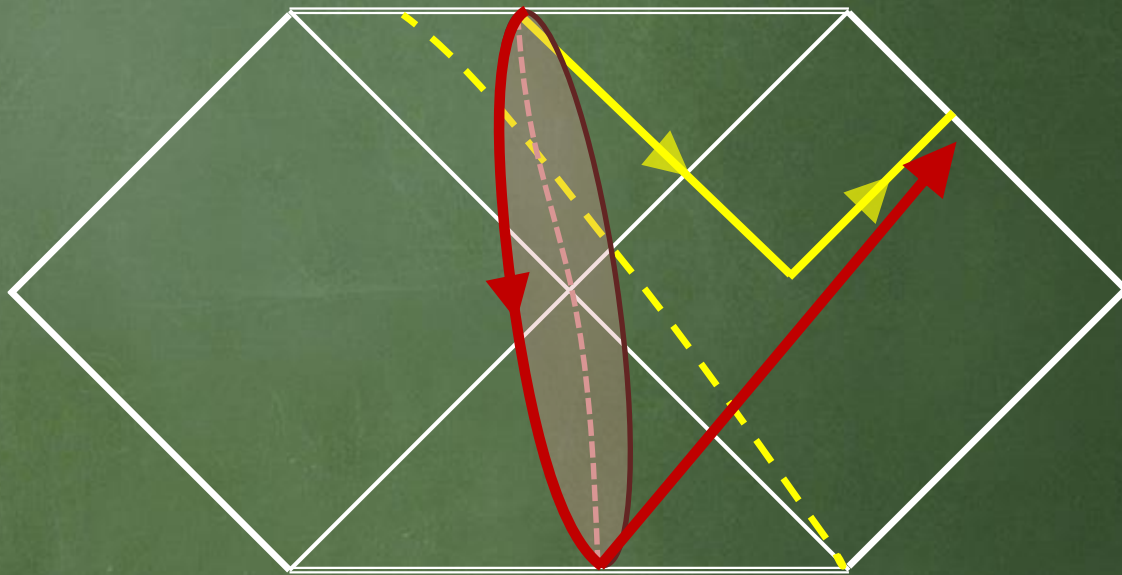
Hartle and Hawking considered a particle tunneling from inside to outside the horizon.



Third way: Particle tunneling

Hartle and Hawking, 1976

Using the analytic continuation, one can calculate the emission rate.

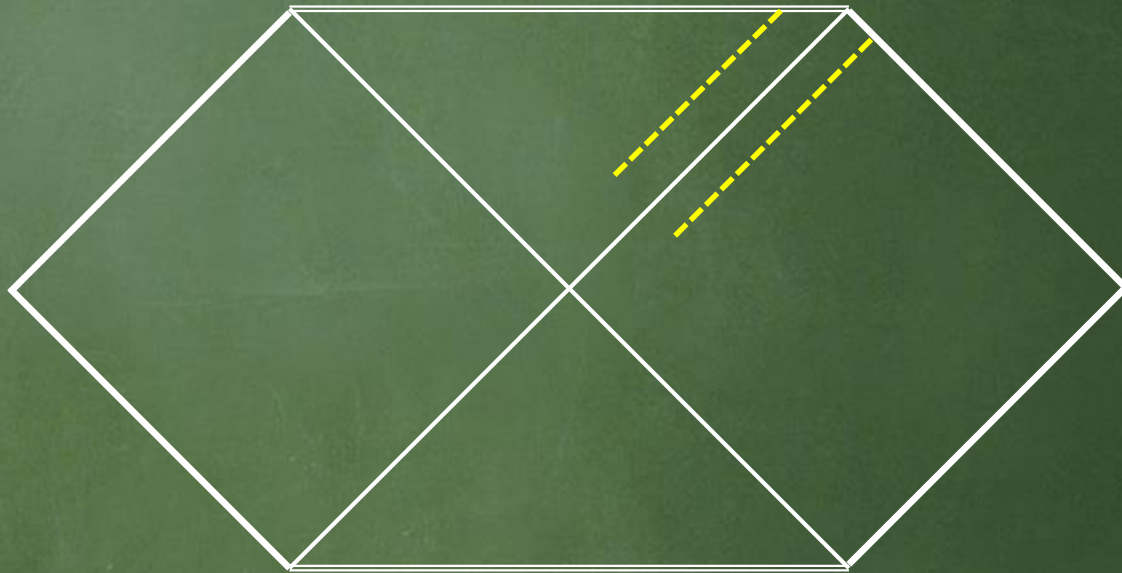


$$(\text{probability to emit a particle with energy } E) = e^{-\frac{2\pi E}{\kappa}} \times (\text{probability to absorb a particle with Energy } E)$$

Third way: Particle tunneling

Parikh and Wilczek, 2000

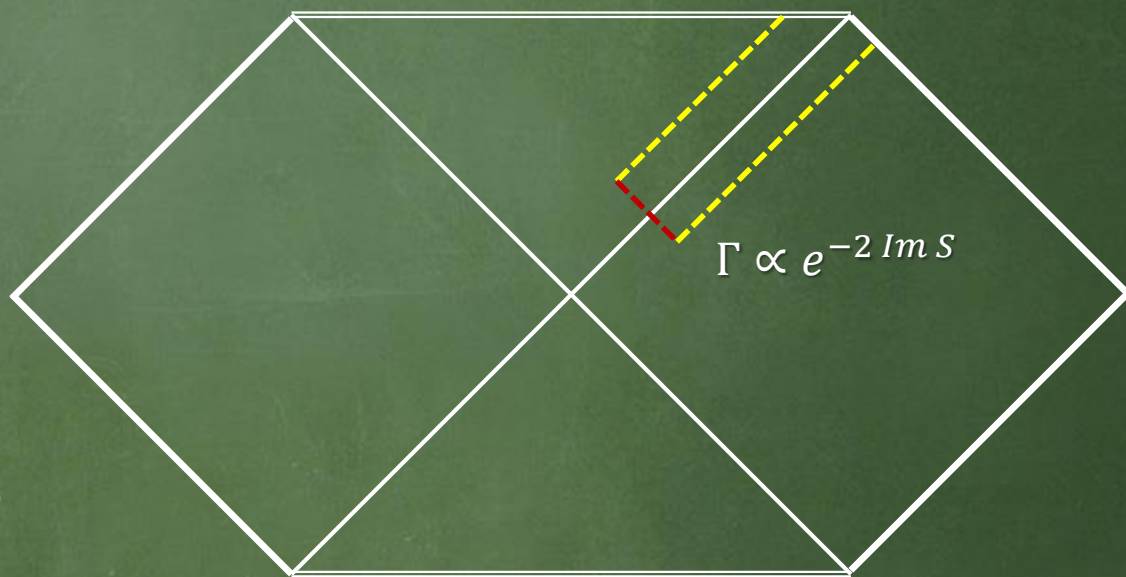
one can also calculate a tunneling between two null geodesics.



Third way: Particle tunneling

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one can also calculate a tunneling between two null geodesics.



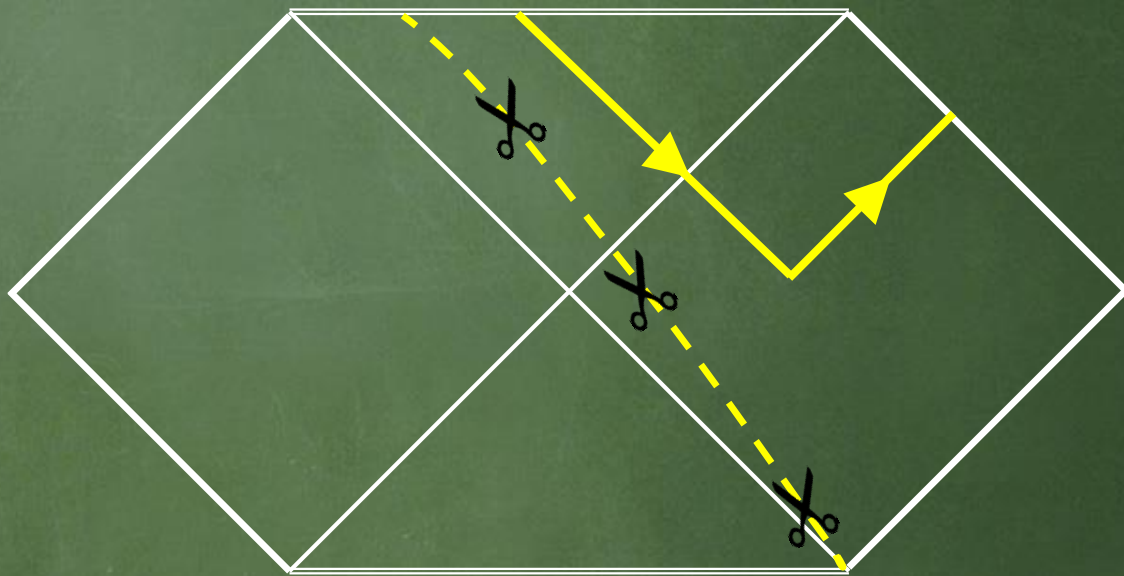
$$\text{Im} S = \text{Im} \int_{r_{in}}^{r_{out}} p_r dr = \text{Im} \int_M^{M-\omega} \int_{r_{in}}^{r_{out}} \frac{dr}{\dot{r}} dH = 4\pi\omega \left(M - \frac{\omega}{2} \right)$$

$(H = M - \omega')$

Fourth way?: **Using instantons?**

chen, Domenech, Sasaki and DY, in preparation

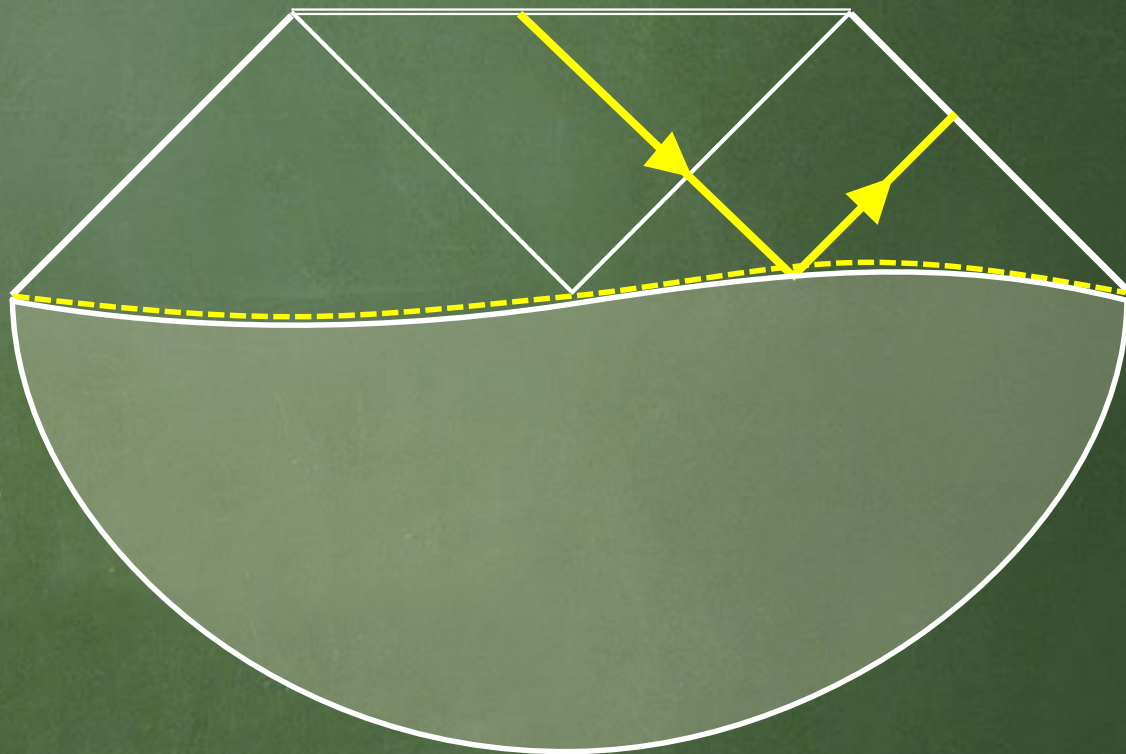
can this particle tunneling process generalize to instanton
picture of a field?



Fourth way?: **Using instantons?**

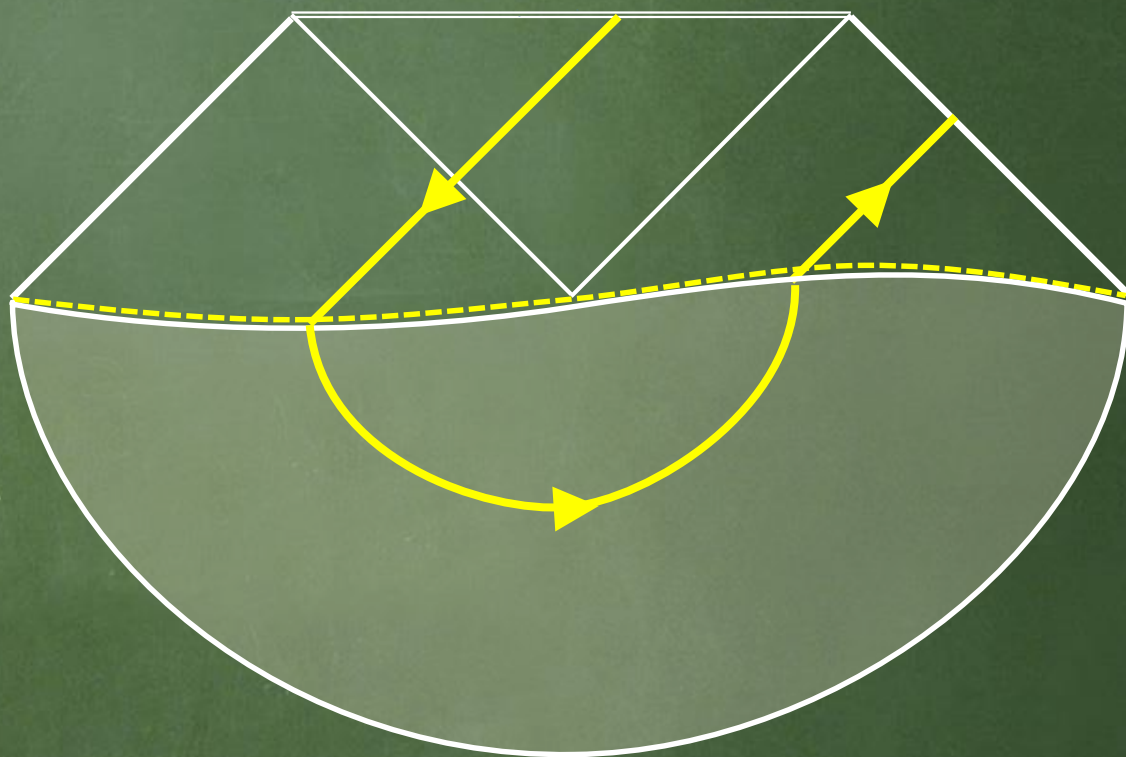
chen, Domenech, Sasaki and DY, in preparation

Find a scalar field solution of the Euclidean-Lorentzian manifold. **How to calculate a consistent probability?**



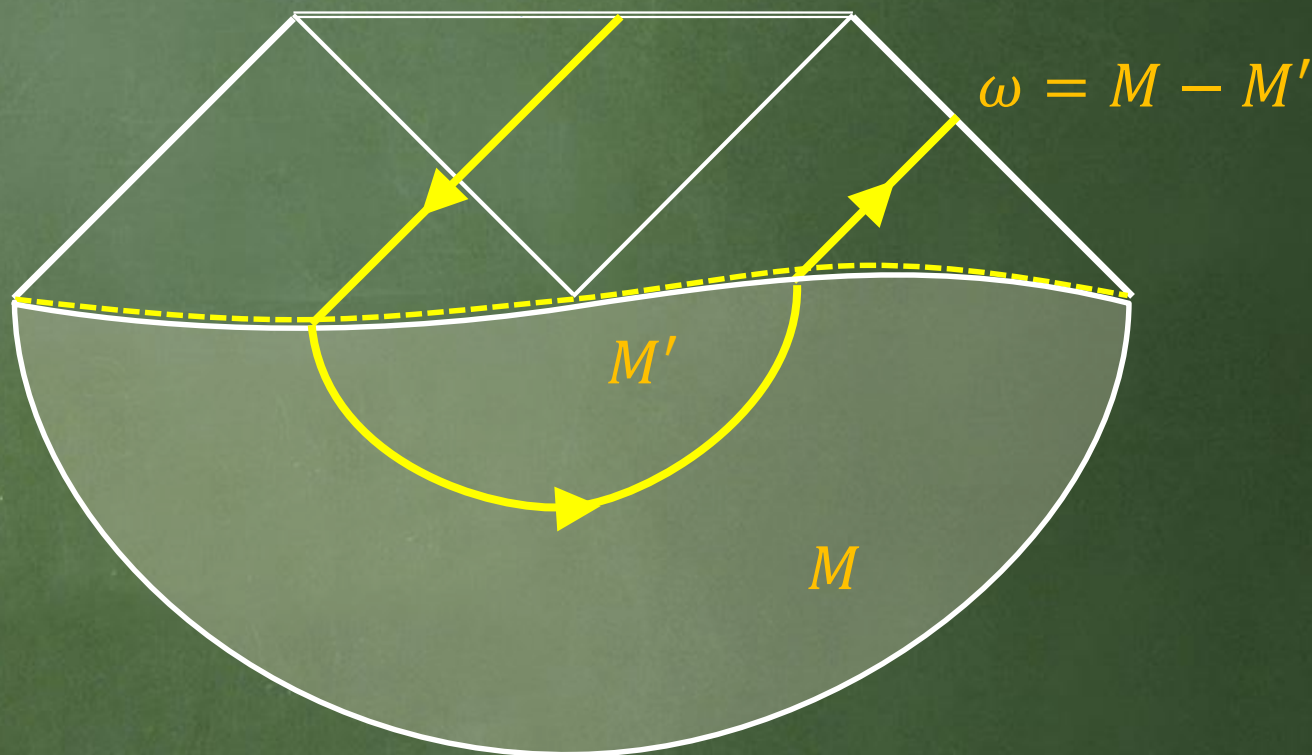
Fourth way?: **Using instantons?**

chen, Domenech, Sasaki and DY, in preparation



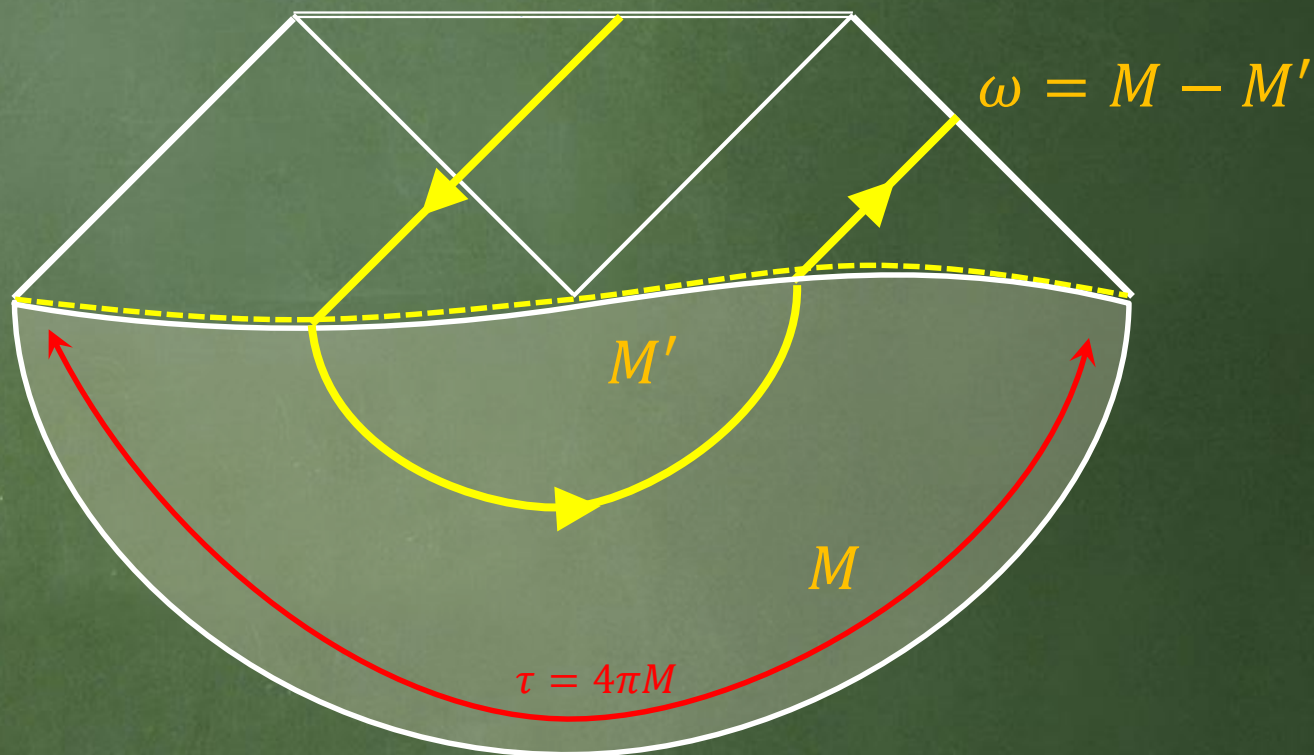
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Fourth way?: USING INSTANTONS?

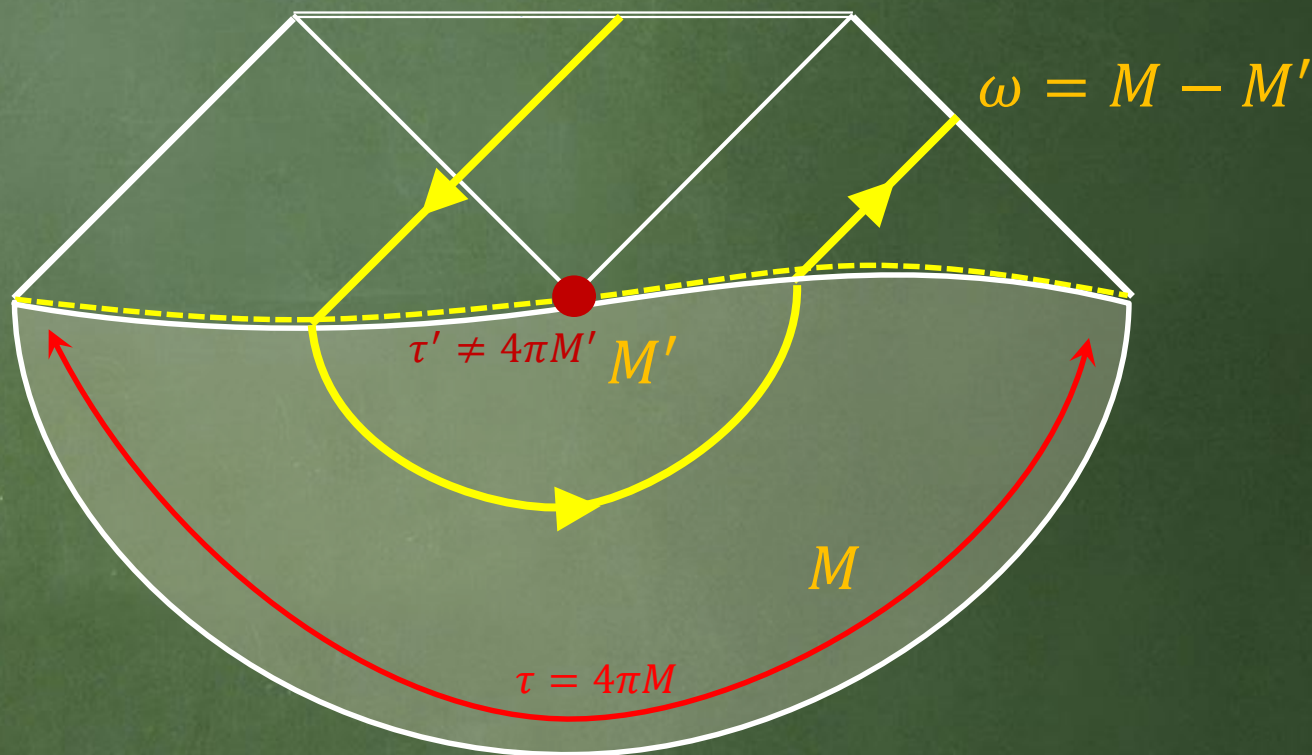
chen, Domenech, Sasaki and DY, in preparation



Fourth way?: USING INSTANTONS?

chen, Domenech, Sasaki and DY, in preparation

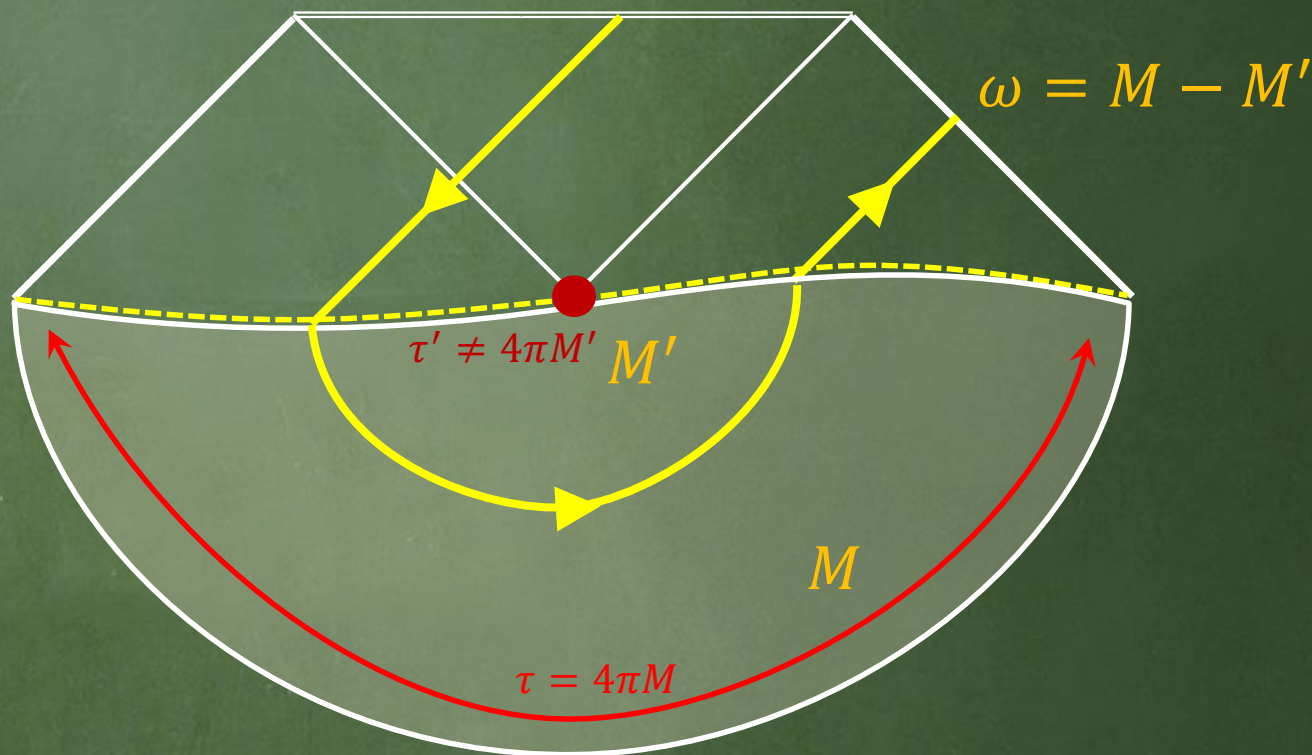
obstacles: there should be a cusp singularity!



Fourth way?: **Using instantons?**

chen, Domenech, Sasaki and DY, in preparation

We may overcome this problem: chen, Hu and DY, 2015.



Applications of Entropy

various notions of entropy

Information and entropy

A less probable event/state has more information.

$$I_i = -\log p_i$$

Information and entropy

A less probable event/state has more **information**.

$$I_i = -\log p_i$$

Entropy = Expectation value of information
= capacity of information

$$S \equiv \langle I \rangle = - \sum_i p_i \log p_i$$

From statistical mechanics

Density matrix: $\rho \equiv |\psi\rangle\langle\psi| = \sum_i p_i |i\rangle\langle i|$.

Von Neumann entropy

$$S \equiv -\text{Tr} \rho \log \rho = - \sum_i p_i \log p_i$$

From statistical mechanics

Density matrix: $\rho \equiv |\psi\rangle\langle\psi| = \sum_i p_i |i\rangle\langle i|$.

Von Neumann entropy

$$S \equiv -\text{Tr} \rho \log \rho = - \sum_i p_i \log p_i$$

Boltzmann entropy: in thermal equilibrium $\rho_{eq} = \sum_i \frac{1}{N} |i\rangle\langle i|$

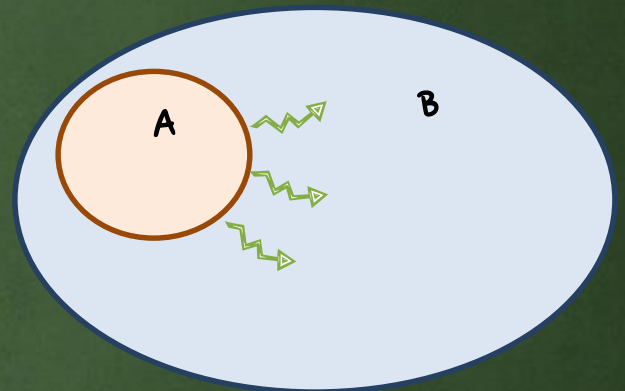
$$S_{eq} = N \times \left(-\frac{1}{N} \log \frac{1}{N} \right) = \log N$$

The possible largest entropy.

Entropy of subsystem

Let us assume that we consider:

1. A closed system with the **total number of states N**
2. Initially **total information** of the system is **$\log N$**
3. It was concentrated in A in the beginning.



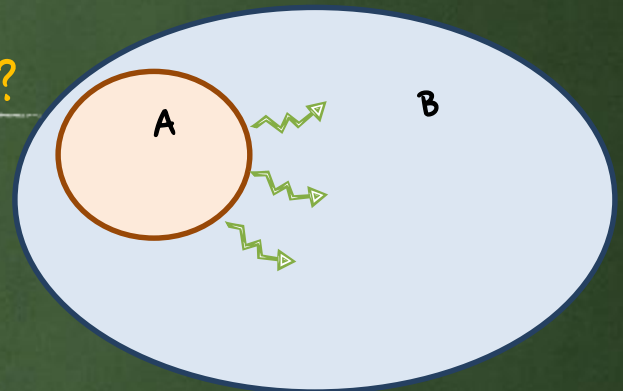
Entropy of subsystem

Let us assume that we consider:

1. A closed system with the **total number of states N**
2. Initially **total information** of the system is $\log N$
3. It was concentrated in A in the beginning.

Now I want to move particles from A to B.

Will the total information be conserved?



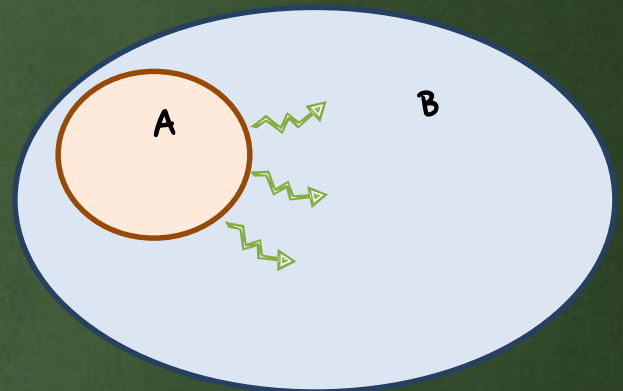
Entropy of subsystem

Page, 1993; Page, 2013

Let us define a measure of information:

$$I = S(B) - S(B|A)$$

where $S(B|A) = -\text{Tr}_B \rho_A \log \rho_A$ is the **entanglement entropy** and $\rho_A = \text{Tr}_A \rho$.

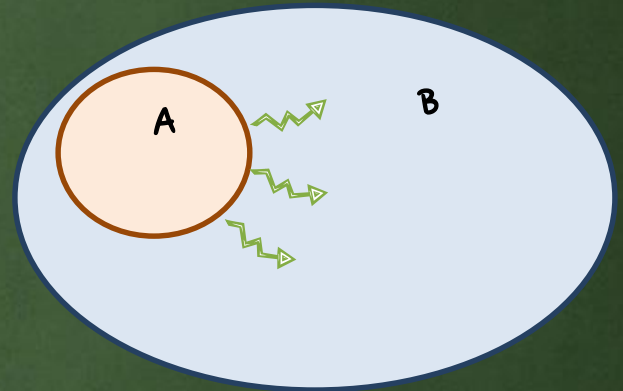


Estimation of entanglement entropy

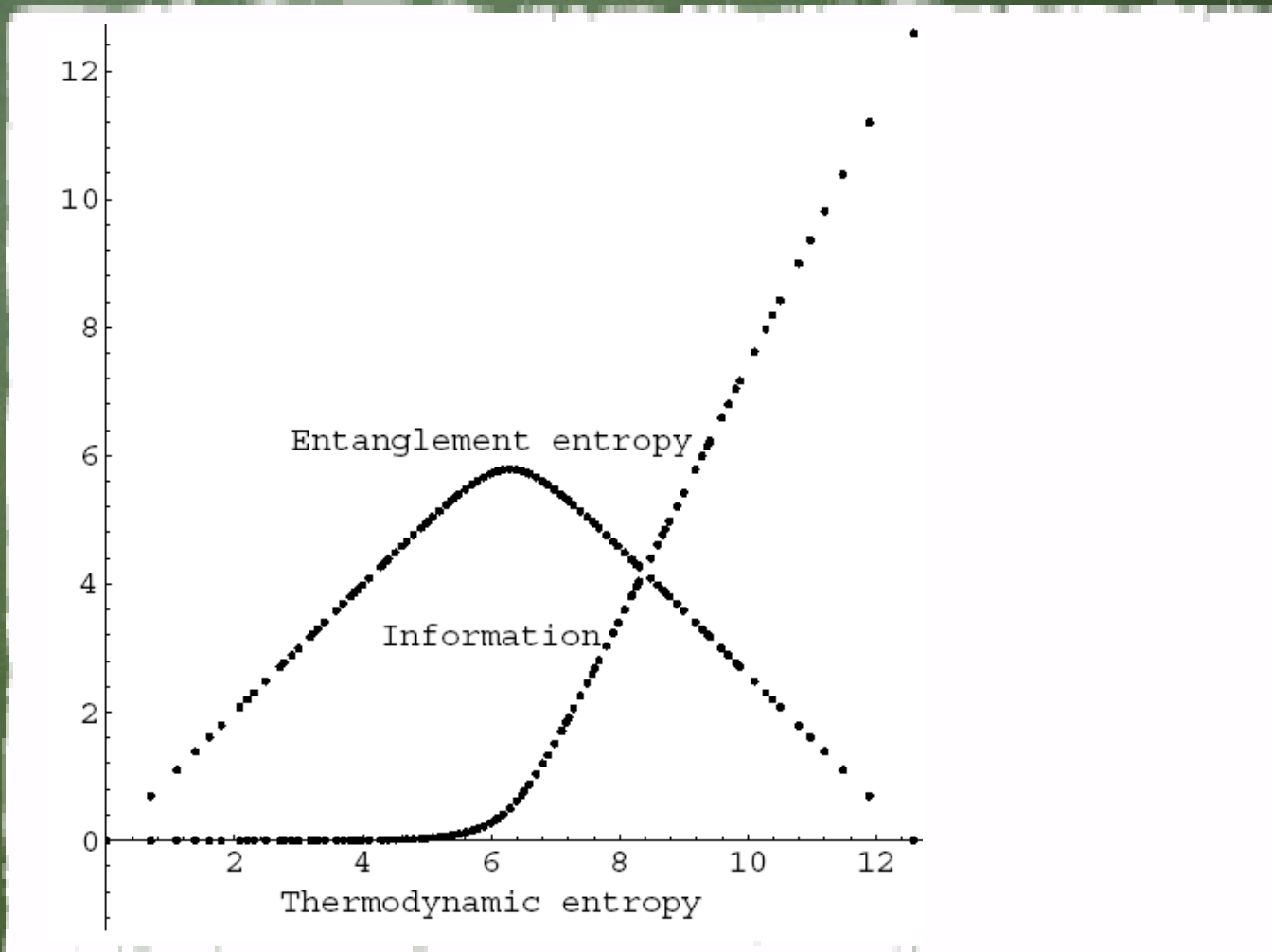
Page, 1993; Page, 2013

For a pure and random system, we can estimate the entanglement entropy ($m < n$):

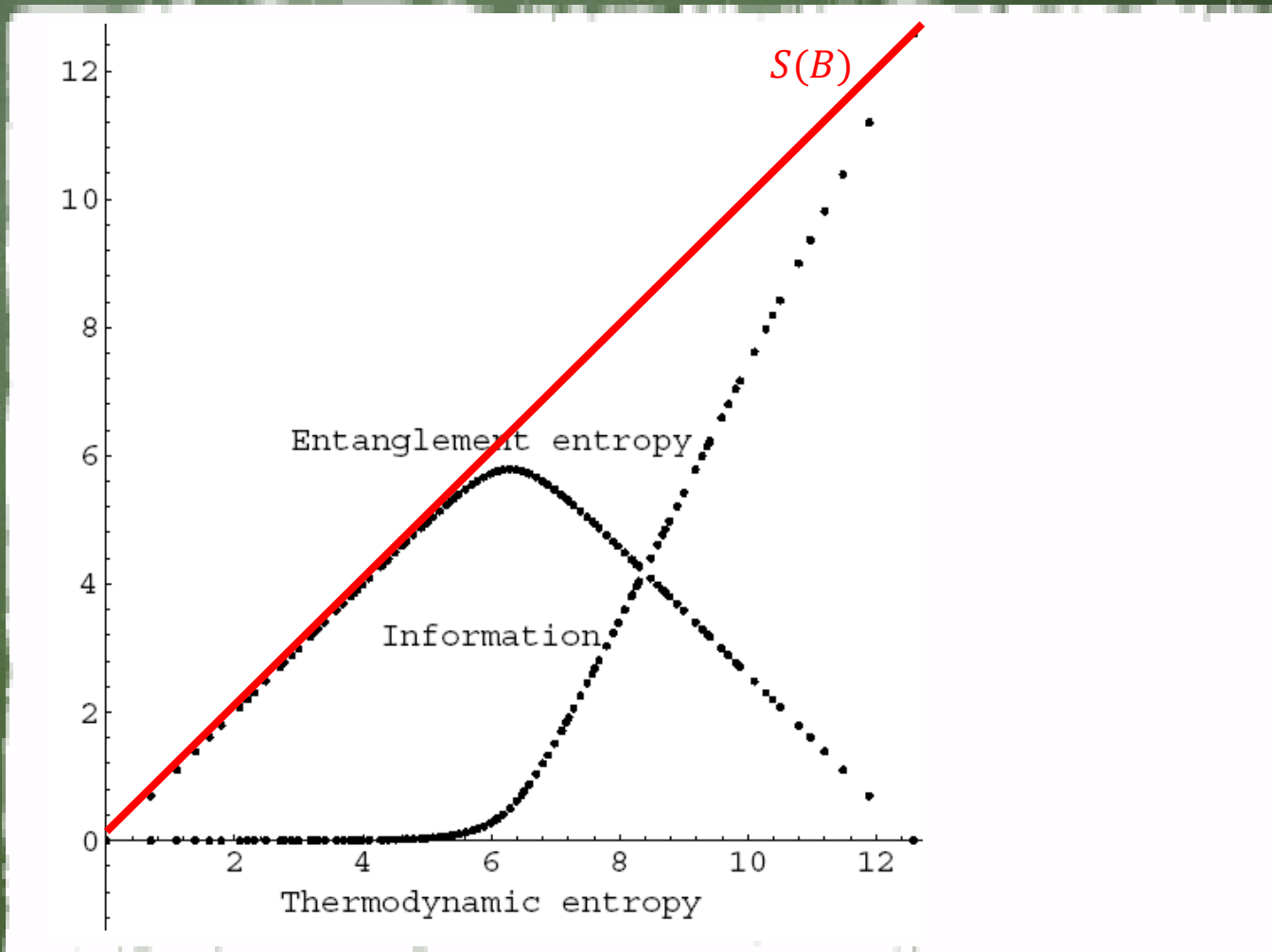
$$S(B|A) = \sum_{k=n+1}^{mn} \frac{1}{k} - \frac{m-1}{2n}$$



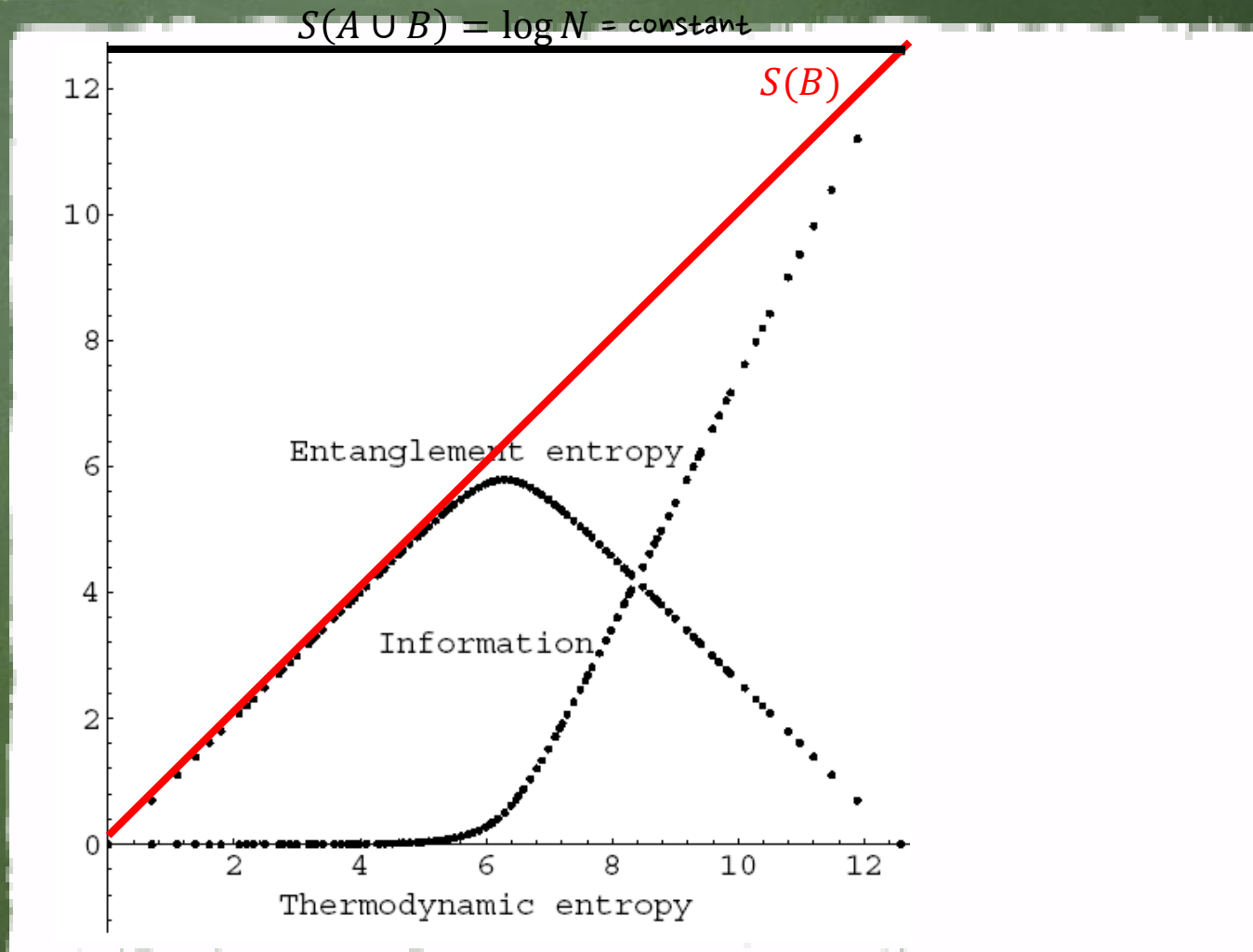
Estimation of entanglement entropy



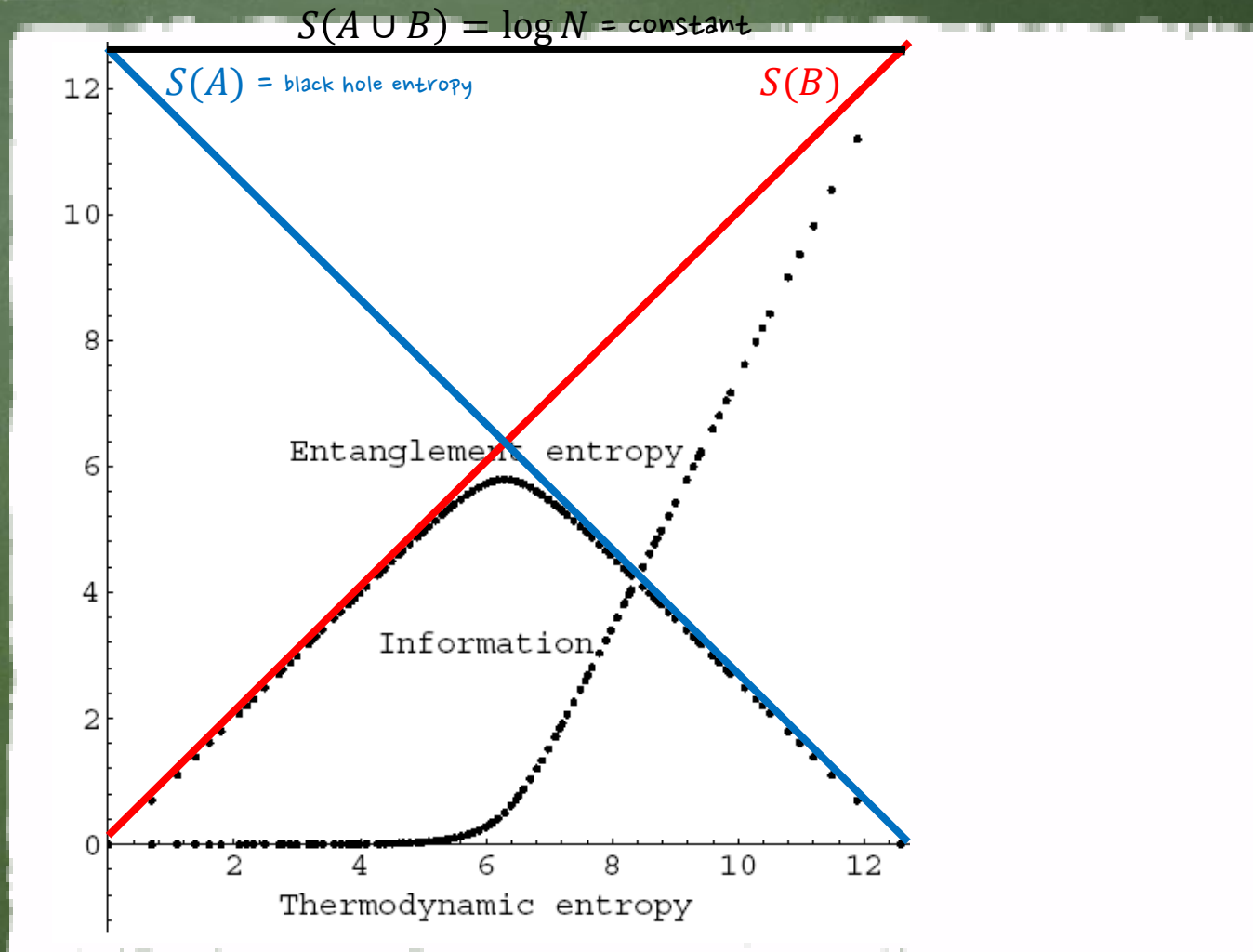
Estimation of entanglement entropy



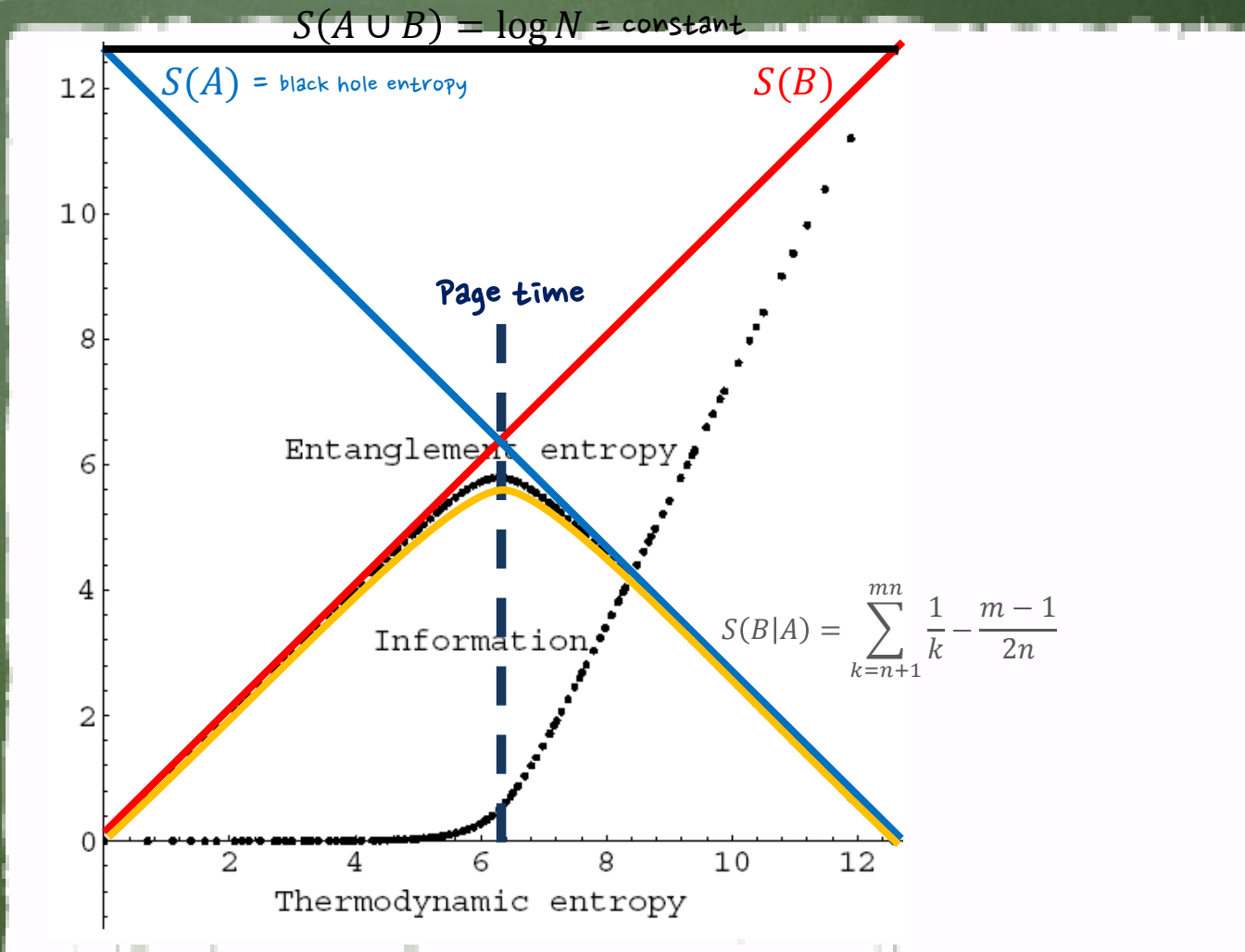
Estimation of entanglement entropy



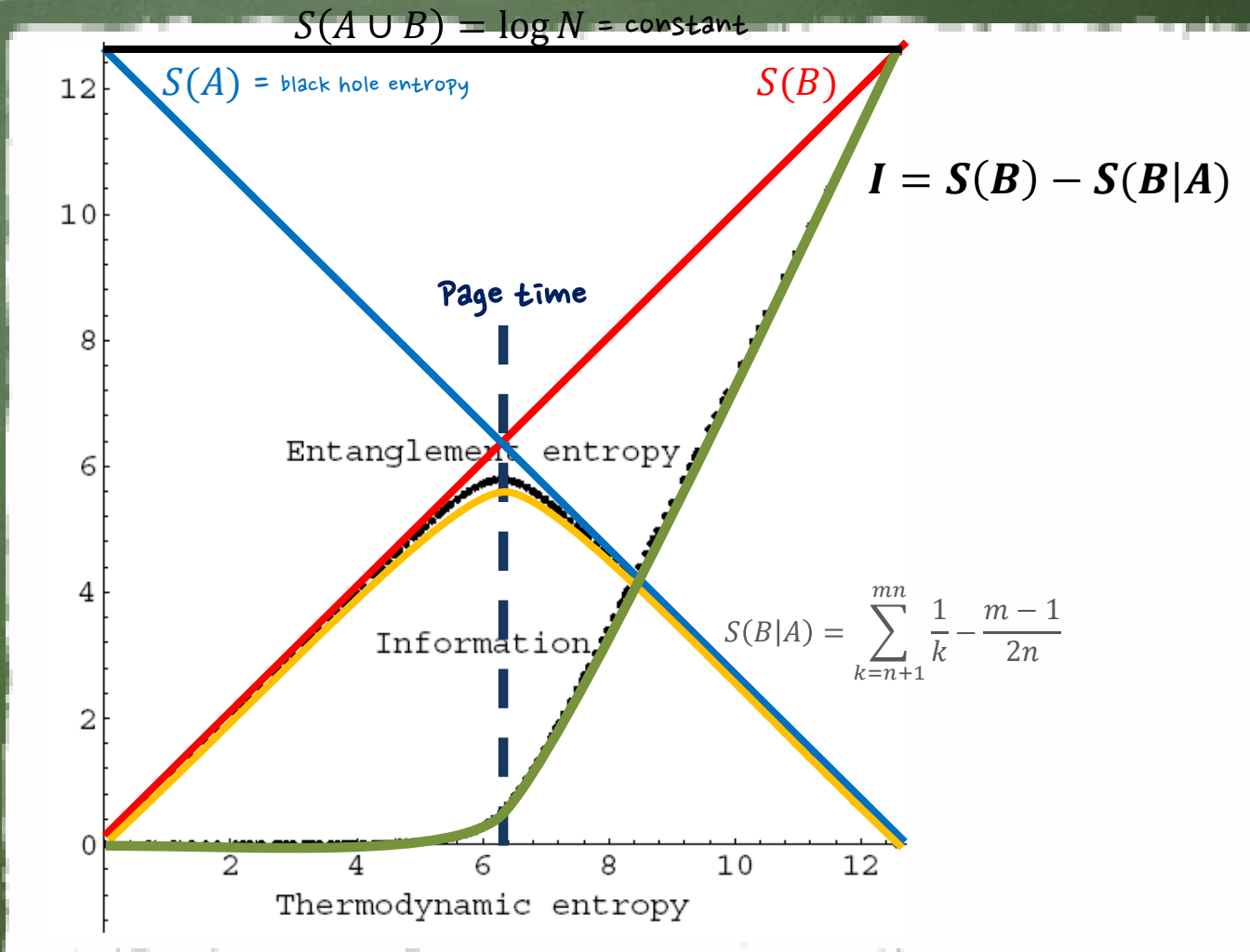
Estimation of entanglement entropy



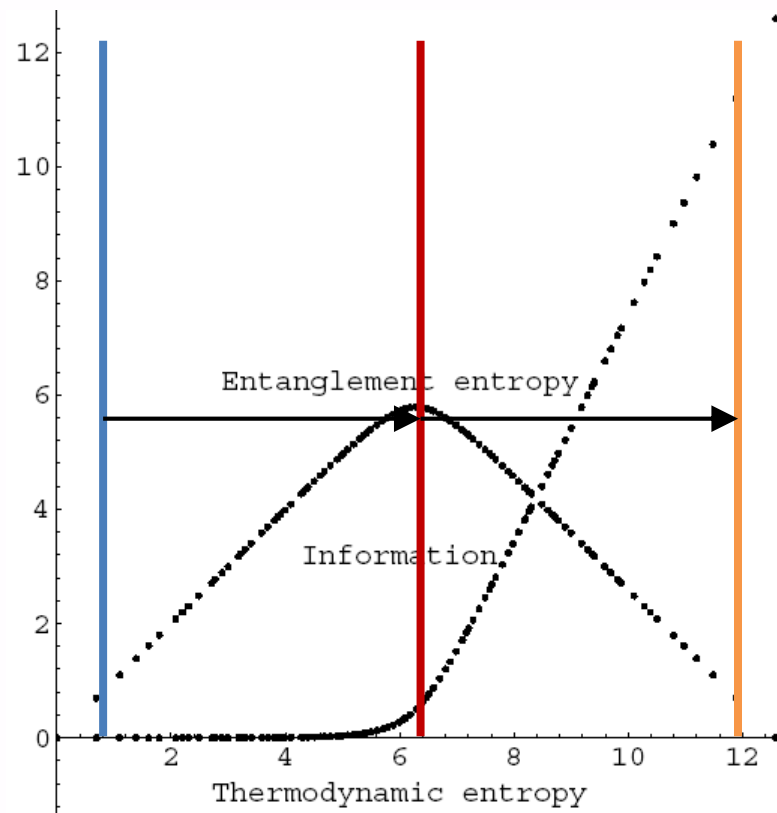
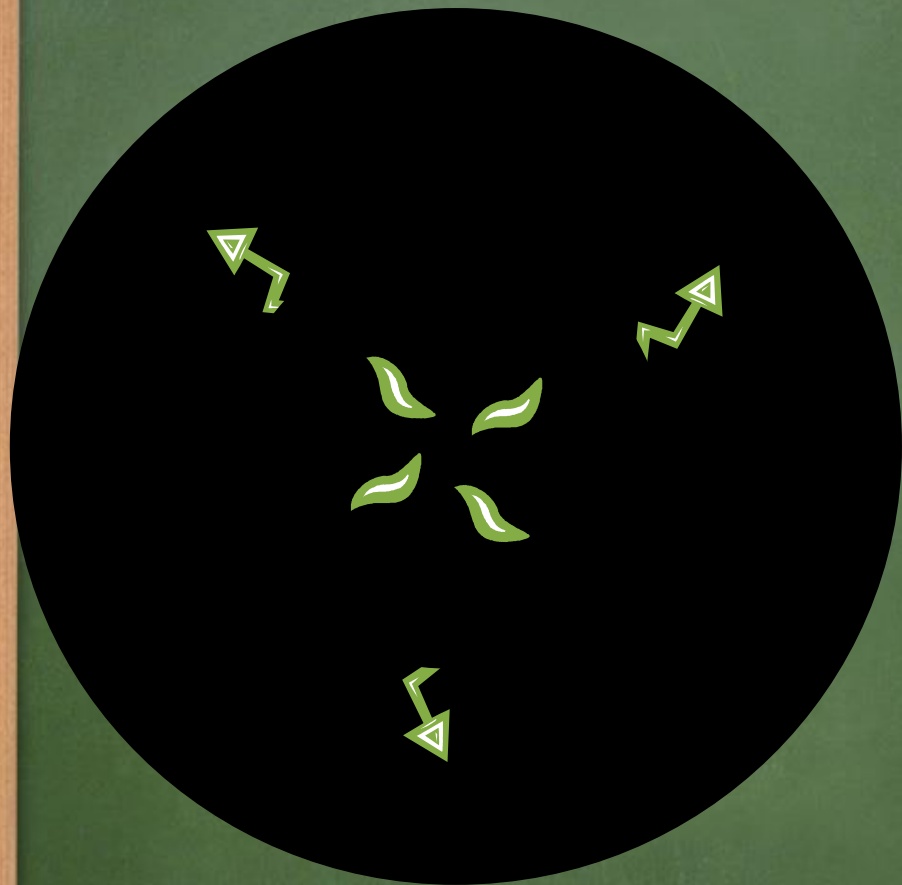
Estimation of entanglement entropy



Estimation of entanglement entropy



Emission of information



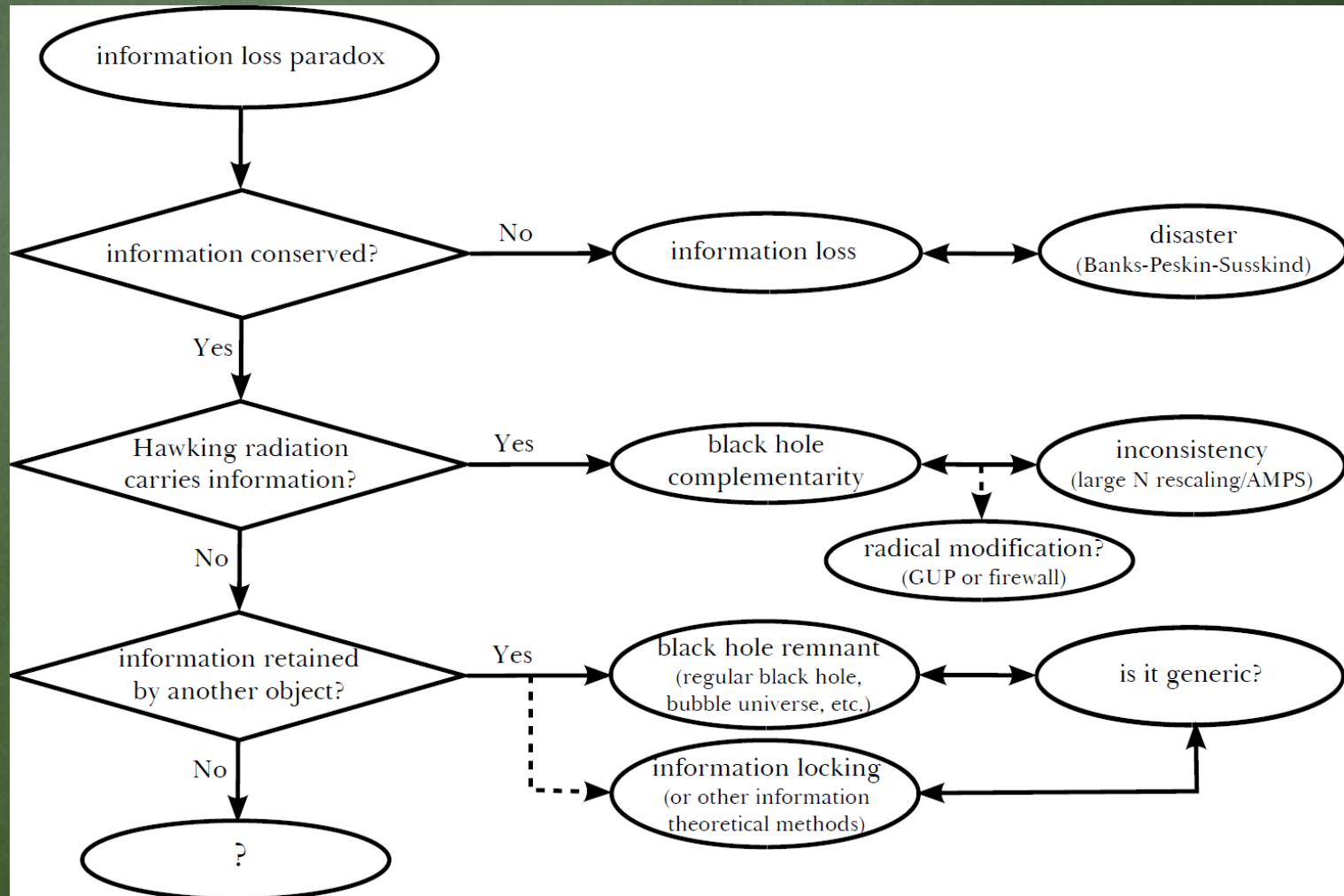
If $S(A) \propto \text{Area}$, then Hawking radiation should contain information.

Information loss problem

A bird's-eye view

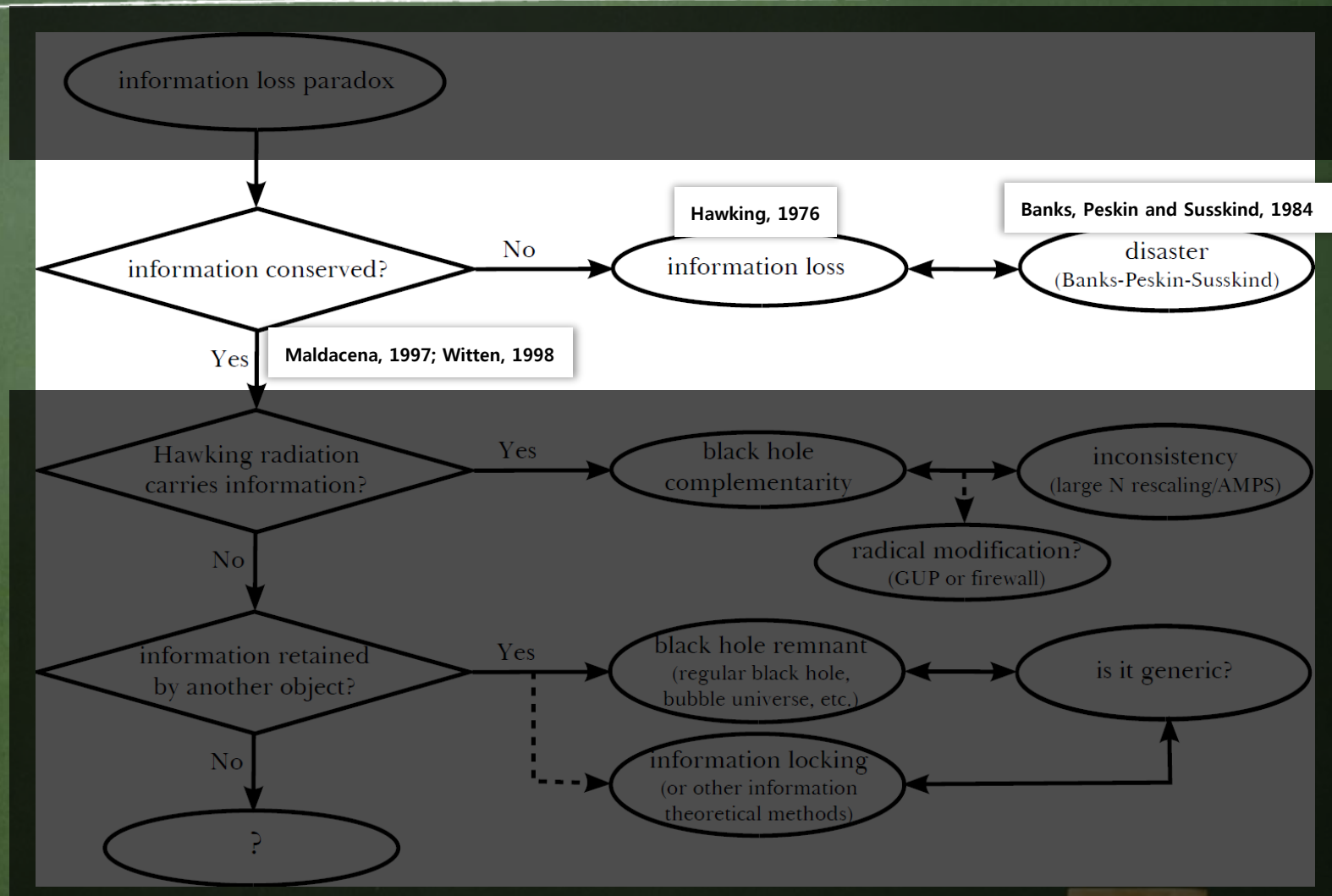
A bird's-eye view

chen, Ong and DY, 2014



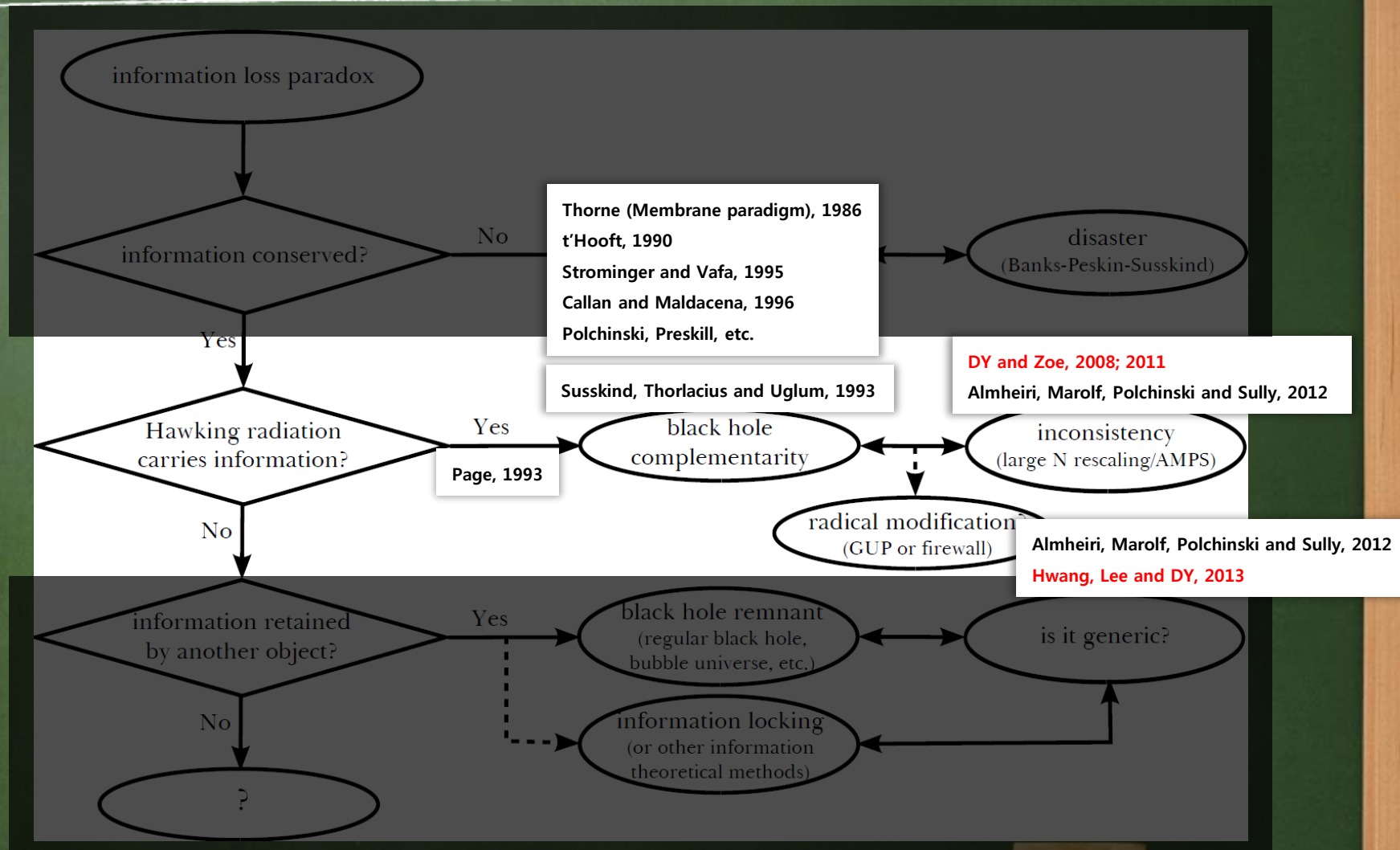
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chen, ong and DY, 2014



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