



# A Review of Higher Spin Field Theory

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## Higher Spins

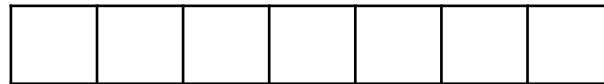
- ❖ Hadronic excitations
- ❖ Higher excitations in String Theory

**Can a better field-theoretical understanding of higher spins  
give useful lessons to String (Field) Theory?**

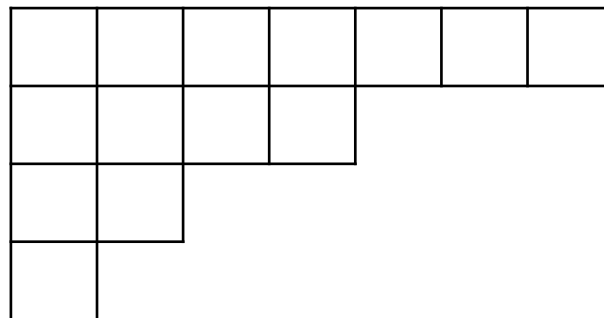
# Massive Higher Spins

Around **flat**  $d$  dimensions, **massive little group**:  $SO(d-1)$

In  $d=4$ , only **symmetric** YD rep



In  $d>4$ , various **mixed-symmetry** YD rep



Let us focus first on **symmetric massive Higher Spin** fields

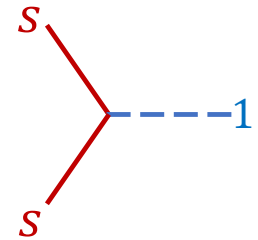
❖ Free Lagrangian by Singh Hagen in '74

❖ Consistent Interactions?

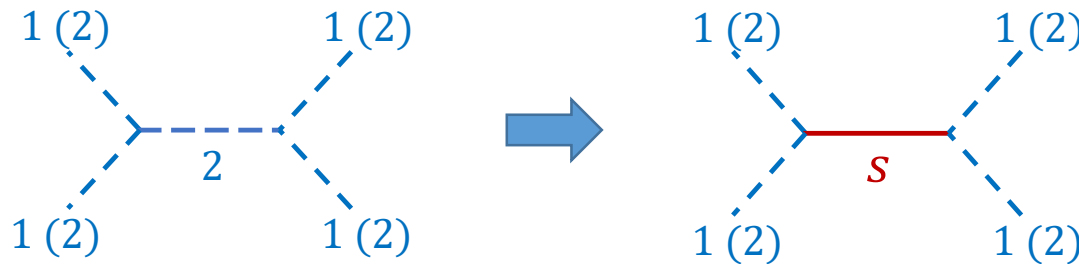
▪ Minimal **EM interaction** to charged massive HS → **Causality** Problem

✓ Requires non-minimal  $F_{\mu\nu}$  interaction

✓ String theory reproduces also higher  $F^n$  interactions



▪ Causality of  $F_{\mu\nu}$  or  $R_{\mu\nu\rho\sigma}$  interactions requires infinitely many massive HS



★ **Lesson:** HS interactions  $\leftrightarrow$  Higher derivatives (dim.ful parameter)

Other consistency of **massive** interactions?

Even, consistency of DoF is not obvious

- ❖ Simplest example of “higher spin” → spin two
  - Massive spin-two interaction problem → Massive Gravity
  - Consistent massive gravity potential term is very restrictive, but it turned out to be natural ones from massless gravity viewpoint
- ❖ **Massless** theory may give a hint



Basic features and Novelties of  
massless higher spin dynamics

# **Massless Higher Spins and Their Interactions**

# Massless (symmetric) Higher Spins

- ❖ Free Lagrangian by Fronsdal '78 (via massless limit of massive HS)

$$S_{\text{Fronsdal}} = \int d^d x \varphi^{\mu_1 \dots \mu_s} (\square + \dots) \varphi_{\mu_1 \dots \mu_s}$$

- **Gauge Symmetry:**  $\delta \varphi_{\mu_1 \dots \mu_s} = \partial_{(\mu_1} \varepsilon_{\mu_2 \dots \mu_s)}$
- **Subtlety of Trace Constraints**
  - Equivalent formulation w/o trace constraints (inspired by SFT)

- ❖ Consistent **Interactions?**

- Various **problems** such as Weinberg '64 (No long range interaction of HS)
- **Gauge Invariance** (with a nonlinear deformation)

**Gravitational** minimal interaction of massless spin  $s$

→ Fronsdal Lagrangian w/ **covariant derivatives**:  $\mathcal{L}_{\text{Fronsdal}}(\varphi, \nabla\varphi)$

✓ Manifestly **invariant** under **diffeomorphism**

✓ Invariance under **HS gauge** transform?

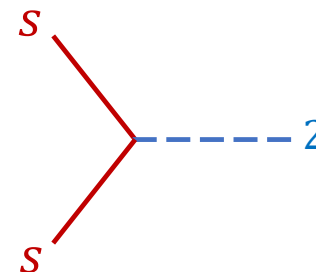
▪ In principle, terms from  $[\nabla_\mu, \nabla_\nu] \sim R_{\mu\nu\rho\sigma}$

▪  $s=1$  : **no** such term → Spin 1 is 'matter' w.r.t Gravity

▪  $s=3/2$  : term prop to  $R_{\mu\nu}$  → Compensate by  $\delta(\sqrt{g} R)$  with  $\delta g_{\mu\nu}$

➡ Spin 3/2 and 2 in a SUSY multiplet

▪  $s>2$  : term prop to  $R_{\mu\nu\rho\sigma}$  → Impossible to save HS gauge sym



**Incompatibility** between **Diffeomorphism** and **HS gauge symmetry**

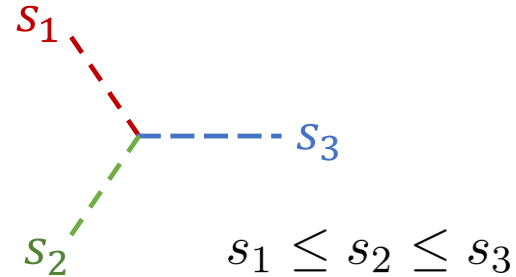


❖ Construction of gauge invariant interaction vertices

- Perturbative expansion

$$S = S_0 + S_1 + \dots$$

$$\delta\varphi = \partial\varepsilon + T_1(\varphi, \varepsilon) + \dots$$



- Gauge invariant cubic vertices

$$S_1 = \sum_{n=0}^{s_1} g_{s_1+s_2+s_3-2n} V_{s_1+s_2+s_3-2n} \quad \# \text{ of } \partial$$

Diffeomorphism

2-2-2  $V_2 \sim R$       $V_4 \sim R^{\mu\nu}{}_{\rho\sigma} R^{\rho\sigma}{}_{\mu\nu}$       $V_6 \sim R^{\mu\nu}{}_{\rho\sigma} R^{\rho\sigma}{}_{\alpha\beta} R^{\alpha\beta}{}_{\mu\nu}$

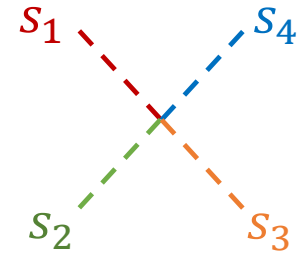
0-0-2  $V_2 \sim (\nabla\phi)^2$      General covariance of spin 0 and 1

1-1-2  $V_2 \sim g^{\mu\rho} g^{\nu\sigma} F_{\mu\nu} F_{\rho\sigma}$       $V_4 \sim R^{\mu\rho\nu\sigma} F_{\mu\nu} F_{\rho\sigma}$

$s-s-2$   $V_{2s-2}$       $V_{2s}$       $V_{2s+2}$      Loose general covariance

➡ Consistent cubic interactions are higher derivative types

❖ Consistent quartic vertices: seemingly, nothing local

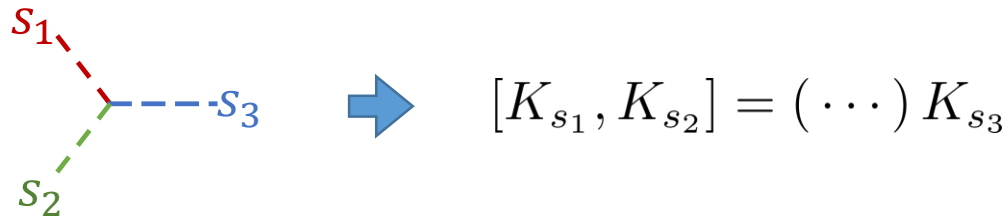


▪ One way to see this → Global Sym

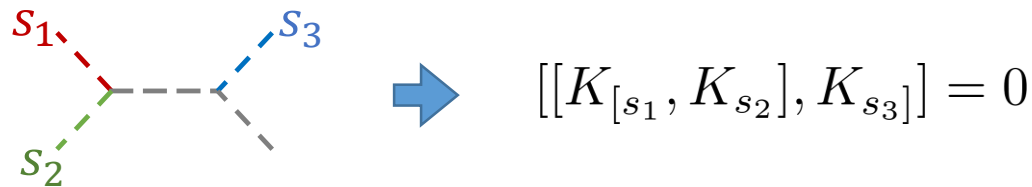
✓ Generator fixed by Killing eq,  $\partial\varepsilon = 0$

$$K_s \sim \begin{array}{|c|c|c|} \hline & s-1 & \\ \hline & & \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline & s-1 & \\ \hline & & \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline & s-1 & \\ \hline & & \\ \hline \end{array} \quad \dots \quad \begin{array}{|c|c|c|} \hline & s-1 & \\ \hline & & \\ \hline \end{array}$$

✓ Bracket fixed by cubic vertices



✓ Quartic consistency → Jacobi identity



**Impossible** → No massless HS interactions in **FLAT SPACE**



## Remind

★ **Lesson:** HS interactions  $\leftrightarrow$  Higher derivatives (dim.ful parameter)

➔ Massive HS and/or Massless HS in (A)dS

Mass and Cosmological Const. play somewhat similar role

❖ Gauge invariant cubic vertices in (A)dS

$$S_1 = \sum_{n=0}^{s_1} g_{s_1+s_2+s_3-2n} V_{s_1+s_2+s_3-2n}$$

Max # of  $\nabla$

- Very analogous to flat space case
- Important **difference:**

**General covariance of spin  $s$  !!**

$$s-s-2 \quad V_{2s-2} \quad V_{2s} \quad V_{2s+2}$$
$$\sim (\nabla\varphi_s)^2 + \frac{W}{\Lambda} (\varphi_s \nabla^2 \varphi_s) + \dots + \frac{W}{\Lambda^{s-2}} (\varphi_s \nabla^{2s-4} \varphi_s)$$



➔ Fields of diff spins in a multiplet of HS sym

- Higher spins can be charged under lower spins (e.g. colored gravity)

Towards a Full Nonlinear Theory  
of Massless Higher Spins

# Higher Spin Gravity

# Higher Spin Algebra

❖ What is it? : Lie algebra generated by

$$\bigcup_s \left\{ \begin{array}{|c|c|c|c|} \hline & s-1 & & \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|} \hline & s-1 & & \\ \hline \square & & & \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|} \hline & s-1 & & \\ \hline \square & \square & & \\ \hline \end{array} \quad \dots \quad \begin{array}{|c|c|c|c|} \hline & s-1 & & \\ \hline \square & & & \\ \hline \square & & & \\ \hline \end{array} \right\}$$

❖ Does this sym exist? If not, the quartic consistency would fail even in (A)dS

## ❖ Vasiliev's HS Algebra '87

- Various Equivalent Definitions
  - Star product algebra in a certain oscillator space
  - Maximal quotient of UEA of  $so(2,d)$  (relation to min orbit)
  - Maximal symmetry of free conformal scalar in  $d-1$  dim!
- Contains all even (and odd) spin generators

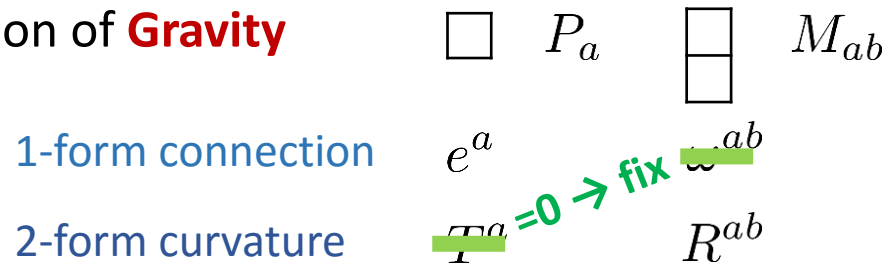
▪ Flato-Fronsdal

$$\text{Rac} \otimes_{(\text{sym})} \text{Rac} = \bigoplus_{\text{even } s, (\text{odd } s)} D(s + d - 2, s)$$

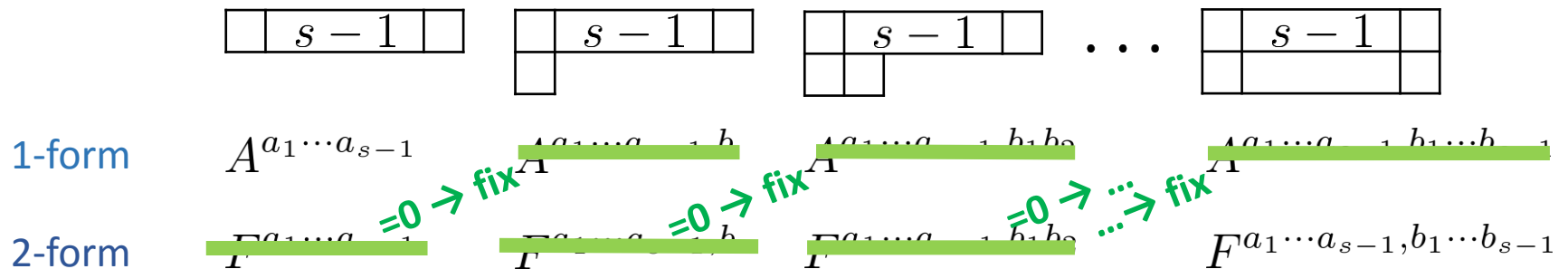
massless spin  $s$  rep

# 1<sup>st</sup> order formulation of Higher Spins

❖ 1<sup>st</sup> order formulation of **Gravity**



❖ 1<sup>st</sup> order formulation of **massless spin s**



▪ This step also gives **EoM** → difficult to disentangle **EoM** and **Constraints**

▪ Fradkin Vasiliev construction 
$$S = \int \sum_{r=0}^{s-1} \frac{a_r}{\Lambda^r} (F^{(s-1,r)})^2$$

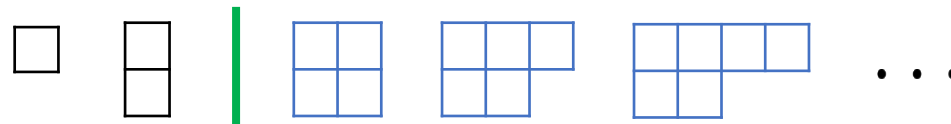
✓ Use of **nonlinear** curvature → Gauge invariant cubic interactions

# Unfolded Equations

- ❖ Motivation: no privilege to metric
- ❖ Universal treatment of **EoM** & **Constraints**
- ❖ Gravity ex.

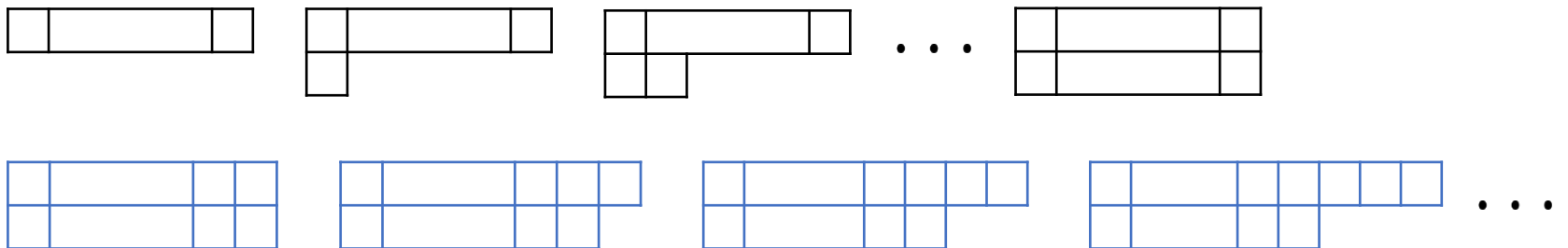
Fields:  $e^a$      $\omega^{ab}$      $C^{ab,cd}$

Eqns:  $D e^a = 0$      $D \omega^{ab} = e_c e_d C^{ac,bd}$      $D C^{ab,cd} = e_e C^{abe,cd} + \dots$      $\dots$

















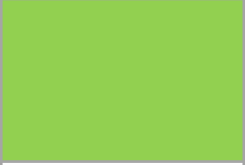

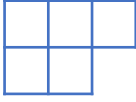
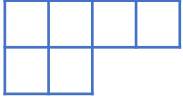

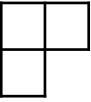
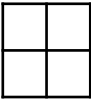




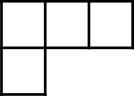
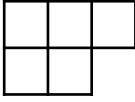
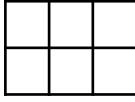


**1-form**                  **0-form**

- ❖ Spin  $s$





0-form field  $C_I$     **Twisted Adj** rep generated by  $K^I$

$s = 0$						
$s = 1$						
$s = 2$						
$s = 3$						
$s = 4$						

1-form field  $A^I$     **Adjoint** rep of HS algebra generated by  $K_I$

❖ Ansatz for nonlinear HS equations

$$d A^I + f_{JK}^I(C) A^J A^K = 0$$

$$d C_I + g_{IK}^J(C) C_J A^K = 0$$

- Frobenius condition → impose conditions on  $f_{JK}^I(C)$  and  $g_{IK}^J(C)$ 
  - Free Differential Algebra (FDA)
  - Infinite dimensional Lie Algebroid
- Vasiliev identified on  $f_{JK}^I(C)$  and  $g_{IK}^J(C)$  up to  $O(C^3)$  ['88, '89]

❖ “Vasiliev’s Equations” [‘90]

- Similar eqns which **GENERATE**  $f_{JK}^I(C)$  and  $g_{IK}^J(C)$
- Key ideas: extend (“double”) the fiber, s.t. “ $f_{JK}^I$  and  $g_{IK}^J$ ” become cnst but, fields are subjects to algebraic constraints



# Vasiliev's Equation in 4d

- ❖ HS algebra realized by **oscillators**  $Y_A Y_B, Y_A Y_B Y_C Y_D, \dots$

$$A^I \rightarrow A(Y) \quad C_I \rightarrow C(Y)$$

- ❖ **Doubling** of oscillator space:  $A(Y, Z), C(Y, Z), S_A(Y, Z)$   
new fields

- ❖ The Equations

$$dA + A \star A = 0 \quad dC + [A \star, C] = 0 \quad dS_\alpha + [A \star, S_\alpha] = 0$$

Algebraic  
constraints

$$[C \star, S_\alpha] = 0 \quad [S_\alpha \star, S_\beta] = \epsilon_{\alpha\beta} (1 + e_\star^{i\Theta_\star(C)} \star C)$$

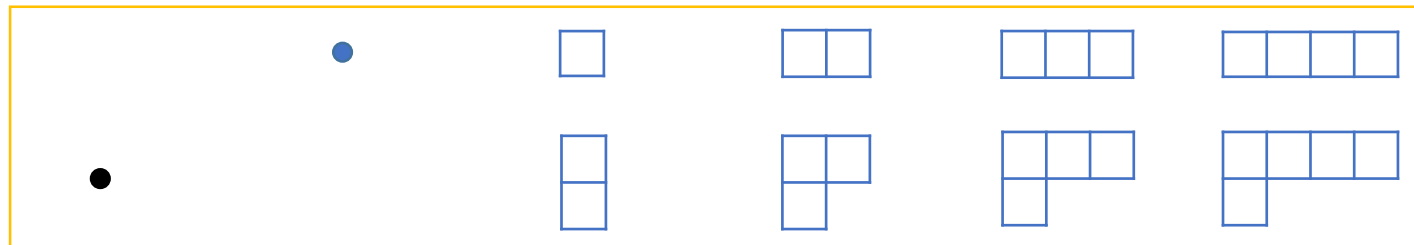
- ❖ Interaction Ambiguity  $\Theta_\star(C) = \theta_0 + \theta_2 C^{\star 2} + \dots$

- **Parity** invariance  $\rightarrow \theta_0 = 0 \text{ or } \pi, \theta_{n>0} = 0$

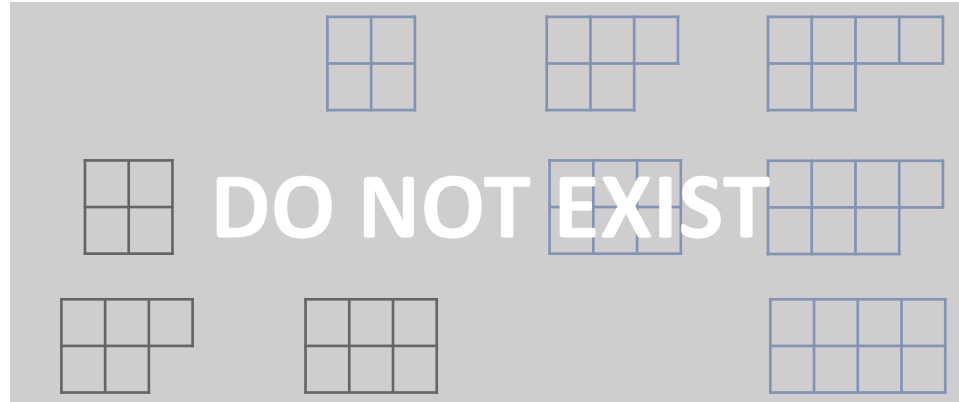
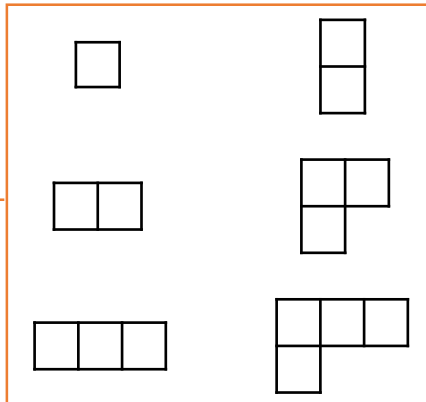
- ❖ A few exact solutions

- ❖ **No** action principle yet

# Higher Spin Theories in 3d



two  
scalars



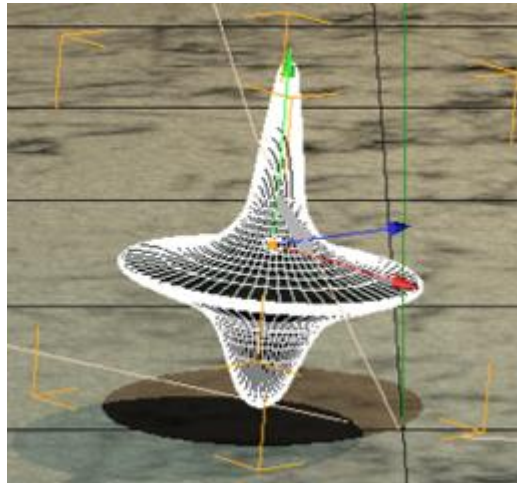
❖  $sl(n, \mathbb{R}) \oplus sl(n, \mathbb{R})$  CS  $\rightarrow$  Theory of massless spin  $2, 3, \dots, n$

❖ 3d Vasiliev Eq  $\rightarrow$  Theory of massless spin  $2, 3, \dots, \infty$  and **two scalars**

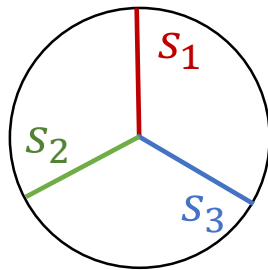
Can be viewed as CS gauge sector coupled to matter sector

AdS/CFT conjectures  
Involving higher spin gravity

# Higher Spin Holography



$AdS_{d+1}$	$CFT_d$
Field contents	Single trace operators
<ul style="list-style-type: none"> <li>Fields of mass <math>M</math> &amp; spin <math>s</math></li> </ul>	<ul style="list-style-type: none"> <li>Operators of dimension <math>\Delta</math> &amp; spin <math>s</math></li> </ul>
<ul style="list-style-type: none"> <li>Massless spin <math>s</math> fields</li> </ul>	<ul style="list-style-type: none"> <li>Spin <math>s</math> conserved current operators</li> </ul>
Cubic Interactions	3pt functions



$$\langle \mathcal{O}_{s_1}(x_1) \mathcal{O}_{s_2}(x_2) \mathcal{O}_{s_3}(x_3) \rangle$$

Classifications match

❖ Holography for HS gravity in  $AdS_{d+1}$  with  $d \geq 3$

- HS sym: max sym of conf scalar ( $CFT_3$  with HS sym  $\rightarrow$  only free scalar/spinor)
- Flato-Fronsdal: operators bilinear in  $\phi \rightarrow$  Conserved currents of any spins

Vector Model

❖  $AdS_{d+1}/CFT_d$  ( $d \geq 3$ )

- U(N)/O(N) Scalar Vector Model  $\Leftrightarrow$  (Non)-minimal Vasiliev Theory

❖  $AdS_4/CFT_3$

- Spinor Vector Model  $\Leftrightarrow$  Vasiliev Theory with  $\theta_0 = \pi$ 
  - ✓ Test for a large class of 3pt functions
- Critical Models  $\Leftrightarrow$  AdS scalar with different BC
- Parity violating Vasiliev Theory with  $\theta_0 \Leftrightarrow$  CS coupling to 3d CFT
  - ✓ Test for a few 3pt fns, but not conclusive
- Open question: Vasiliev theory with other  $\theta_n$  ?



## ❖ $AdS_3/CFT_2$

- Background of 3d Vasiliev theory, parametrized by  $\lambda$
- HS sym:  $hs(\lambda) = UEA(sl_2)/C_2(\lambda)$
- Asymptotic symmetry:  $W_\infty(\lambda)$ 
  - Nonlinear sym (not a Lie algebra)
  - Does not contain  $hs(\lambda)$
- Duality: Vasiliev theory  $\Leftrightarrow W_N$  minimal model CFT
  - ✓ Test for spectrum and a few 3pt functions
- BH-like solutions in HS CS theory

# 1 Loop Test for HS AdS/free CFT

❖ Dictionary: 1 Loop in AdS  $\leftrightarrow$  1/N in CFT

Free CFT	HS Gravity in AdS
No 1/N Correction	No Loop Correction?

❖ Test for **Vacuum Energy**

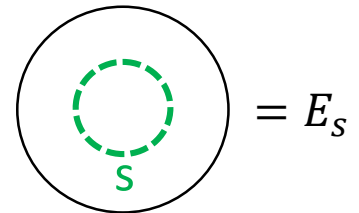
▪ Total vacuum energy: sum of  $\infty$  contributions

○ For **non-minimal** model:  $\sum_{all\ s} E_s = 0$

○ For **minimal** model:  $\sum_{even\ s} E_s = E_{bd\ scalar}$

➡ Shift of HS Coupling Const.  $N \rightarrow N-1$

▪ 5d HS Gravity dual to free spin 1 in 4d :  $N \rightarrow N-2$



# Comments on Other Topics / Recent Progress



# Other Topics

## ❖ Other Formulation

- BRST related
- Tensorial space
- Other metric-like form.
- World-Line formalism

## ❖ Other Spectra

- Mixed Sym HS
- Partially Massless and Massive HS
- Conformal HS
- Non-Relativistic HS

## ❖ Extensions of Vasiliev's Eq

- SUSY
- Color Decoration
- Higher Form

## ❖ Relation to String Theory

- Tensionless Limit
- WS proposal

# Recent Progress

## ❖ Better understanding of Vasiliev's Equation

- Explicit derivation of **cubic vertices**
- Holographic identification of one **nonlocal quartic vertex**

## ❖ Generalizations

- Extension of HS Algebras to **Multi-Ptcl.** & **Partially Massless** ones
- Various Properties of **Conformal HS**
- Holography for **Stringy** Extensions
- Rainbow Vacua of Colored (HS) Gravity



Thank you for your attention