

5d descriptions of 6d SCFTs and their equivalences

Sung-Soo Kim



电子科技大学
University of Electronic Science and Technology of China

Autumn Symposium on String theories 2016-09-22

Hiroataka Hayashi, Kimyeong Lee, Masato Taki, Futoshi Yagi

[arXiv:1607.07786](https://arxiv.org/abs/1607.07786) [arXiv:1512.08239](https://arxiv.org/abs/1512.08239) [arXiv:1509.03300](https://arxiv.org/abs/1509.03300) [arXiv:1505.04439](https://arxiv.org/abs/1505.04439) [arXiv:1504.03672](https://arxiv.org/abs/1504.03672)

Introduction

- 6d **$N=(1,0)$ SCFTs**

Talks by Seok Kim, Lockhart, Joonho Kim, Xie

M-theory constructions: M5 on ALE singularities

[Del Zotto-Heckman-Tomasiello-Vafa '14]

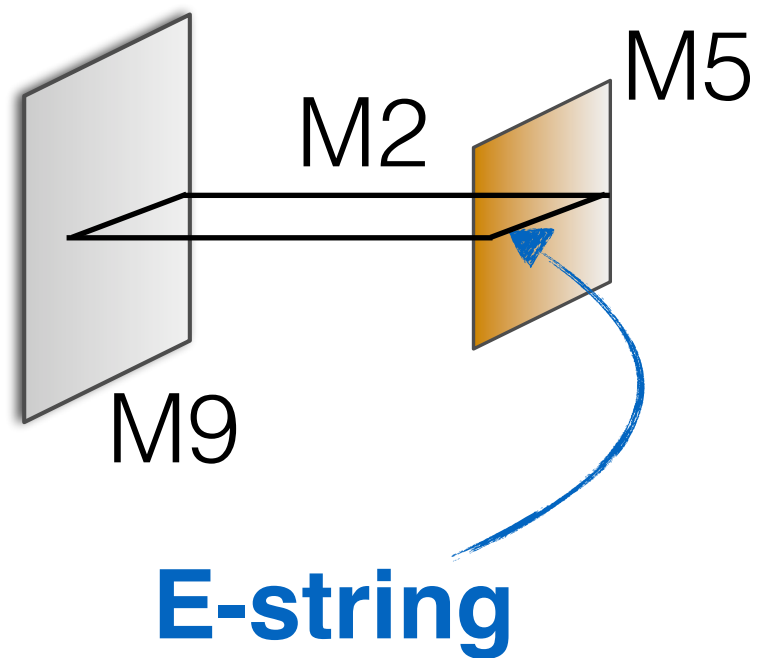
(1,0) theory: F-theory classifications [Heckman-Morrison-Rudleius-Vafa '15]

- 6d theory on S^1 : 5d description

radius $\longleftrightarrow$ gauge coupling

KK momentum $\longleftrightarrow$ 5d instanton particle

6d $N=(1,0)$ E-string theory

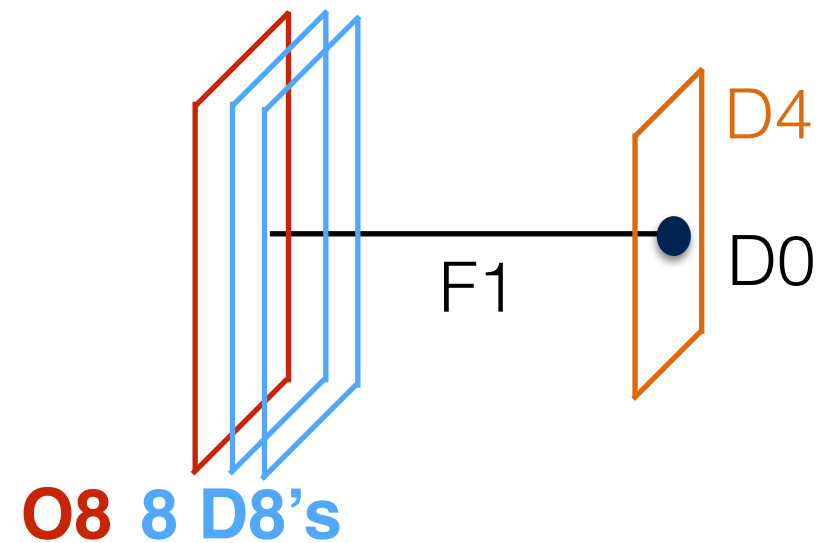
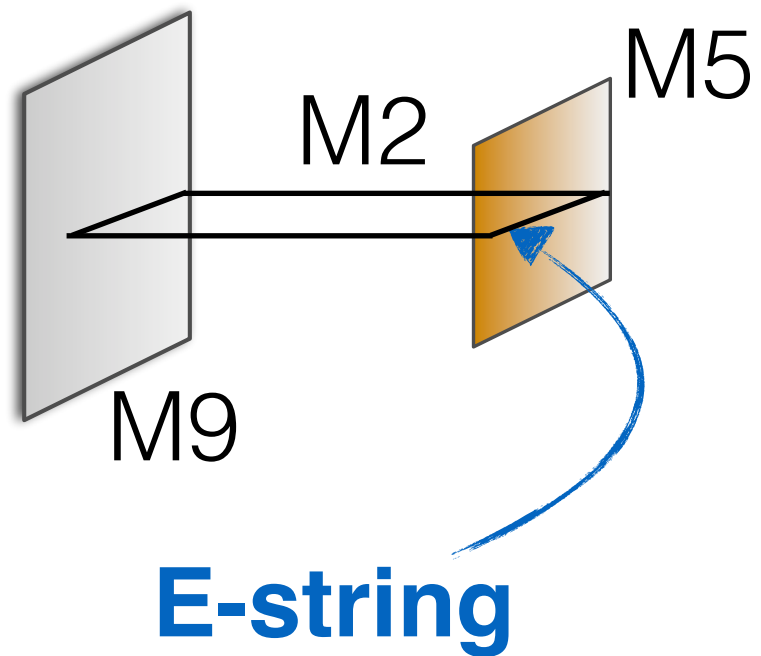


E-string partition function
(elliptic genus)

['14 Chiung Hwang, Joonho Kim, Seok Kim, Jaemo Park]

['14 Seok Kim, Joonho Kim, Kimyeong Lee, Jaemo Park, Vafa]

as the UV completion of 5d $SU(2)$ w/ $N_f=8$ flavors



E-string theory on a circle = **5d $SU(2)$ theory with $N_f=8$**
KK modes = **Instantons**

Like the E-string theory case,

Many other
 $N=(1,0)$ SCFTs



5d descriptions?
IIB (p,q) web

New perspectives on 6d and 5d SCFTs

5d dualities, Index functions
[Bergman-Zafrir '13-'15]

Contents

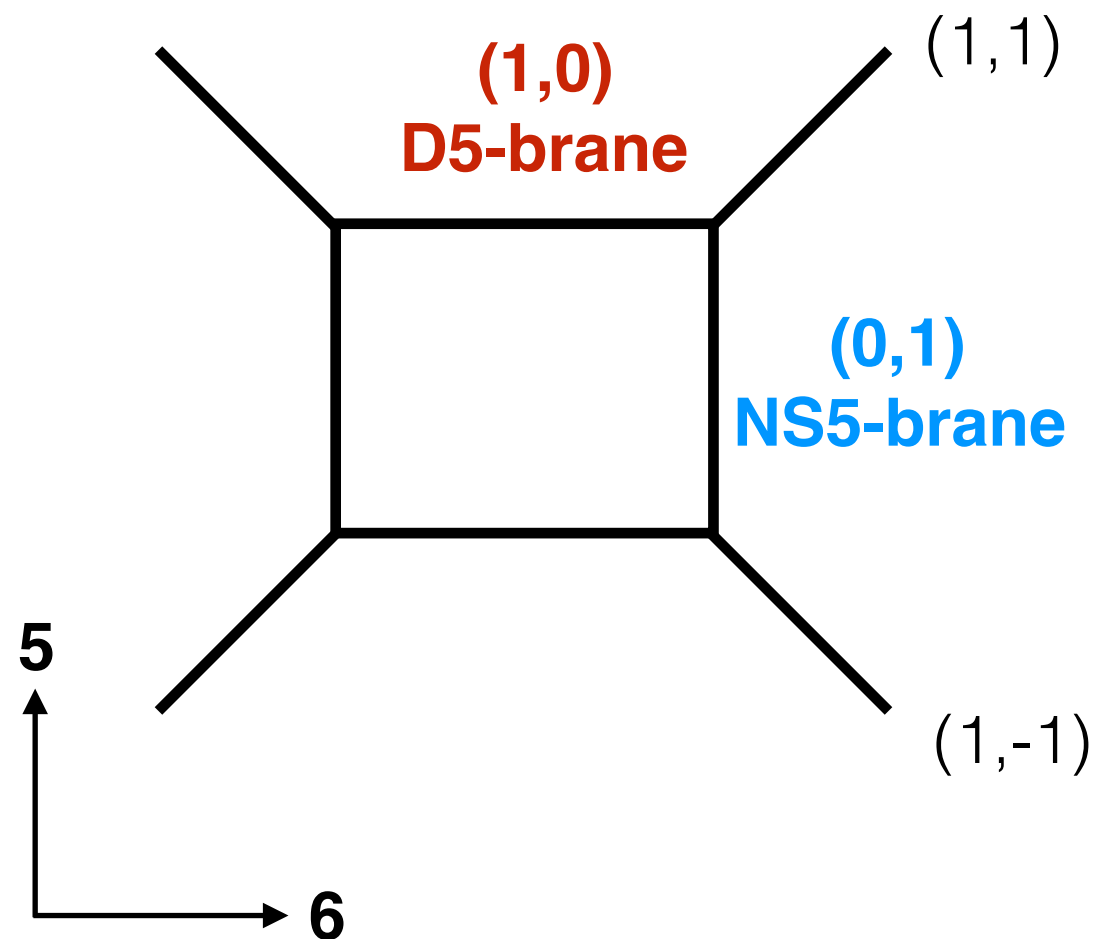
- 1. IIB brane configuration of E-string**
- 2. Conformal matter**
- 3. Generalization**
- 4. Summary**

1. IIB brane configuration

5d $SU(2)$ with 8 flavors v.s. E-string

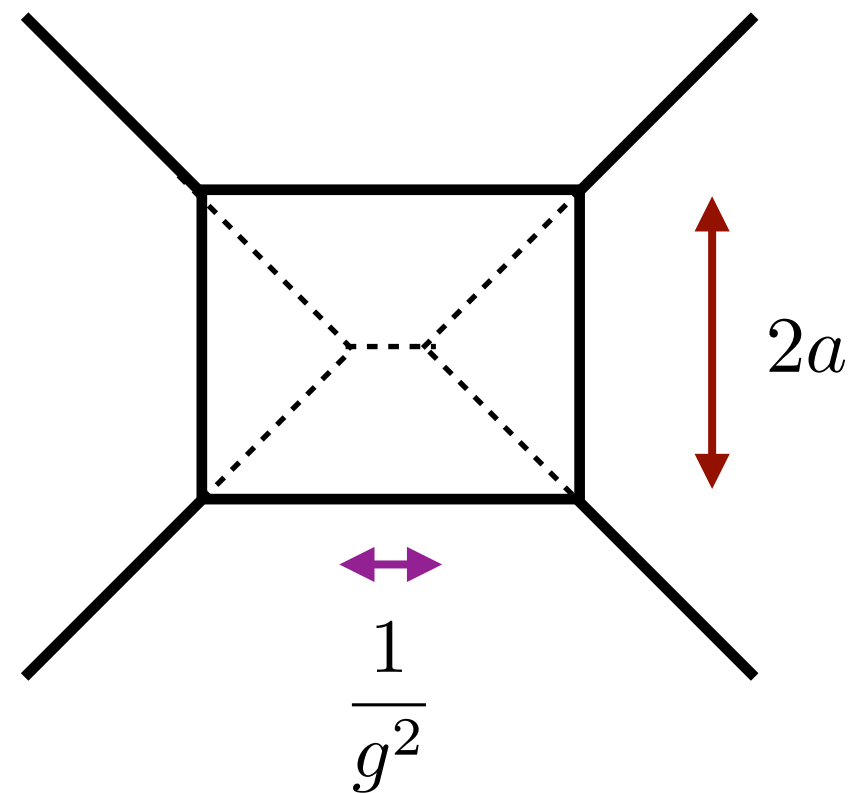
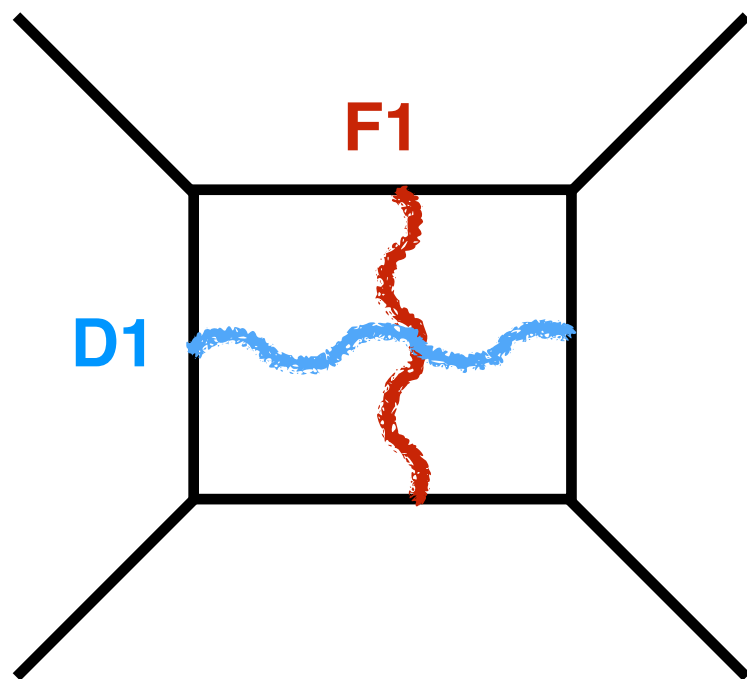
IIB brane picture of 5d pure SU(2) theory

[Aharony-Hanany, '97]

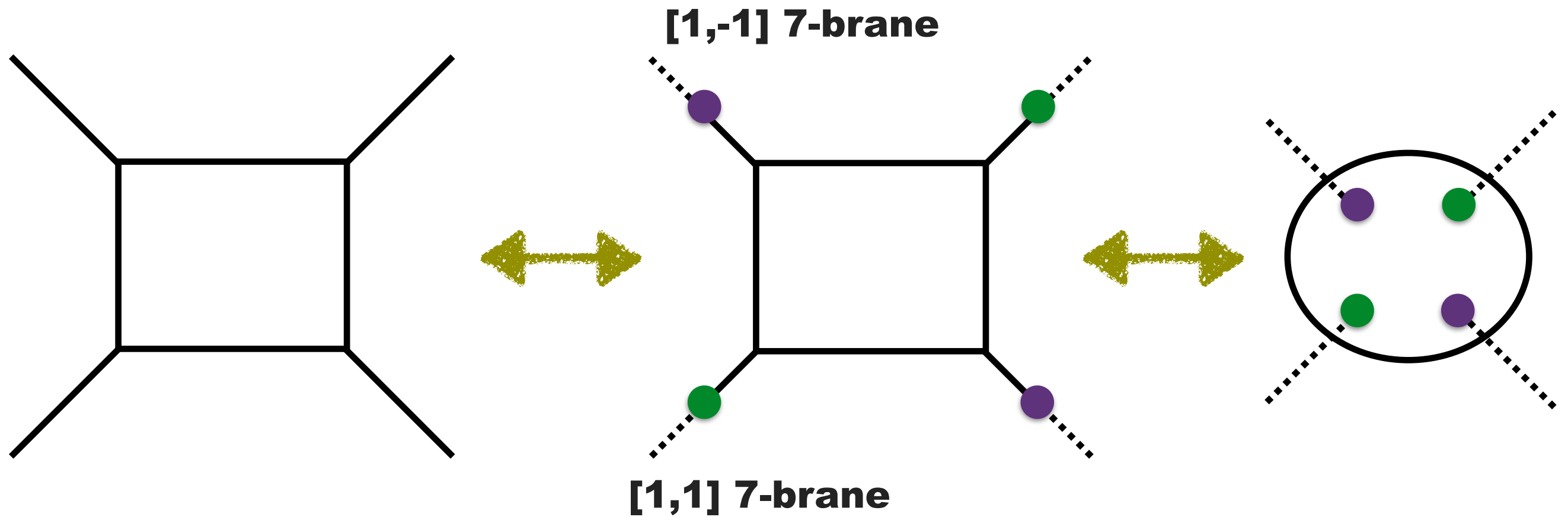


	0	1	2	3	4	5	6	7	8	9
NS5	—	—	—	—	—	—				
D5	—	—	—	—	—		—			
(1,1)	—	—	—	—	—	-	-			

Coulomb branch moduli and coupling



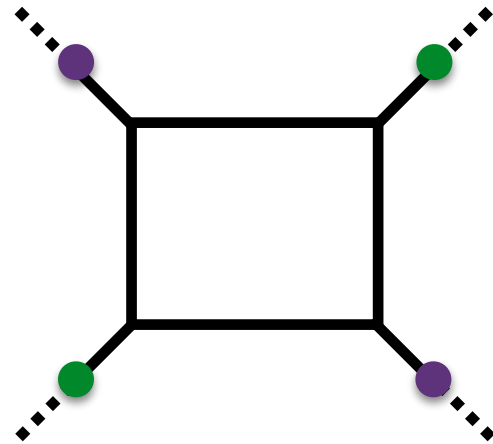
5d SU(2) theory and 7-branes



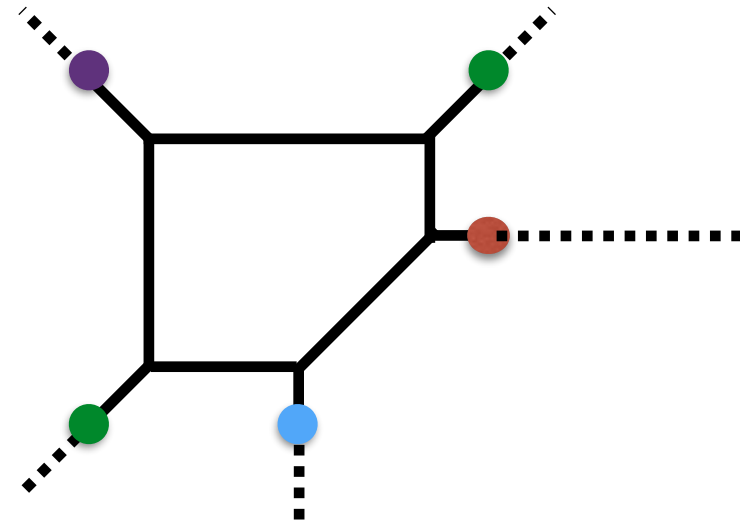
[DeWolfe et al. '99]

	0	1	2	3	4	5	6	7	8	9
NS5	—	—	—	—	—	—	.			
D5	—	—	—	—	—	.	—			
D7	—	—	—	—	—	.	.	—	—	—

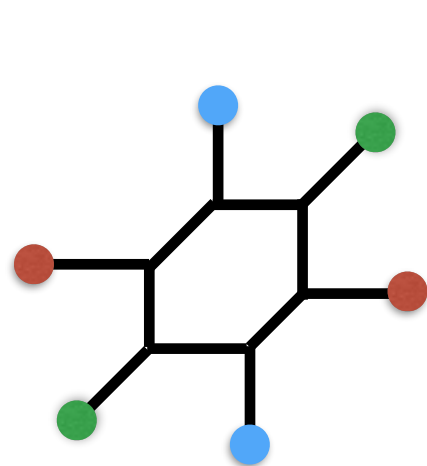
Flavors = D7 brane ●



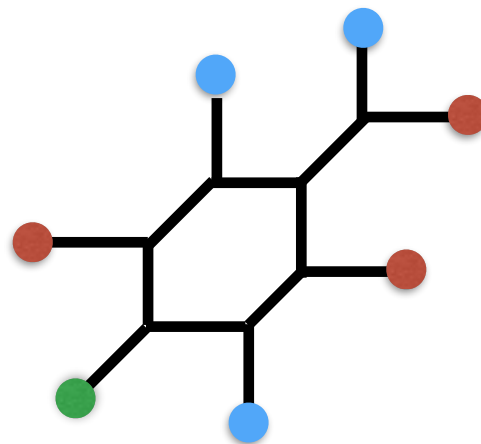
Nf= 0



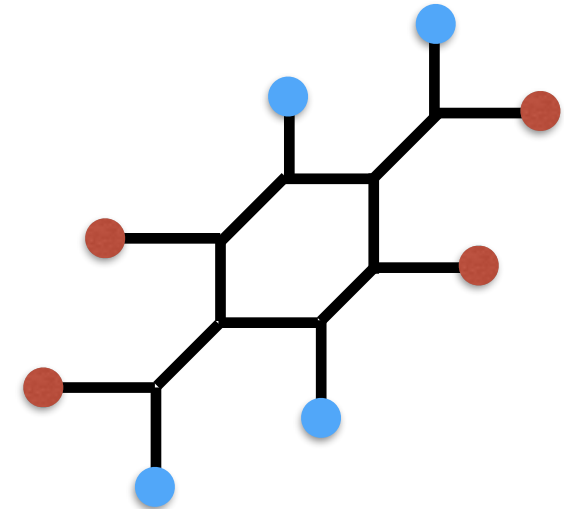
Nf= 1



Nf= 2



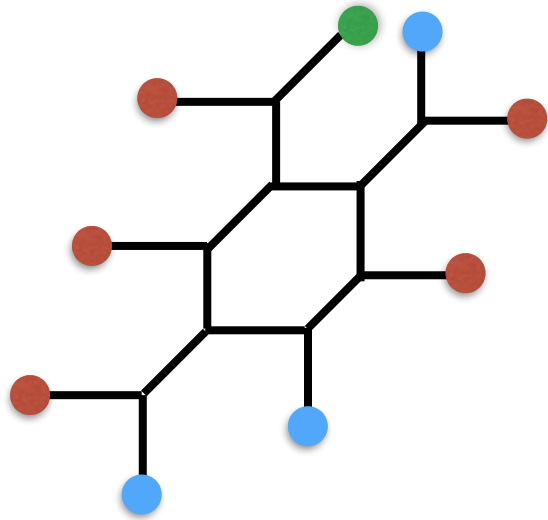
Nf= 3



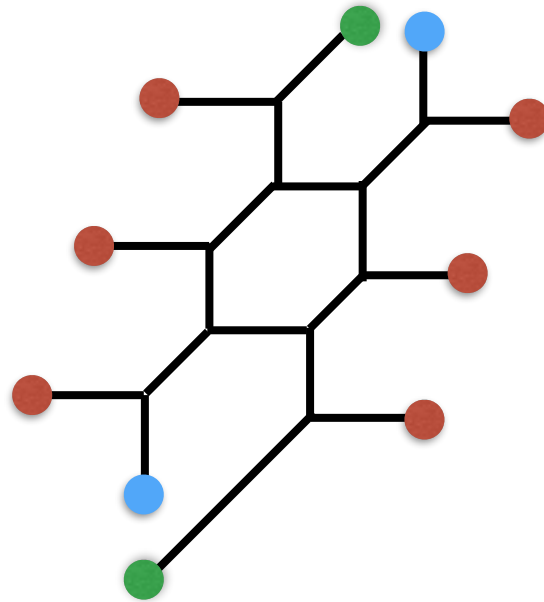
Nf= 4

Five, six, seven flavors seem problematic,

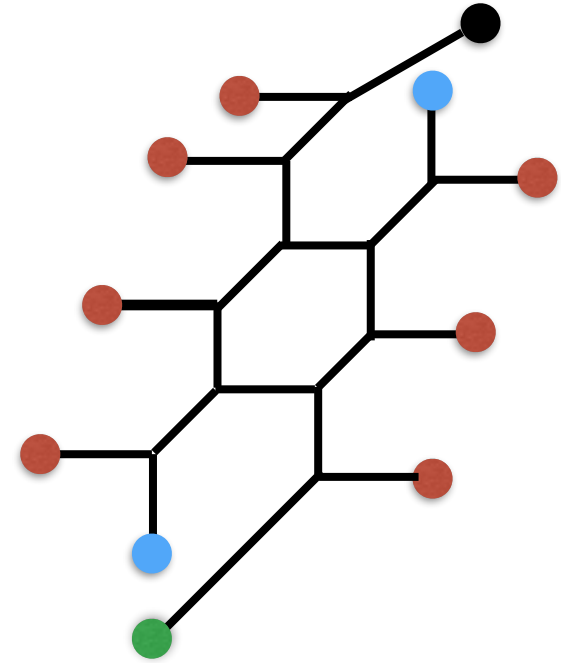
$$N_f = 5$$



$$N_f = 6$$

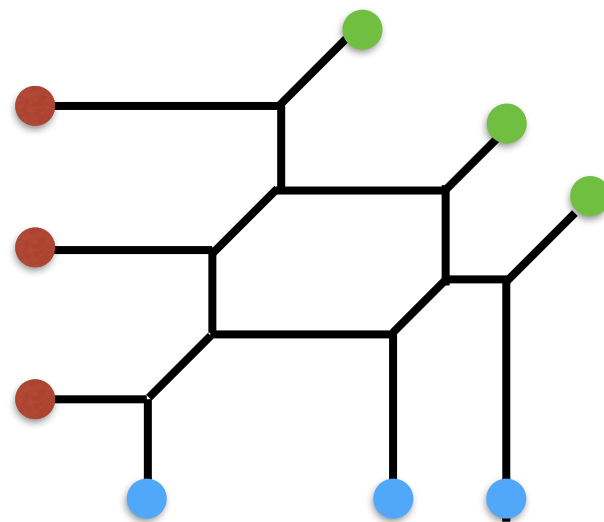


$$N_f = 7$$



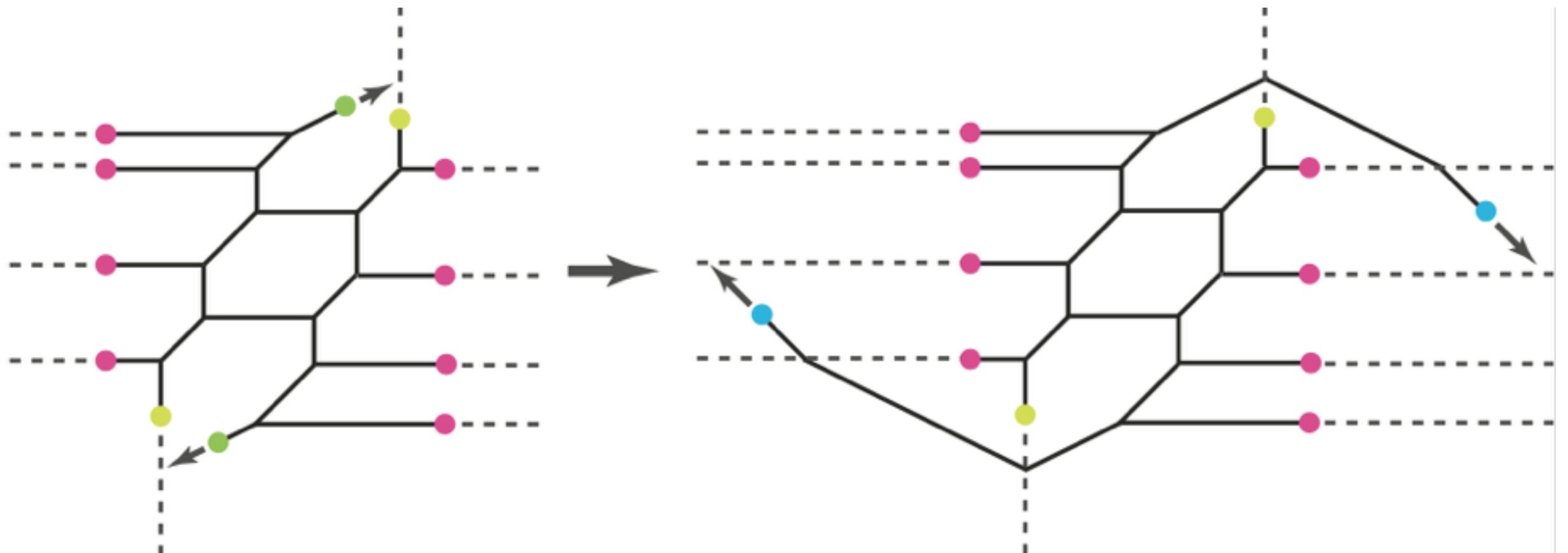
but possible to make sense using 7-brane monodromies

e.g., $N_f=5$

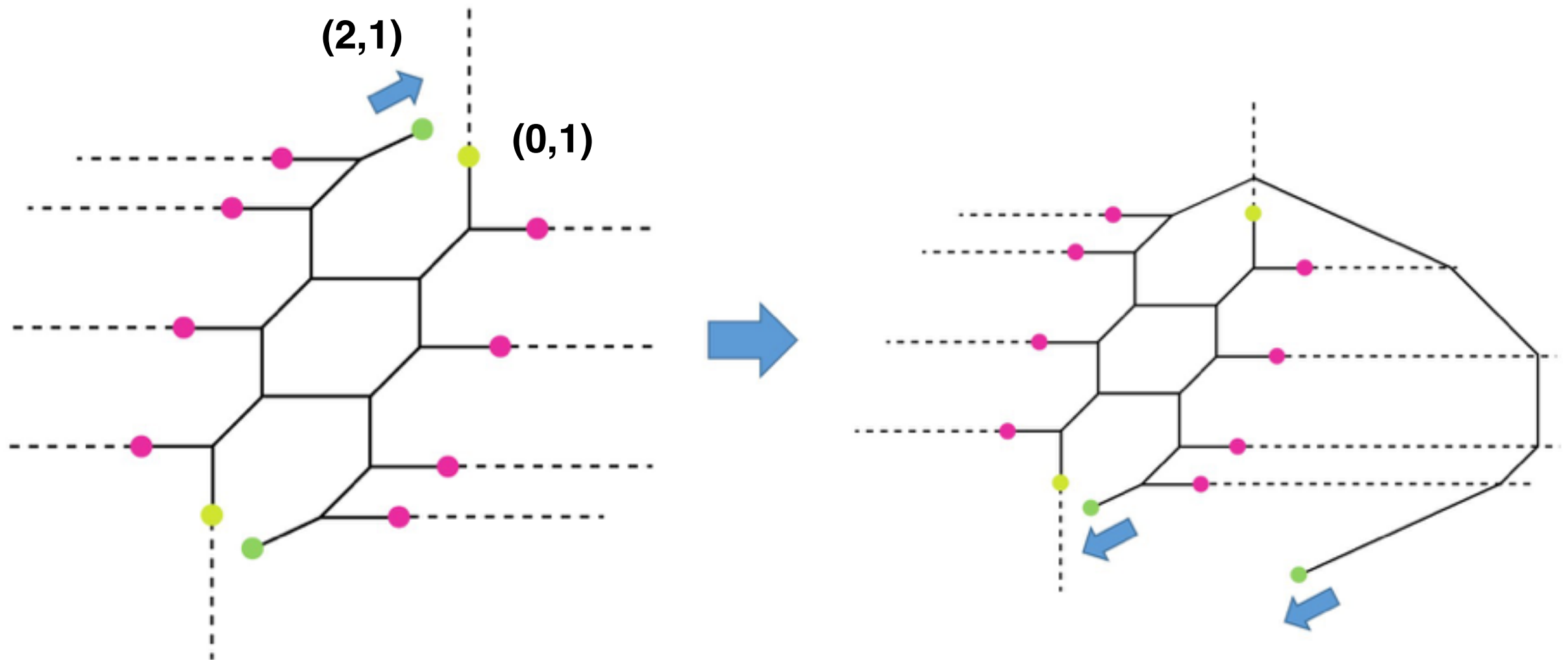


[Benini-Benvenuti-Tachikawa, '09]

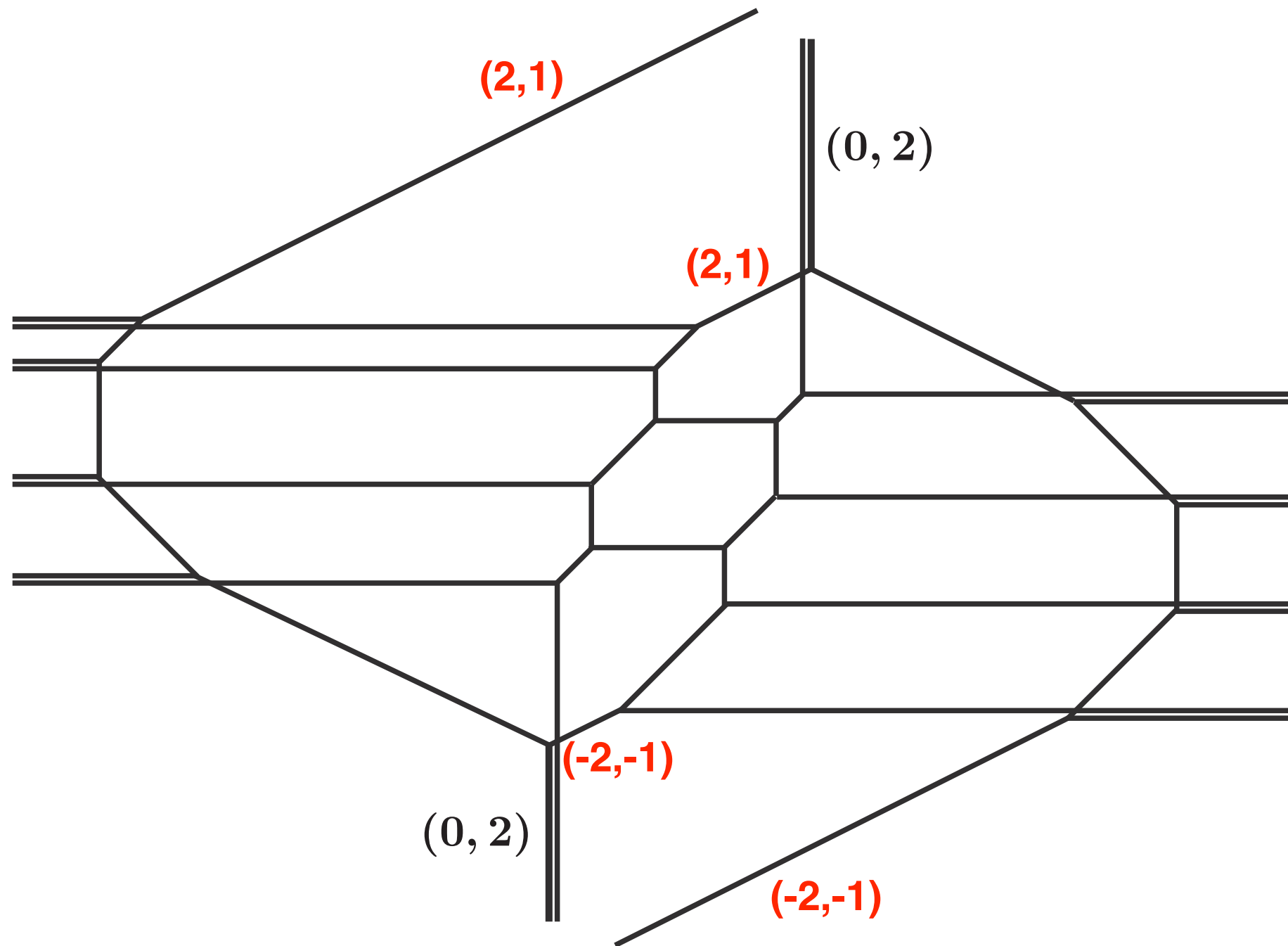
5d SU(2) theory with **Nf=8** flavors



Spiral structure of the web diagram



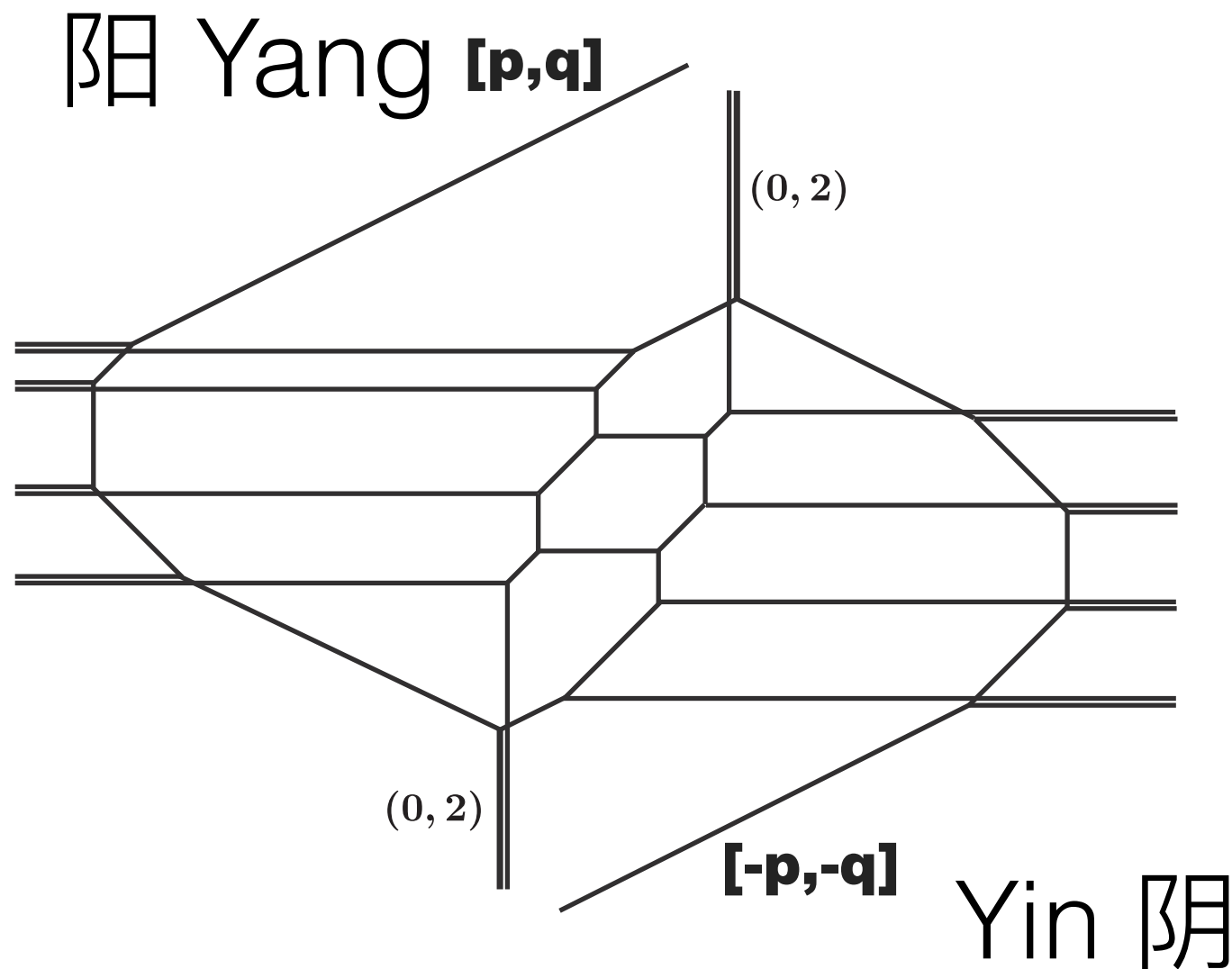
By pulling out 7-branes to infinity



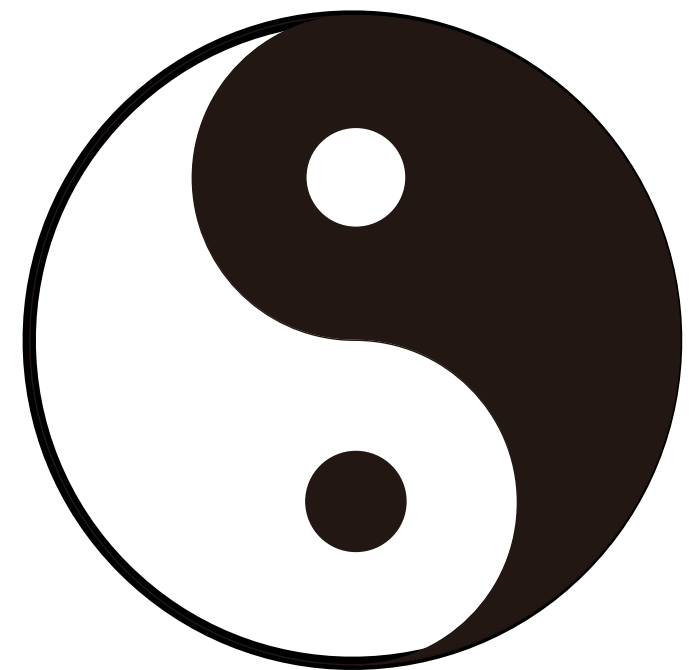
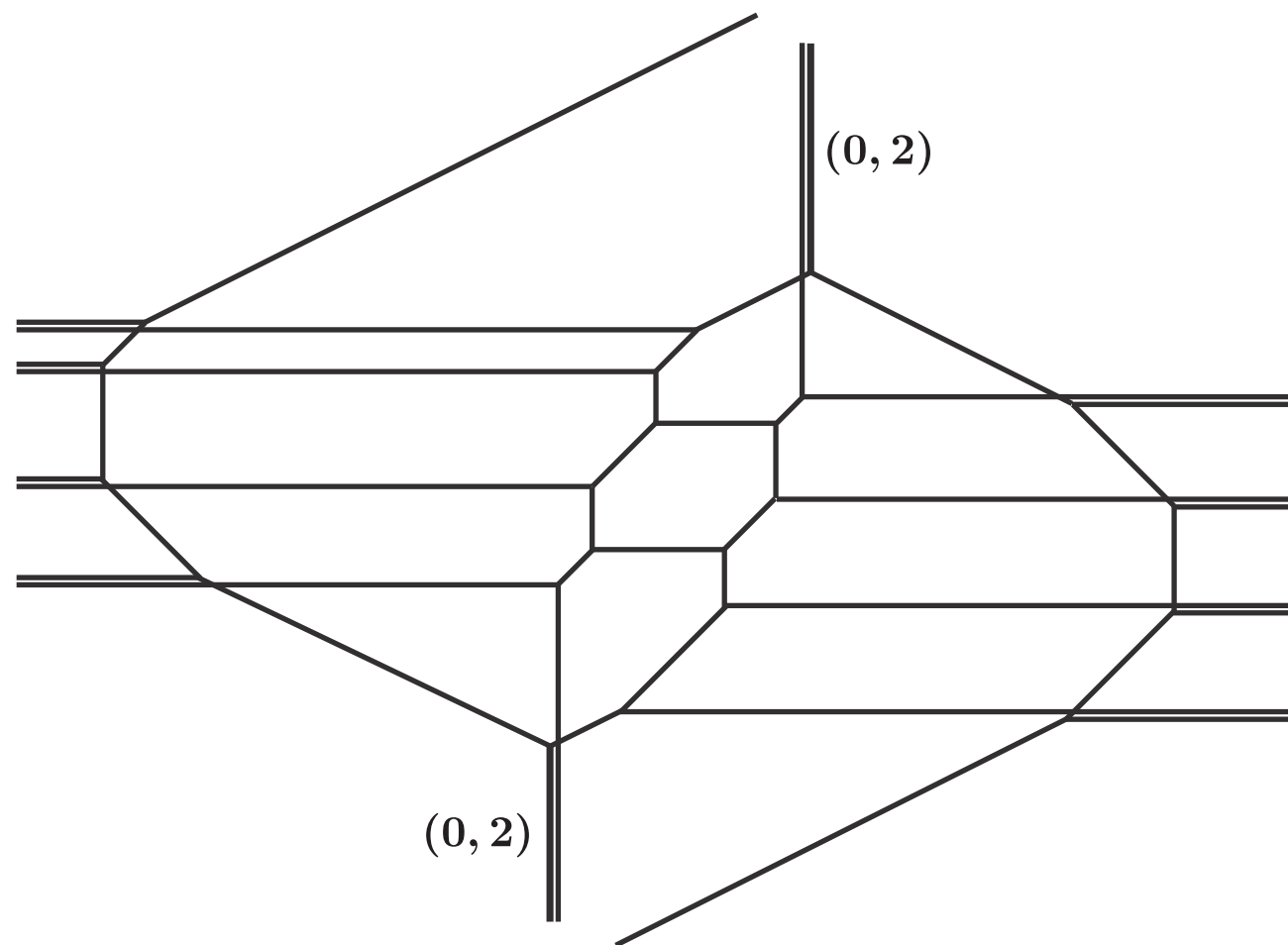
Spirally rotating! One revolution: charges remain the same.

Infinitely rotating spiral diagram

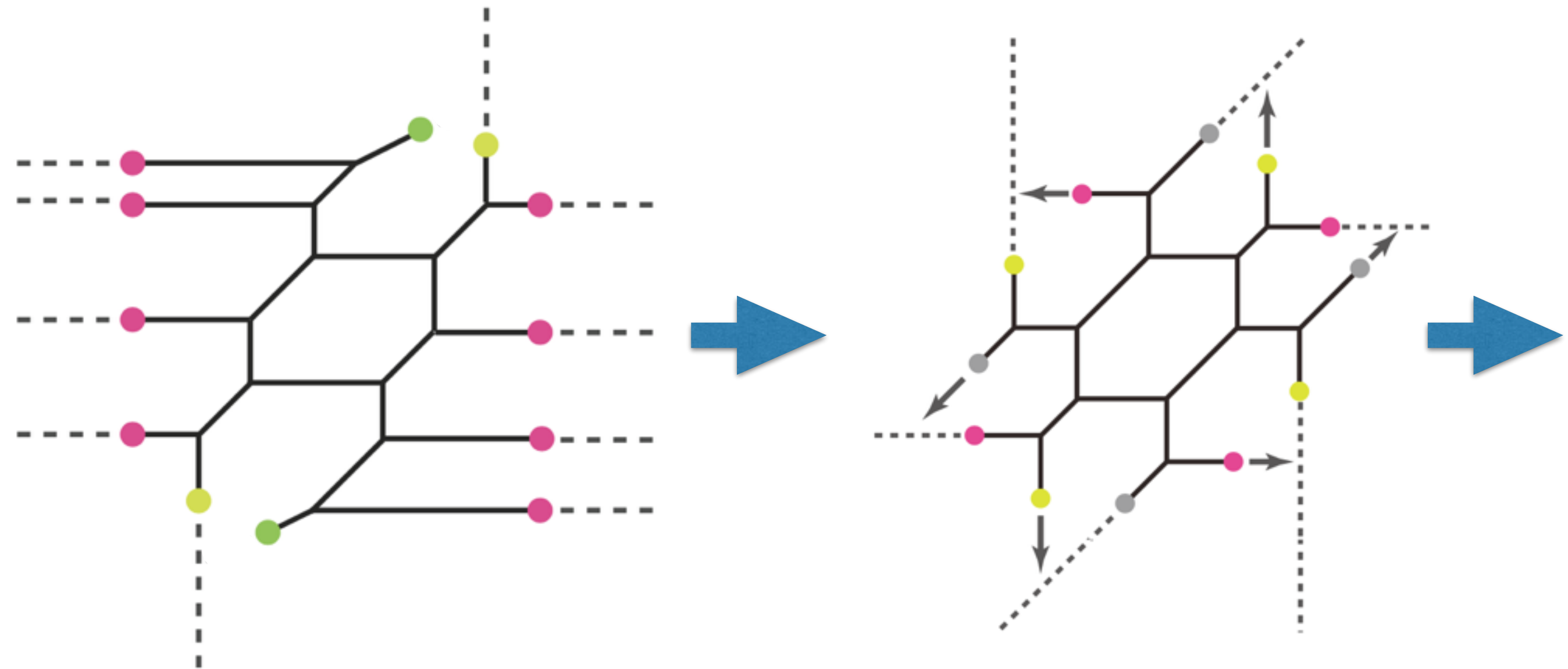
The shape looks like



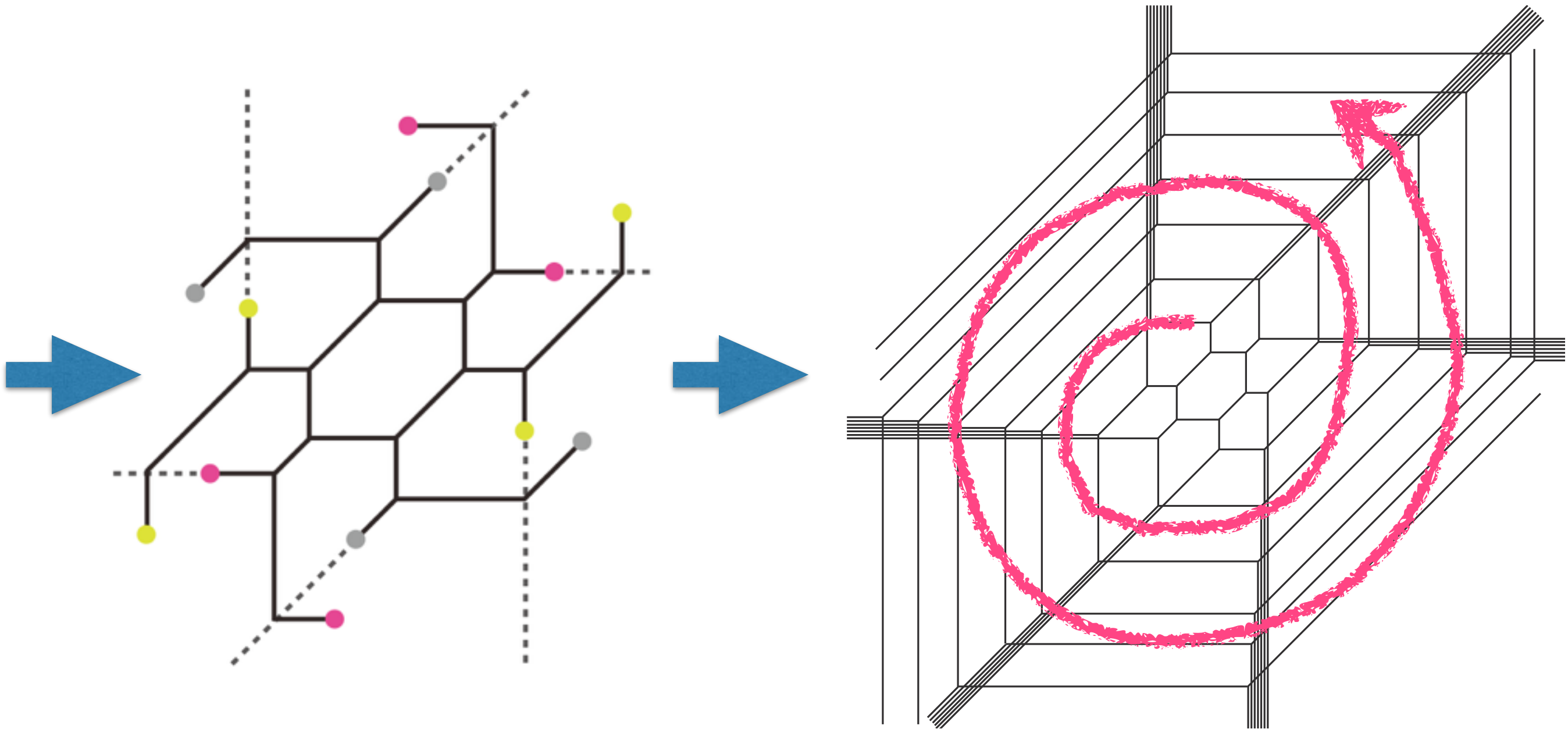
We call it **Tao diagram**...



Equivalent Tao diagram

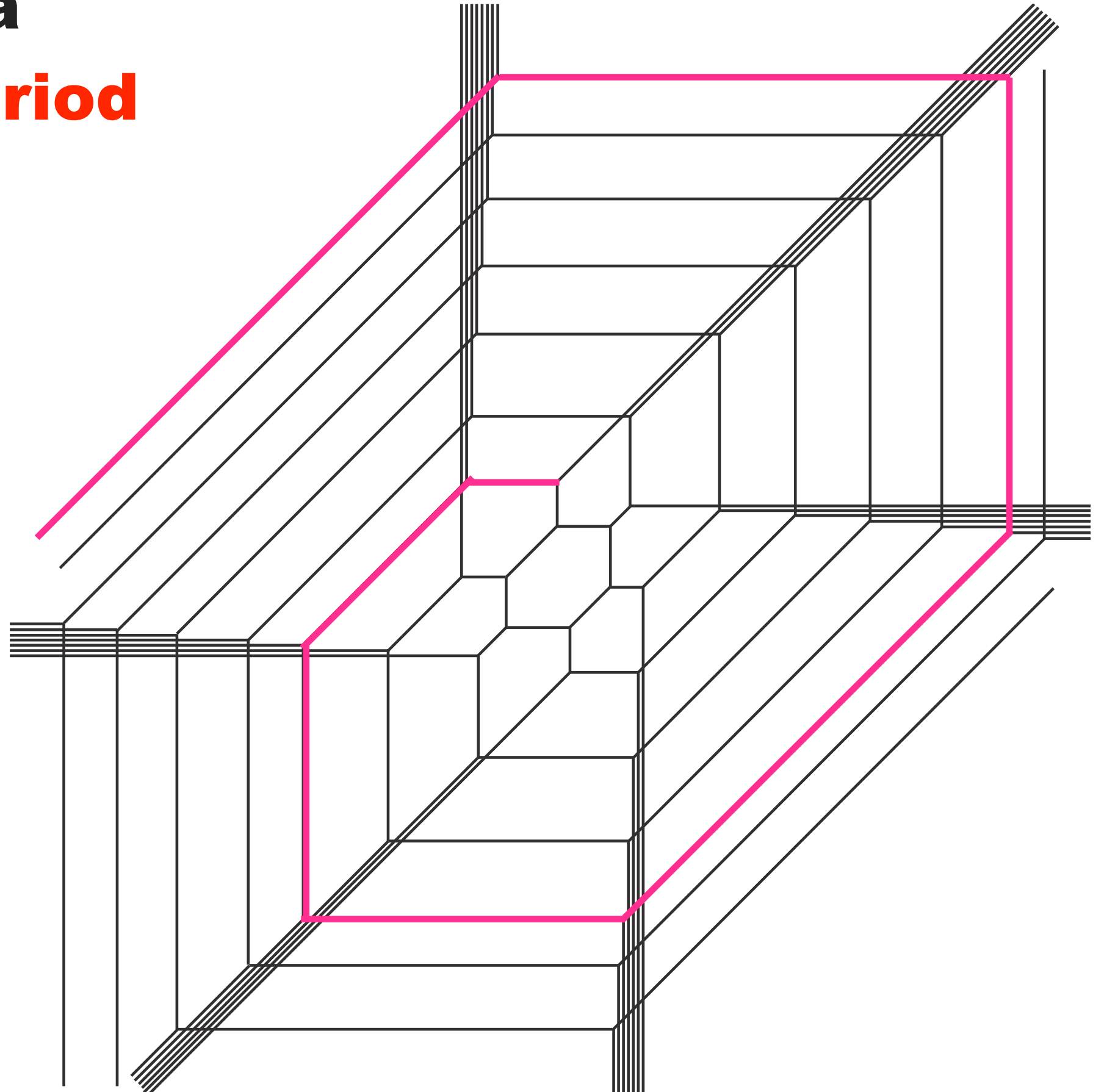


Equivalent Tao diagram



IIB brane realization of E-string theory on S^1 ?

**spiral with a
constant period**

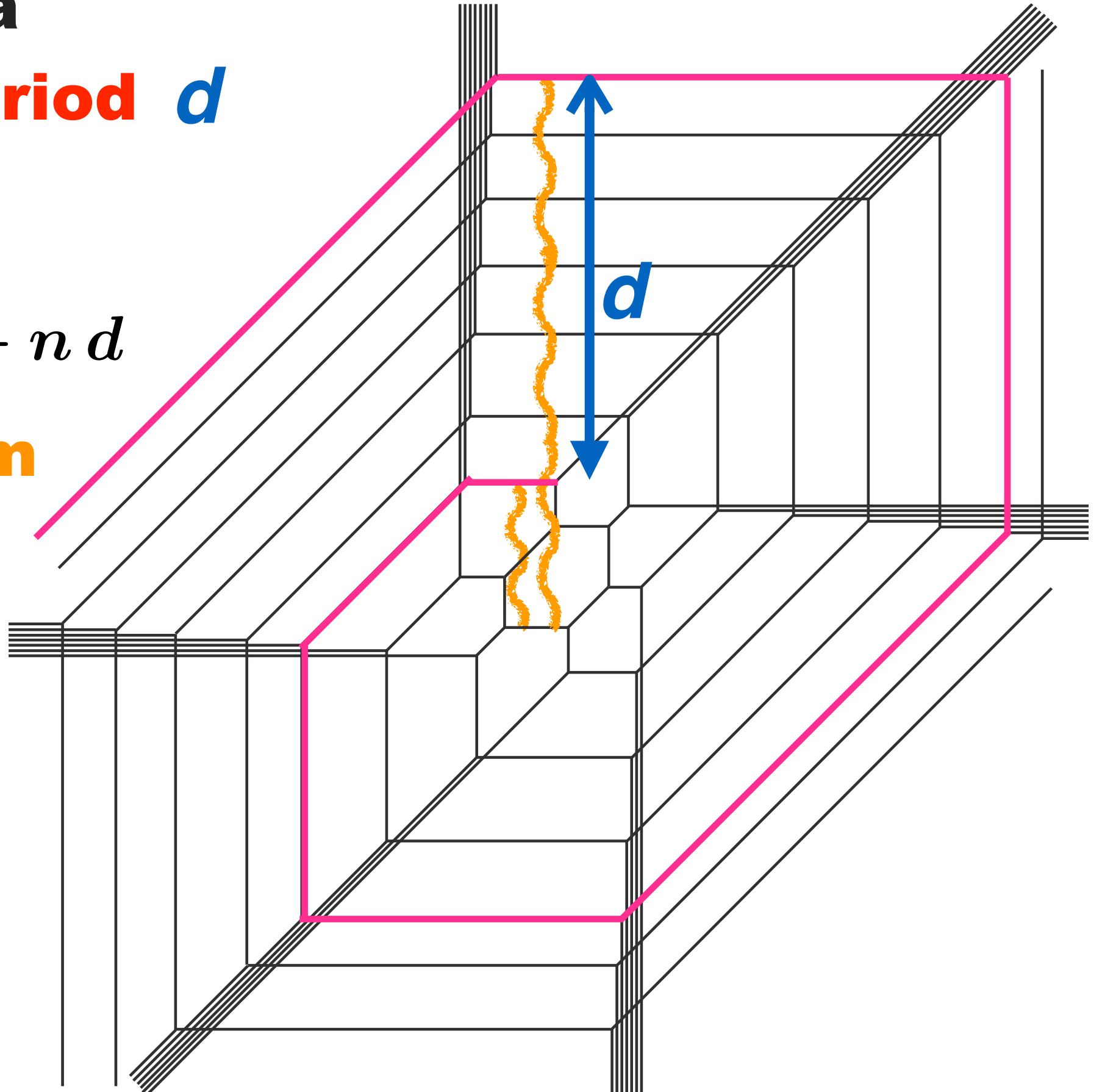


**S^1 direction
emerges?!**

**spiral with a
constant period d**

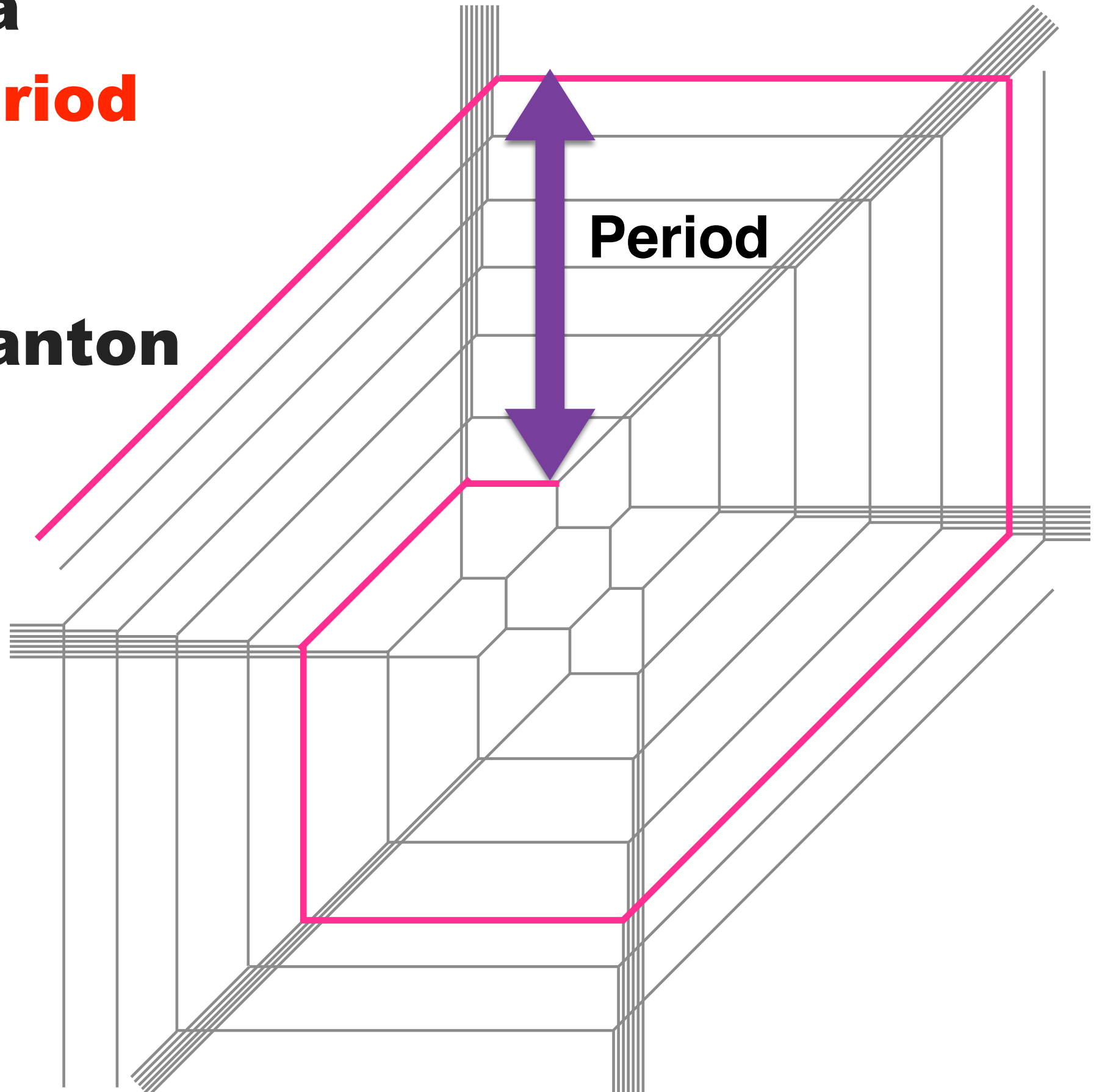
$$m_{(n)} = m_{(0)} + n d$$

KK spectrum



**spiral with a
constant period**

**period = instanton
 $\sim R^{-1}$**



Tao diagrams

Infinite spirals (KK spectrum)
constant period (compactified radius)

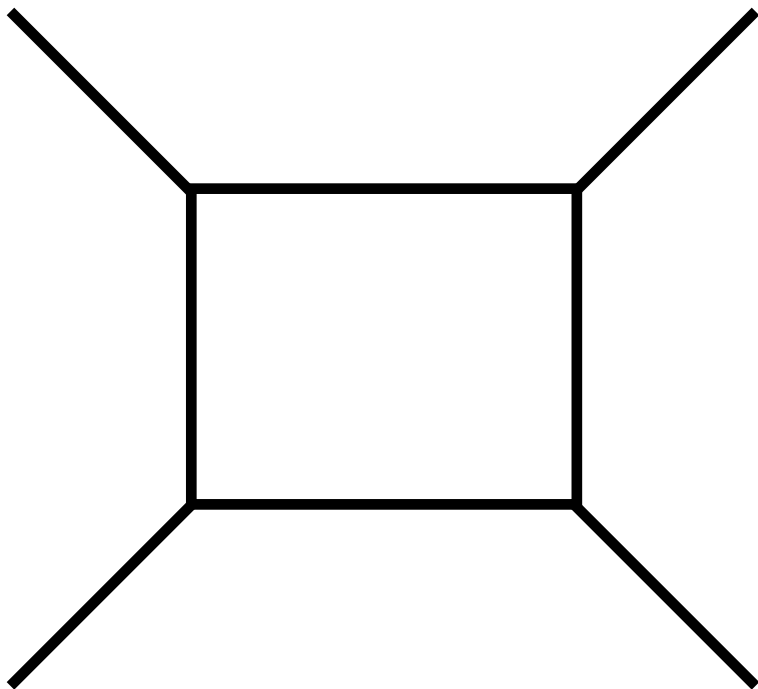
Naturally identified as **a 6d theory on a circle**
(compactification radius emerges as a spiral)

- Computational tool:
Partition function

Topological Vertex formalism

[Vafa et al.]

web diagram

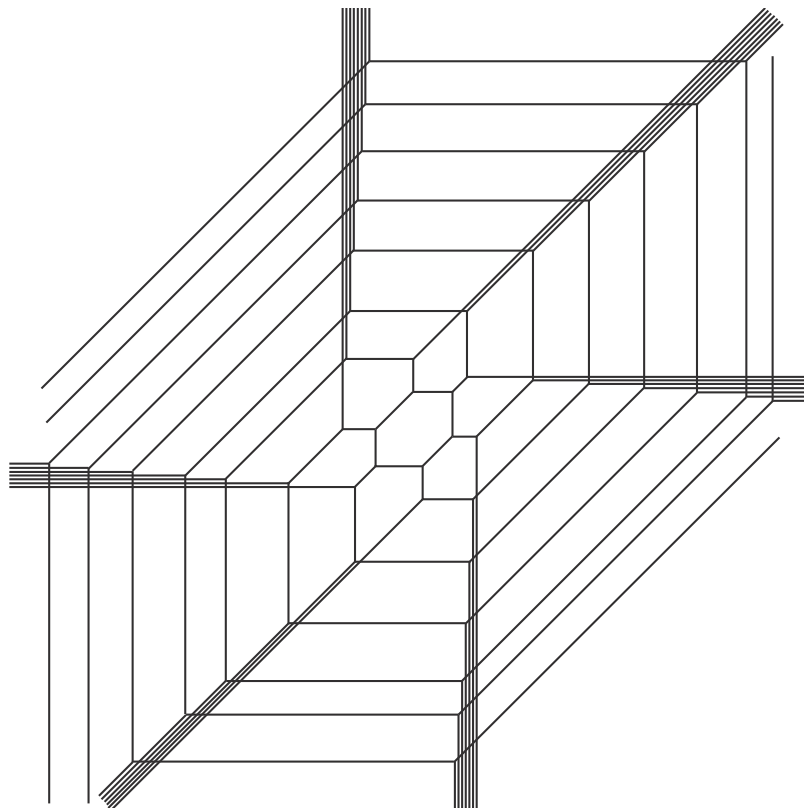


BPS partition
function

$$Z = \dots$$

Topological Vertex formalism

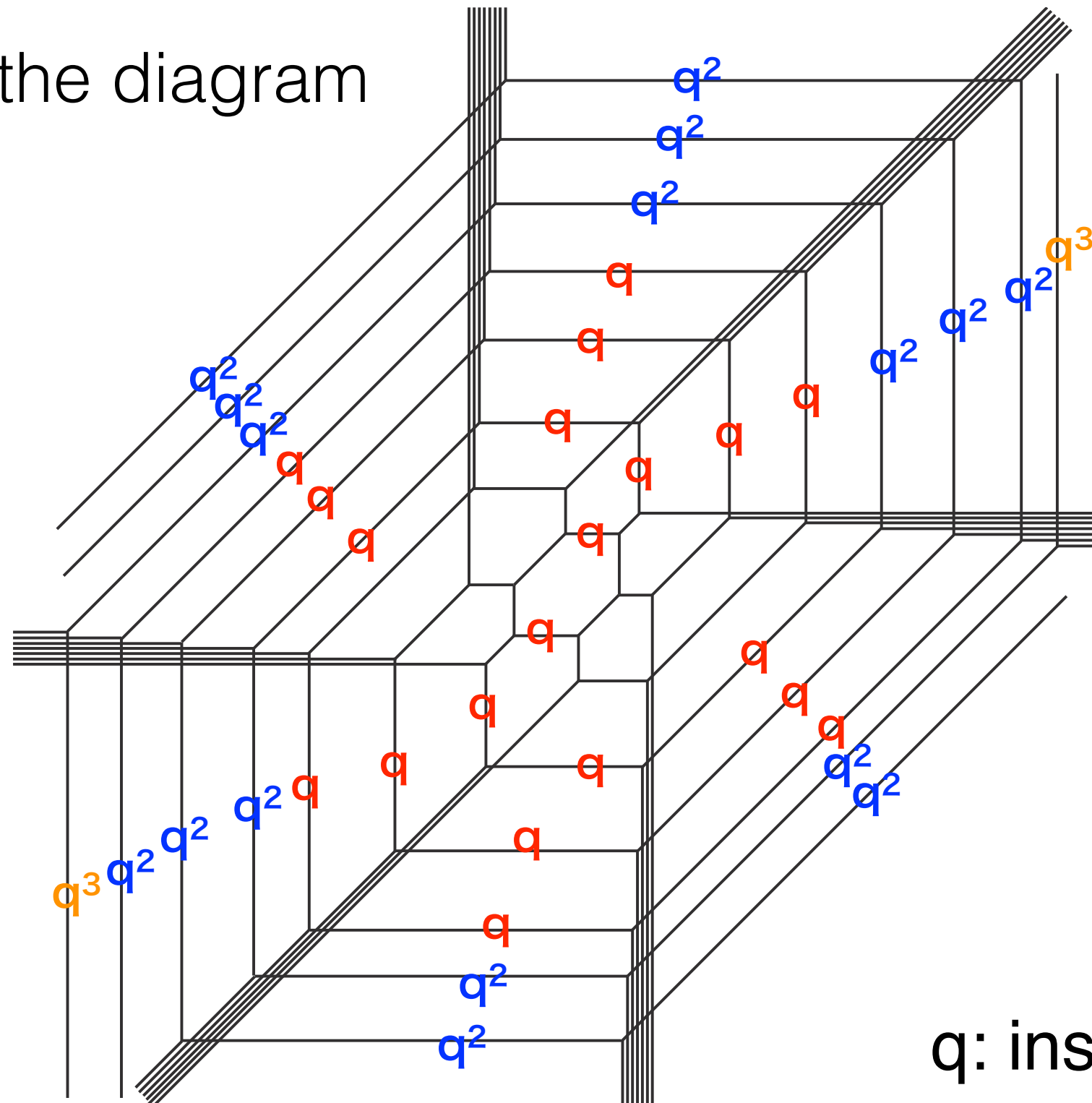
Tao web diagram



BPS partition
function

$$Z \stackrel{?}{=} \dots$$

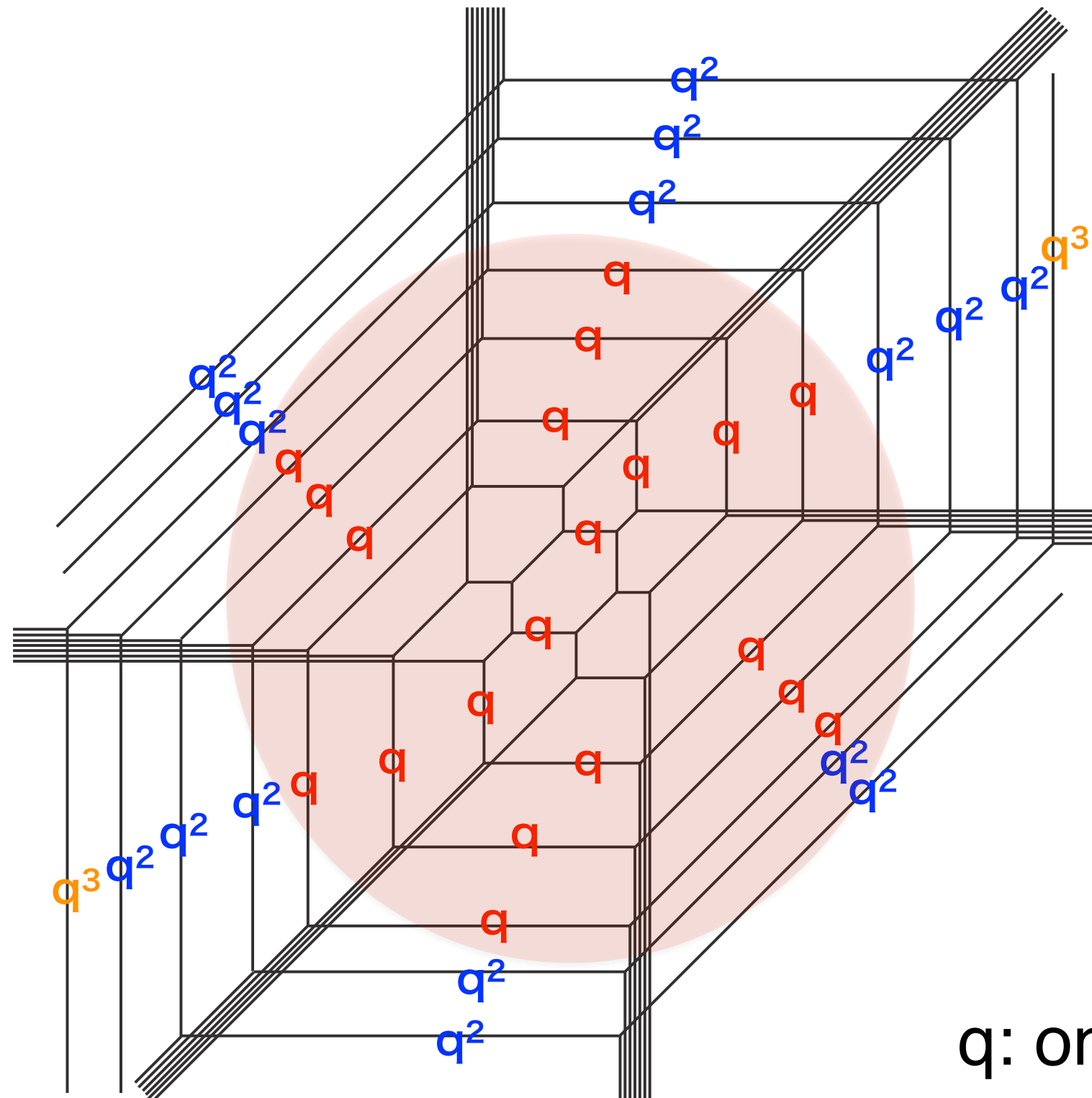
Truncate the diagram



q : instanton fugacity
 $= \exp \left(-\frac{4\pi^2}{g^2} \right)$

Compute order-by-order in $\mathbf{q}$

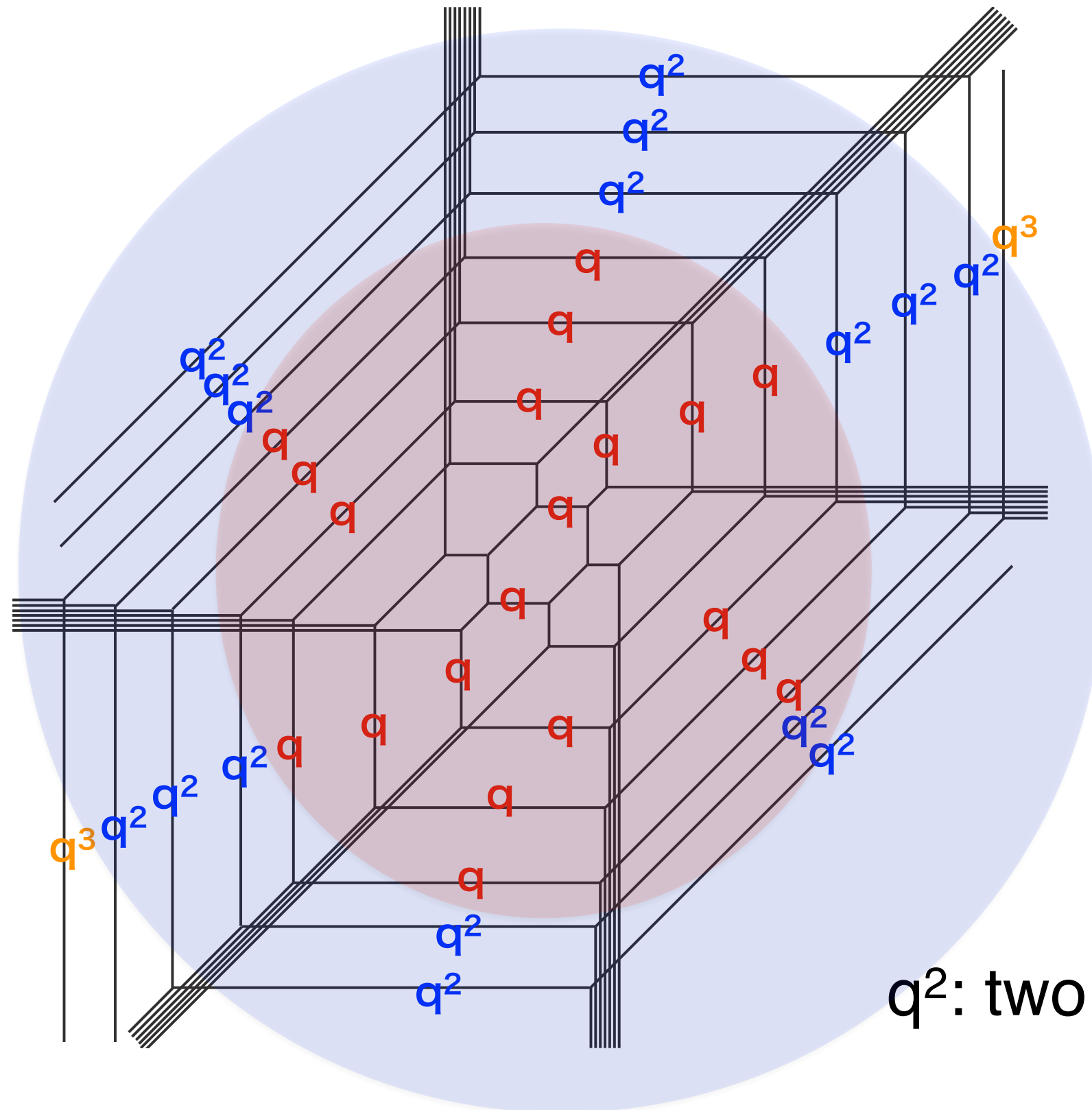
1-instanton, **2-instanton**, ... , up to $\mathbf{q}^k$ order



q : one instanton

Compute order-by-order in $\mathbf{q}$

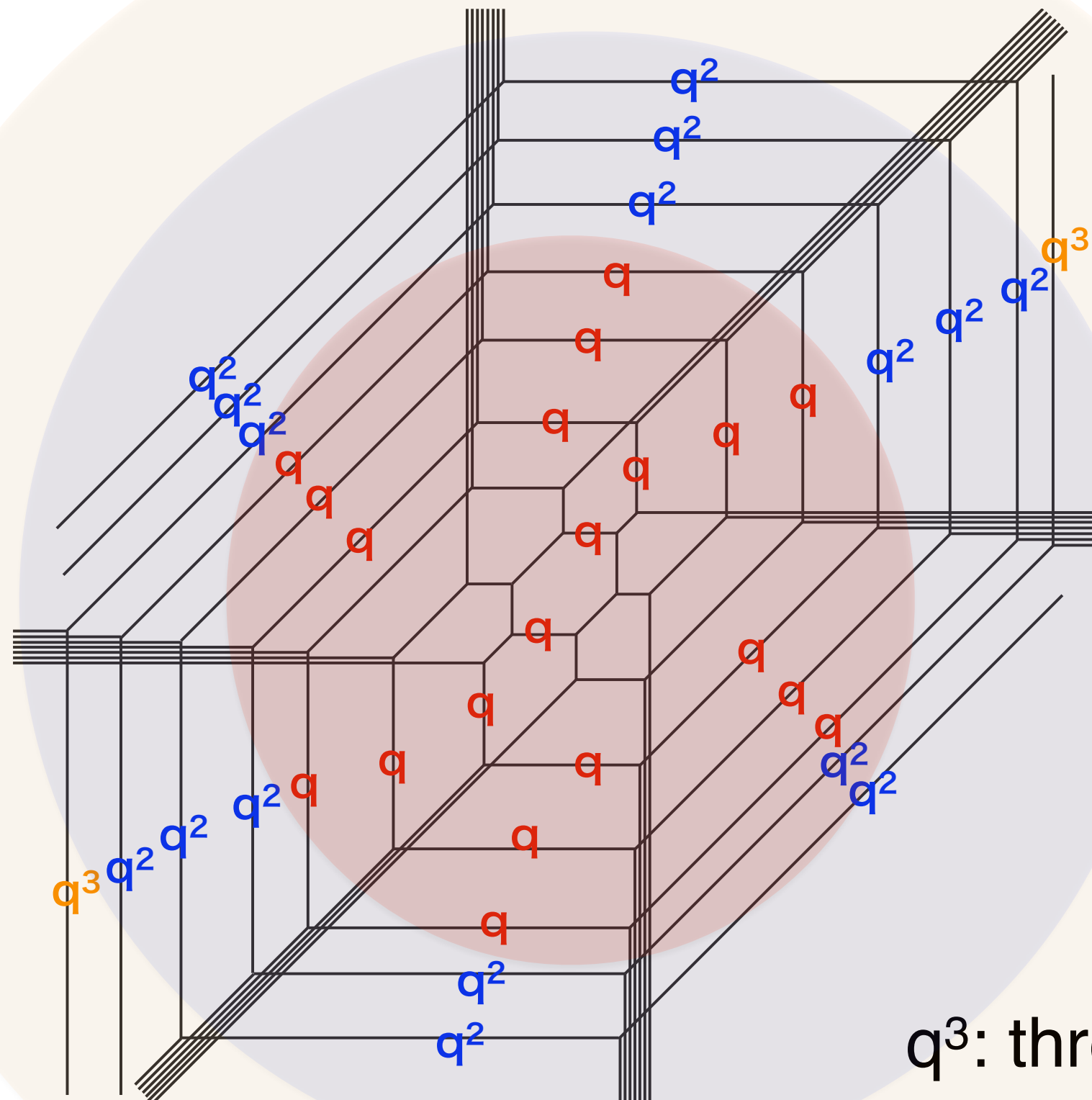
1-instanton, **2-instanton**, ..., up to $\mathbf{q}^k$ order



q^2 : two instantons

Compute order-by-order in $\mathbf{q}$

1-instanton, **2-instanton**, ..., up to $\mathbf{q}^k$ order



q^3 : three instantons

Truncate up to q^k orders

Obtain the partition function, up to **q^k** orders

Partition function from Tao diagram

$$Z_{E-string} = \text{PE} \left[\sum_{m=0}^{\infty} \mathcal{F}_m(y, A, q) \mathfrak{q}^m \right] = \text{PE} \left[\frac{1}{(1-q)(1-q^{-1})} \sum_{n=1}^{\infty} \tilde{f}_n A^n \right]$$

$$\begin{aligned} \tilde{f}_1 = & \chi^{(1)} + \chi_c \mathfrak{q} + \left(2\chi_2(q)\chi^{(1)} + \chi^{(3)} + \chi^{(1)} \right) \mathfrak{q}^2 + \left(\chi^{(1)}\chi_s + 2\chi_2(q)\chi_c \right) \mathfrak{q}^3 \\ & + \left(3\chi_3(q) + 4\chi_2(q) + 2 \right) \chi^{(1)} + 2\chi_2(q)\chi^{(3)} + \chi^{(5)} + \chi^{(1)}\chi^{(2)} \mathfrak{q}^4 + \mathcal{O}(\mathfrak{q}^5), \end{aligned} \quad (4.54)$$

$$\begin{aligned} \tilde{f}_2 = & -2 - 2\chi_s \mathfrak{q} - \left(2\chi^{(4)} + (3\chi_2(q) + 2)\chi^{(2)} + 4(\chi_3(q) + \chi_2(q) + 1) \right) \mathfrak{q}^2 \\ & - \left(2\chi^{(2)}\chi_s + 3\chi_2(q)\chi^{(1)}\chi_c + 4(\chi_3(q) + \chi_2(q) + 1)\chi_s \right) \mathfrak{q}^3 \\ & + \left((5\chi_4(q) + 6\chi_3(q) + 11\chi_2(q) + 8)\chi^{(2)} + (4\chi_3(q) + 4\chi_2(q))\chi^{(4)} + (3\chi_2(q) - 2)\chi^{(6)} \right. \\ & \quad \left. + (4\chi_3(q) + 3\chi_2(q) + 2)(\chi^{(1)})^2 + 3\chi_2(q)\chi^{(1)}\chi^{(3)} + 2\chi^{(1)}\chi^{(5)} + 2(\chi^{(2)})^2 + 2(\chi_s)^2 \right. \\ & \quad \left. + (6\chi_5(q) + 8\chi_4(q) + 16\chi_3(q) + 20\chi_2(q) + 10) \right) \mathfrak{q}^4 + \mathcal{O}(\mathfrak{q}^5). \end{aligned} \quad (4.55)$$

arXiv:1504.03672

reproduces the E-string partition function (elliptic genus)

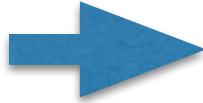
by (up to 4 instantons)

['14 Kim, Kim, Lee, Park, Vafa]

Tao diagram indeed sees the E-string theory on a circle

Observation

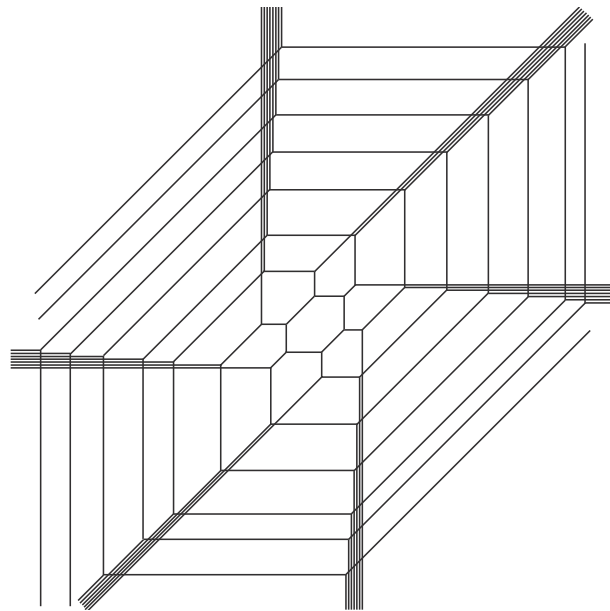
5d SU(2) theory with N_f flavors

$0 \leq N_f \leq 7$	5d UV fixed point		Finite diagram
$N_f = 8$	6d UV fixed point		“Tao diagram”
$N_f \geq 9$	No UV fixed point		No diagram

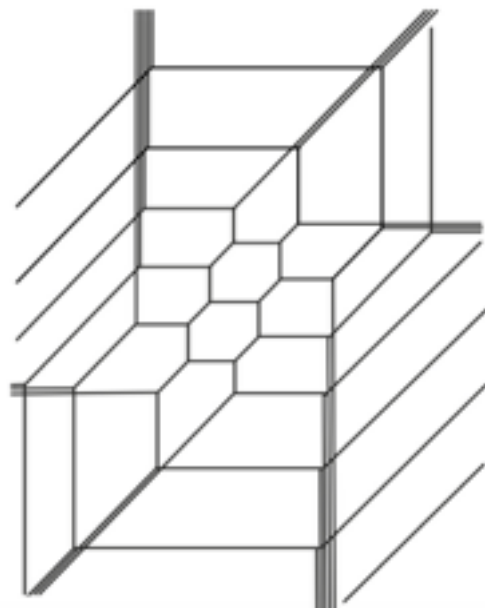
2. 6d Conformal matter

its 5d descriptions and dualities

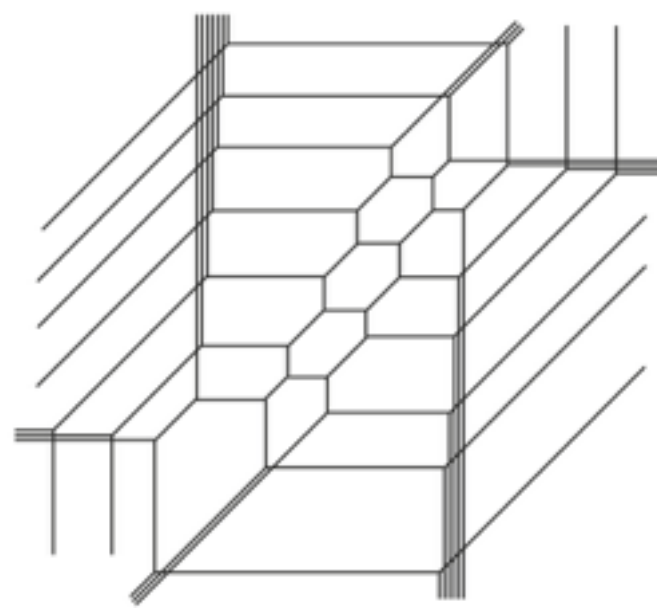
Many more Tao web diagrams



$$N = 2$$

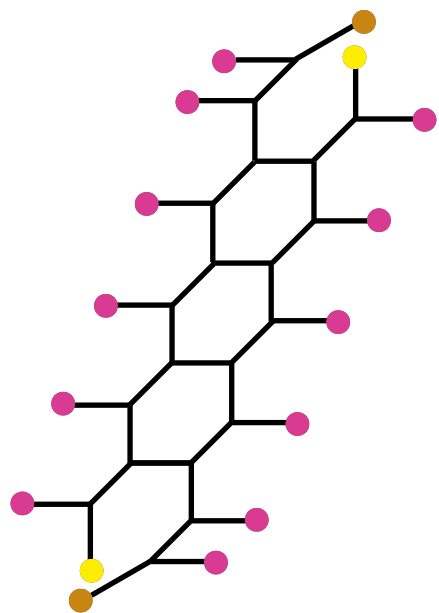


$$N = 3$$

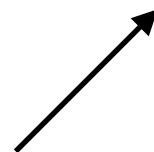
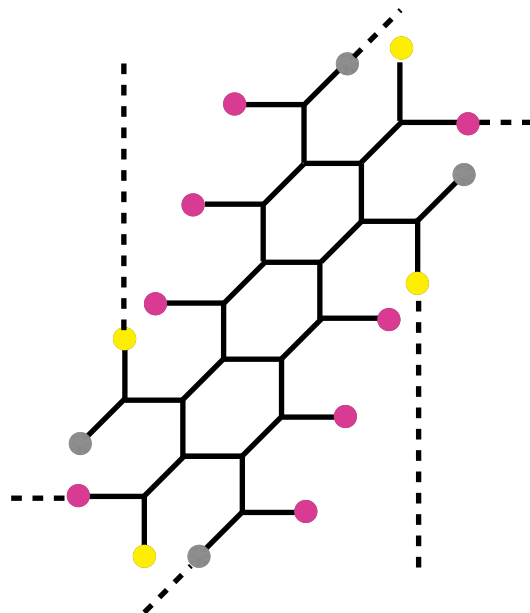


$$N = 4$$

...



$$SU(4), N_f = 12$$



Claim:

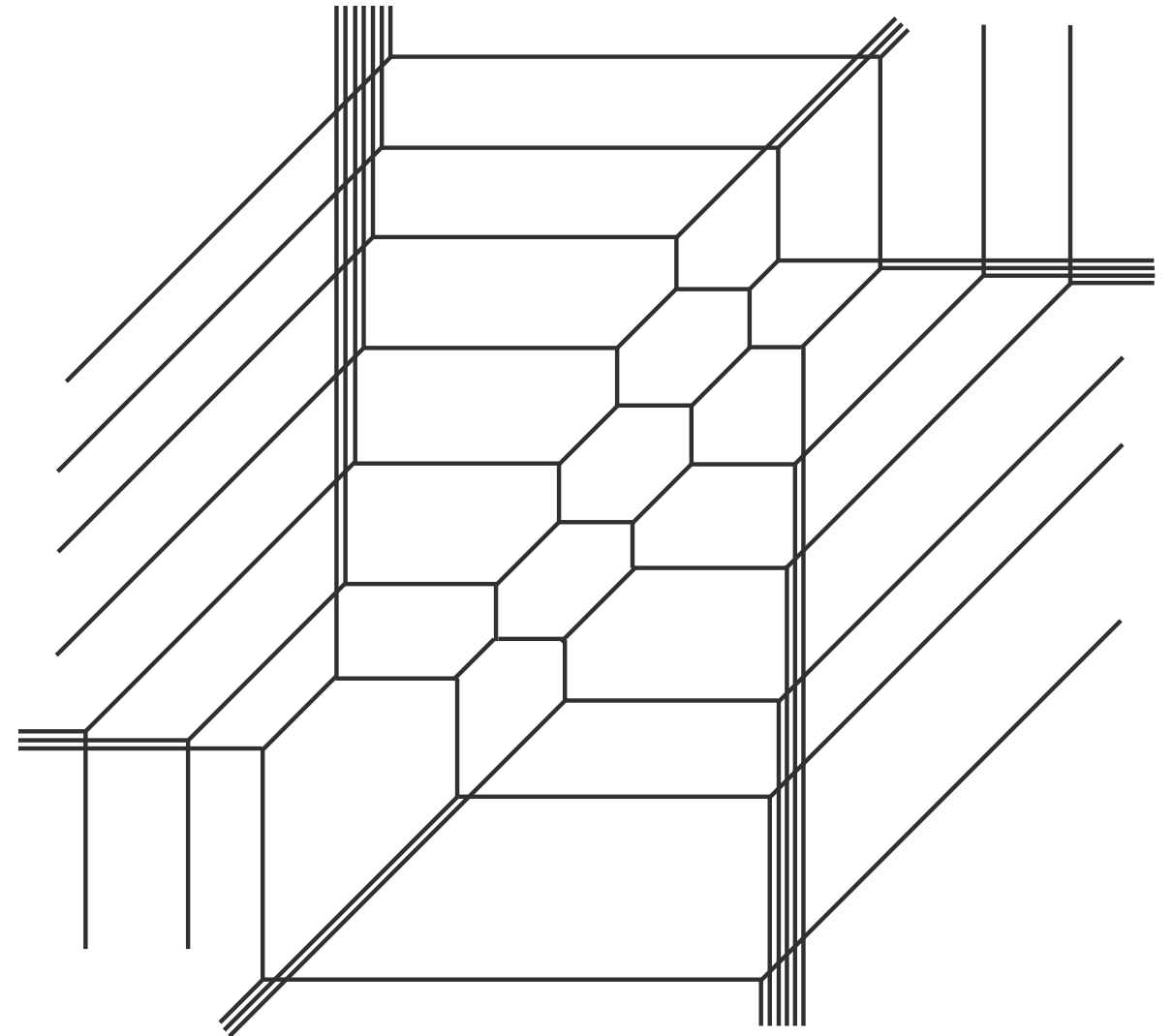
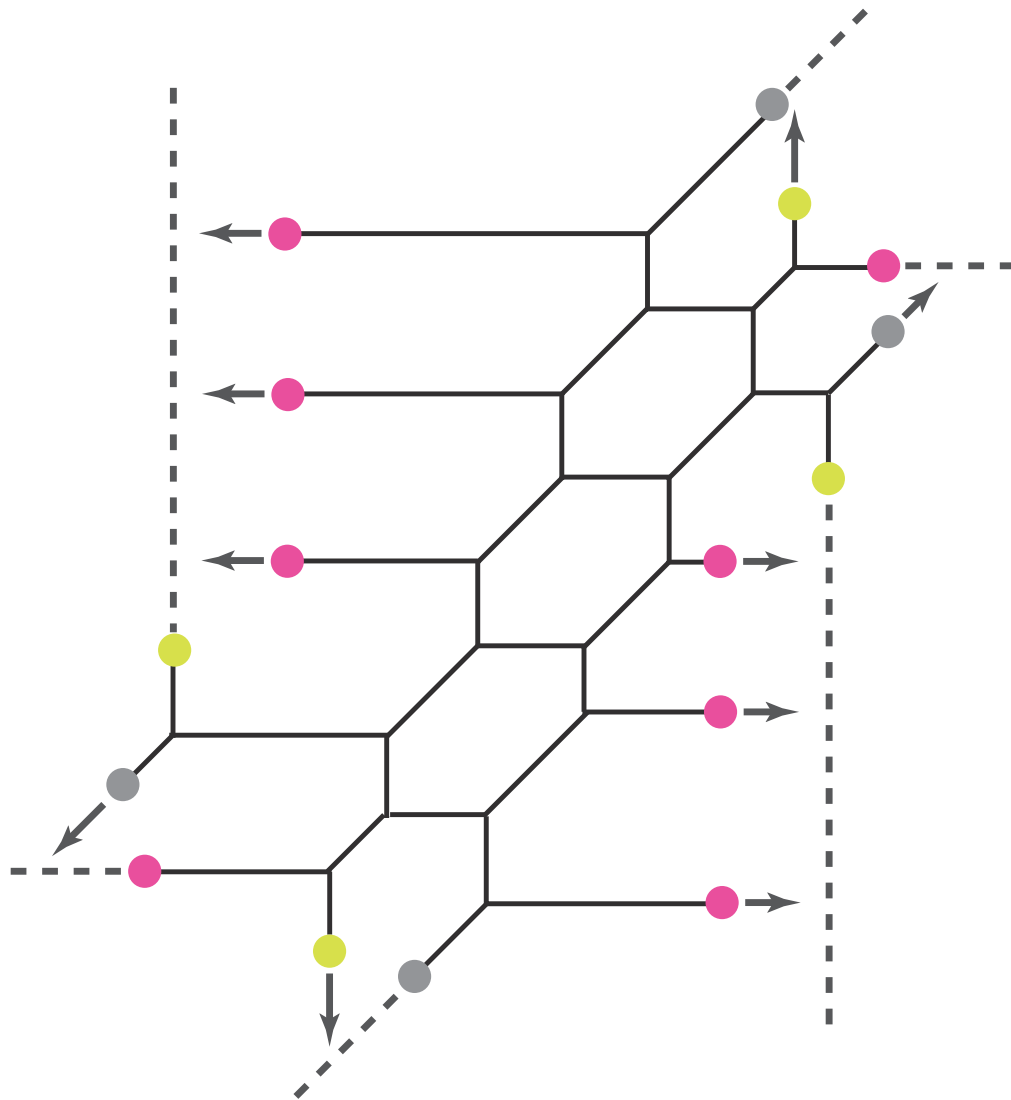
A **Tao web diagram** implies that
a 5d theory has UV completion as **6d SCFT**

A **Tao web diagram** is a 5d description of
a **6d SCFT** on a circle

What is 6d SCFT for this Tao?

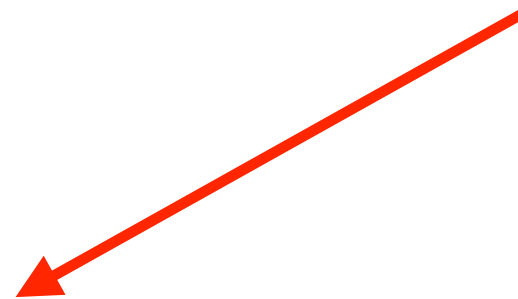
5d $SU(N)$ $N_f=2N+4$

arXiv:1505.04439



Conjecture

5d $N=1$ $SU(N)$ w/ $N_f=2N+4$ has 6d UV fixed point

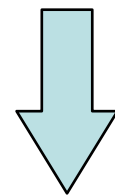


M5-brane probing D_{N+2} singularity
“(D_{N+2} , D_{N+2}) conformal matter”

[Del Zotto - Heckman - Tomasiello - Vafa '14]

Idea:

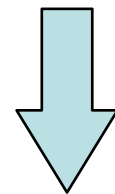
6d (1,0) SCFTs: Conformal matter



Tensor branch

IIA brane configurations

[Hanany, Zaffaroni '97, Brunner, Karch '97]



on S^1 and T-dual

IIB (p,q) brane web diagrams

: read off 5d descriptions

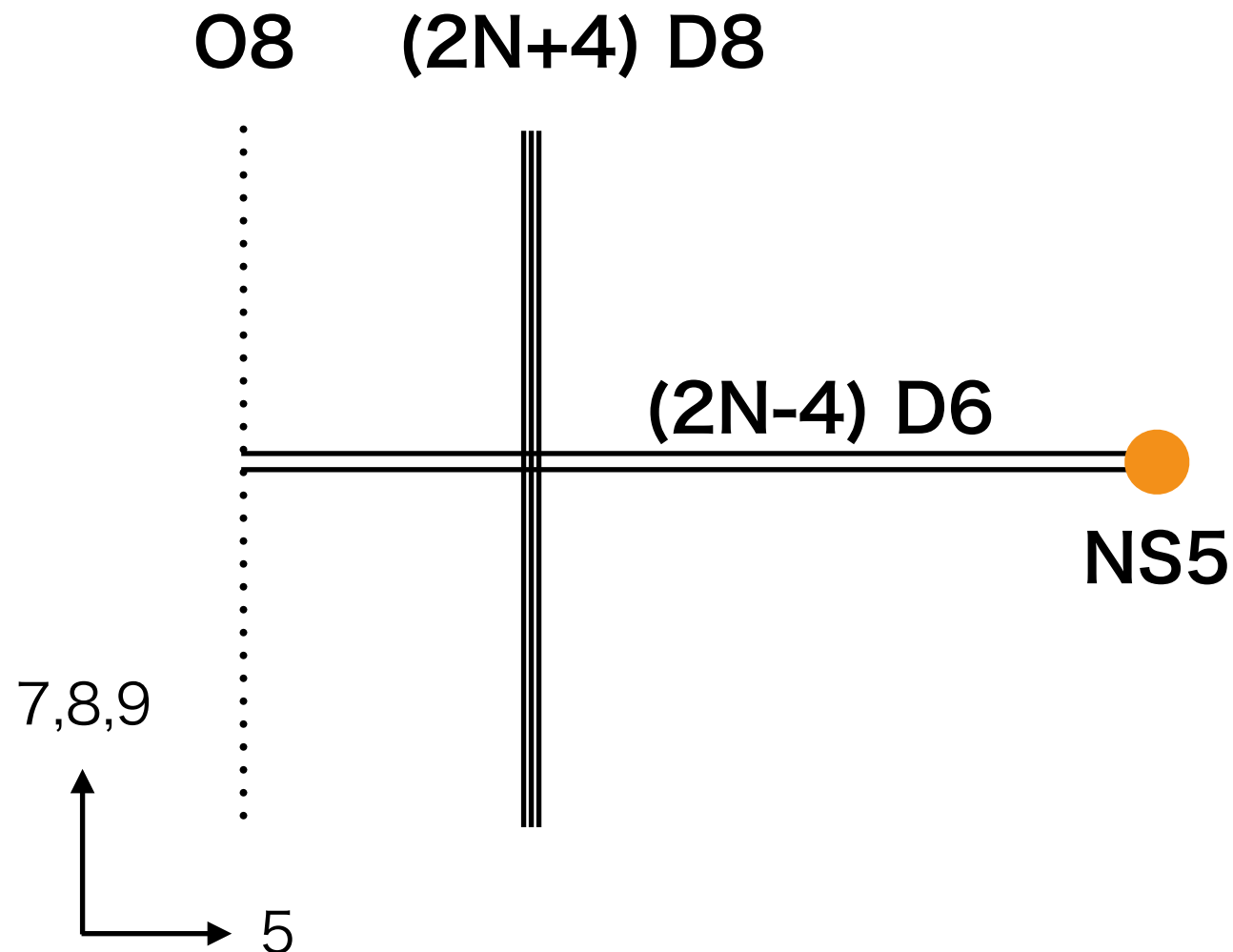
not just one but **many 5d theories**
(S-duality)

M5-brane probing D_{N+2} singularity



Tensor branch

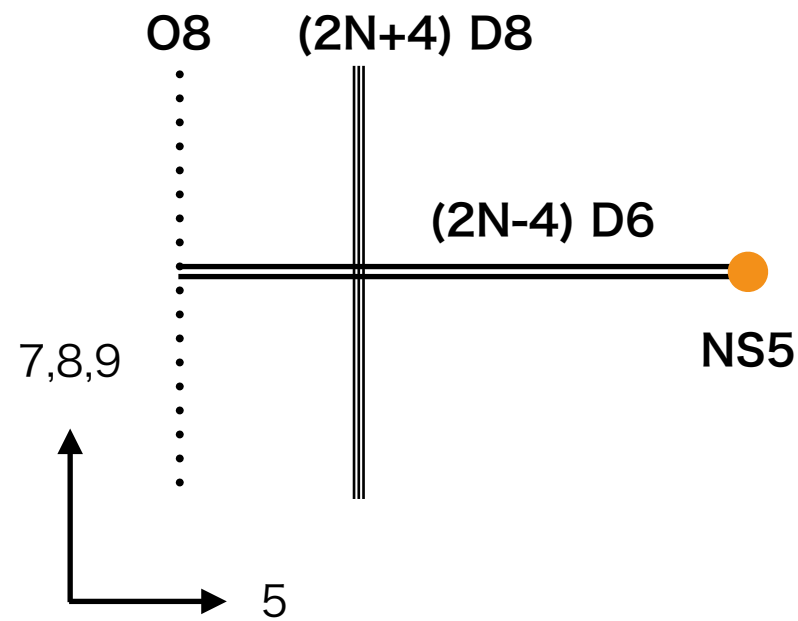
6d $\mathcal{N} = (1, 0)$ $Sp(N - 2)$ gauge theory
 $N_f = 2N + 4$, w/tensor multiplet



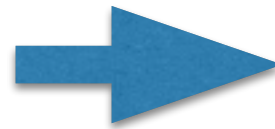
	0	1	2	3	4	5	S^1 ↓ 6	7	8	9
D6-brane	×	×	×	×	×	×	×			
NS5-brane	×	×	×	×	×		×			
D8-brane	×	×	×	×	×		×	×	×	×
O8-plane	×	×	×	×	×		×	×	×	×

[Hanany, Zaffaroni '97, Brunner, Karch '97]

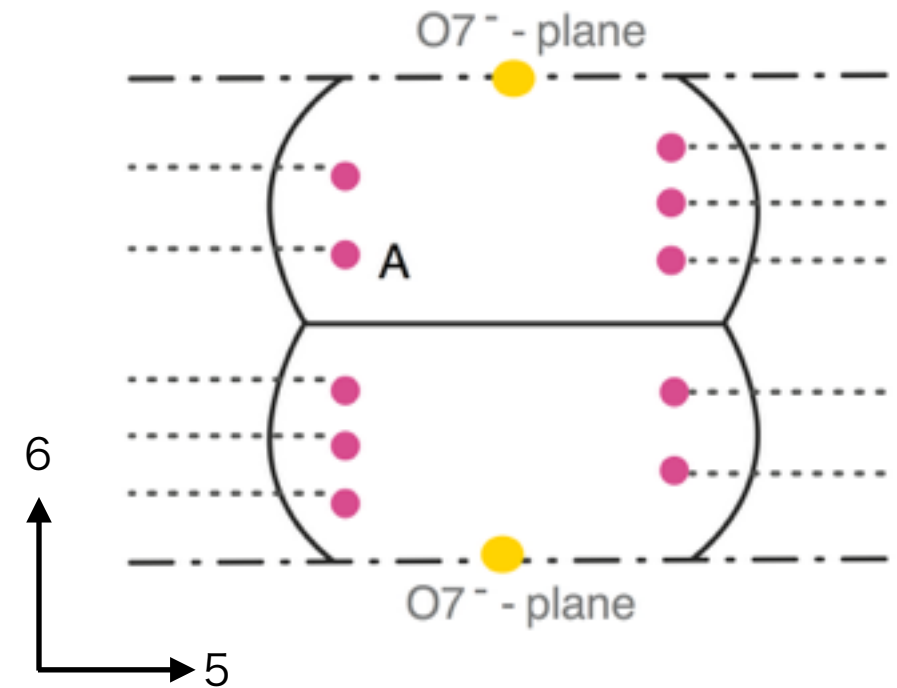
Diagrammatic “Derivation”



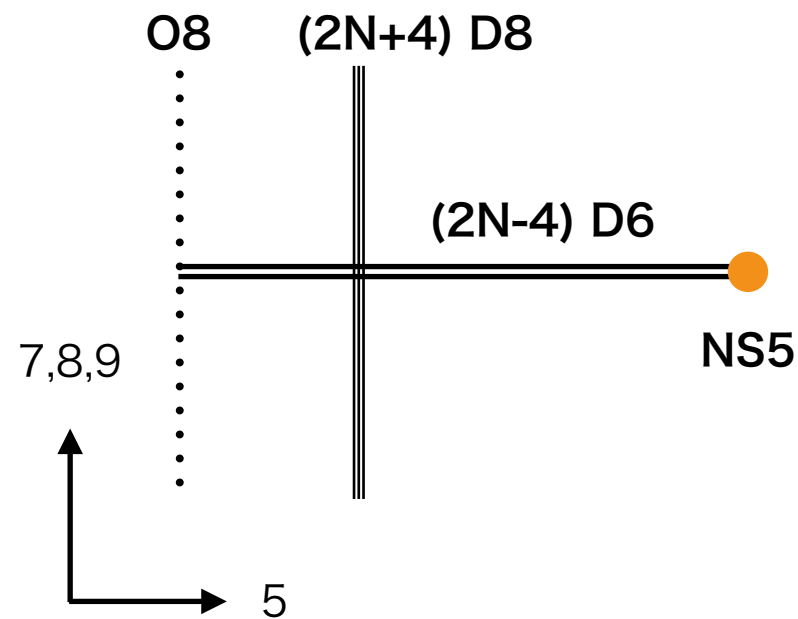
T-duality



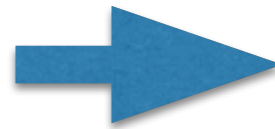
(N=3)
6d $Sp(1)$ $N_f = 10$



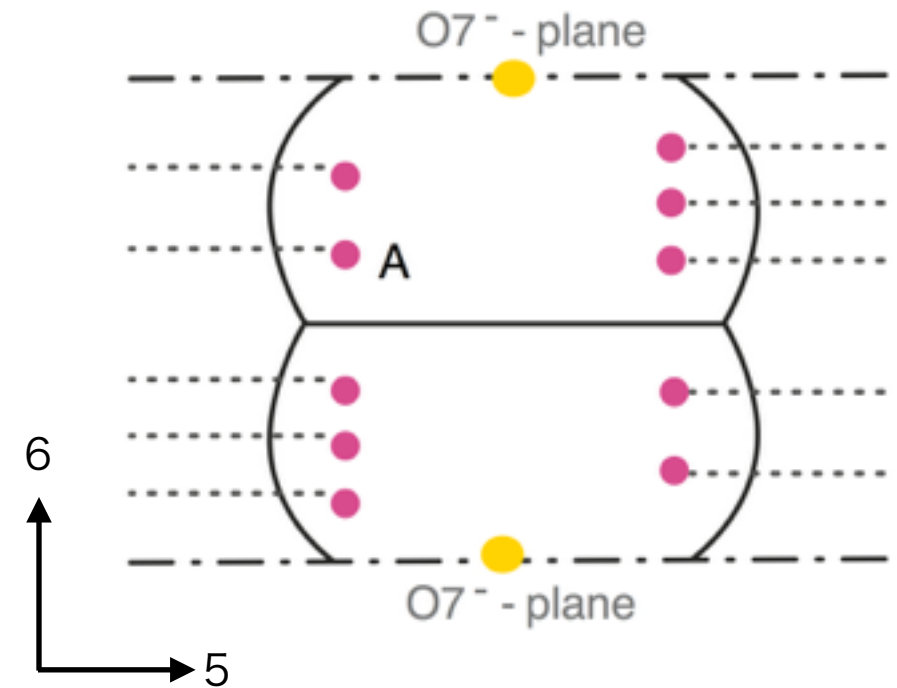
Diagrammatic “Derivation”



T-duality

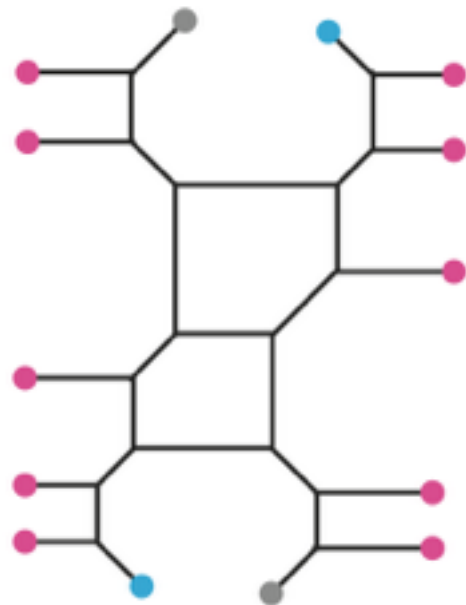


$(N=3)$
6d $Sp(1)$ $N_f = 10$

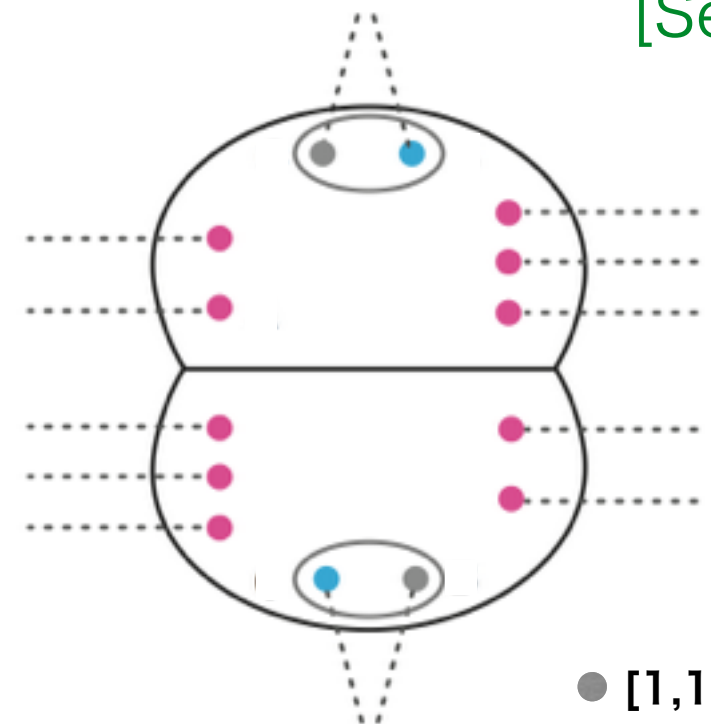


$O7^-$ -plane
= $[1,1]$ 7-brane
+ $[1,-1]$ 7-brane
[Sen '96]

5d $SU(3)_0$ $N_f = 10$



Hanany-Witten
transition



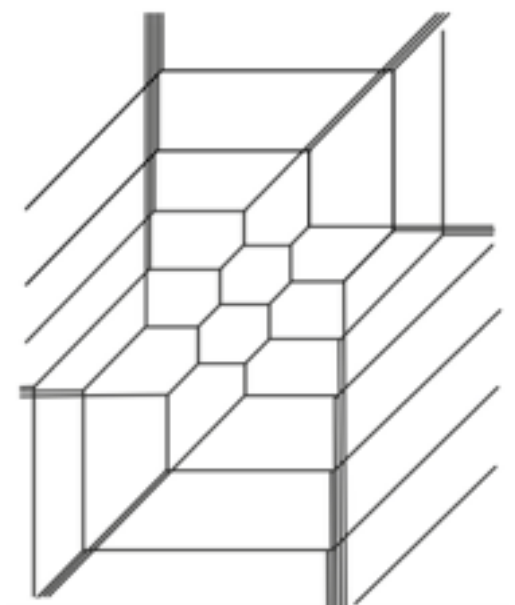
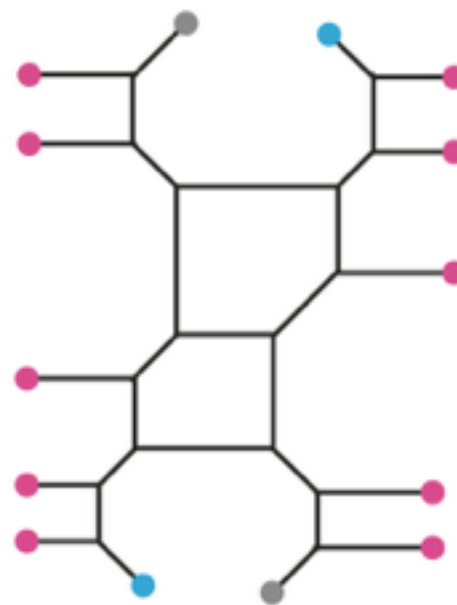
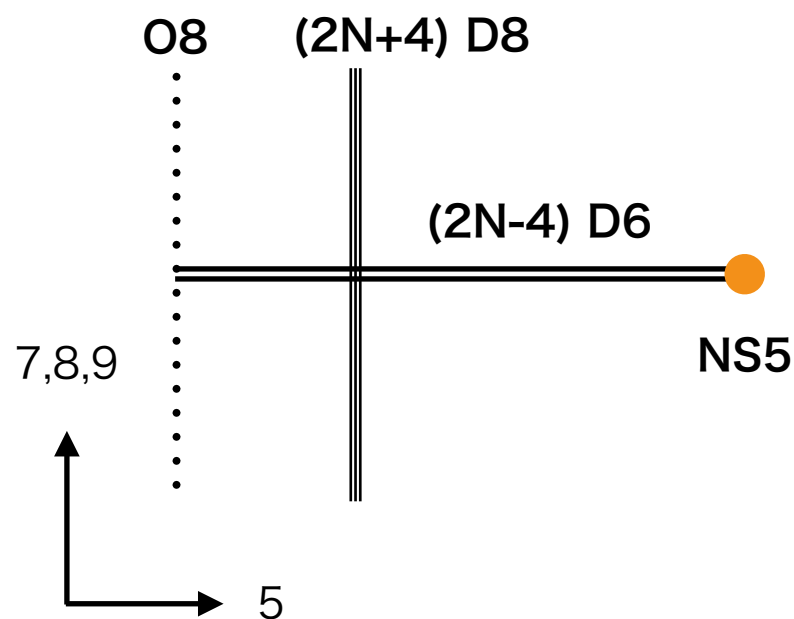
● $[1,1]$ 7-brane
● $[1,-1]$ 7-brane

**M5-brane probing
D_{N+2} singularity**

6d $Sp(N-2)$
 $N_f = 2N + 4, T$

5d $SU(N)_0$ $N_f = 2N + 4$

Tao diagrams



Note: $N=2$: 6d E-string $\longrightarrow$ 5d $SU(2)$ with 8 flavors

Yet another 5d description: duality

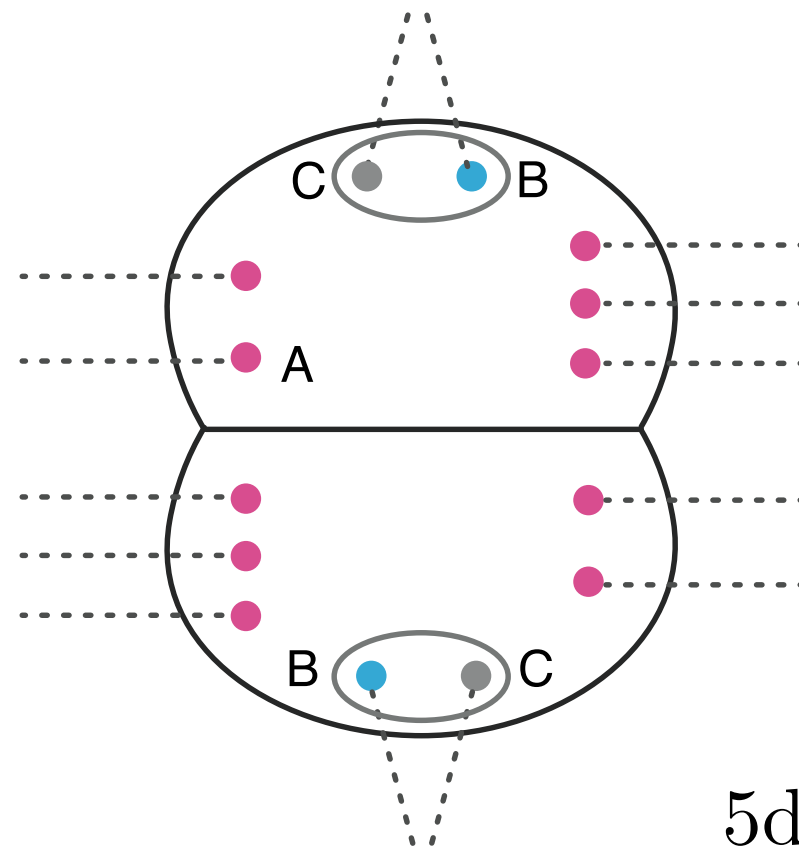
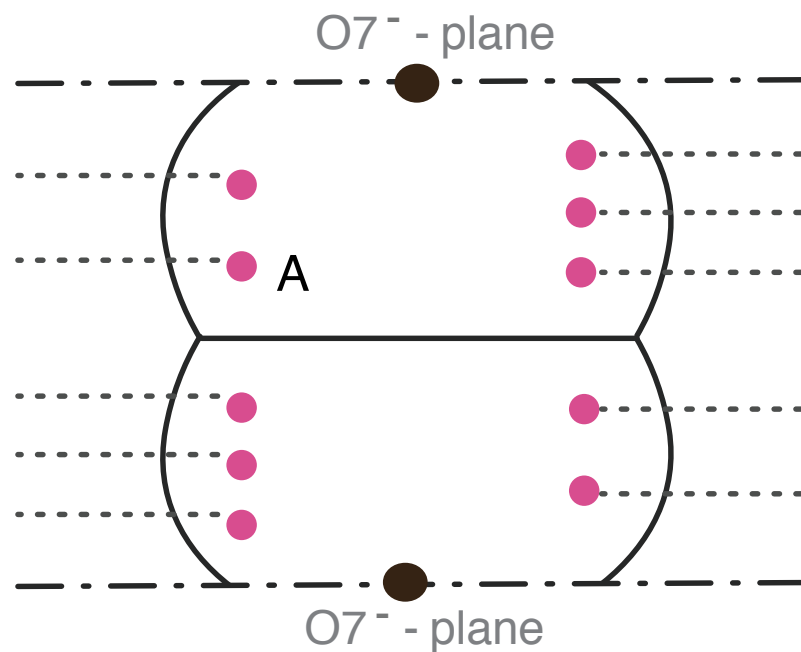
6d $Sp(N-2)$ theory with $N_f = 2N+4$, a tensor



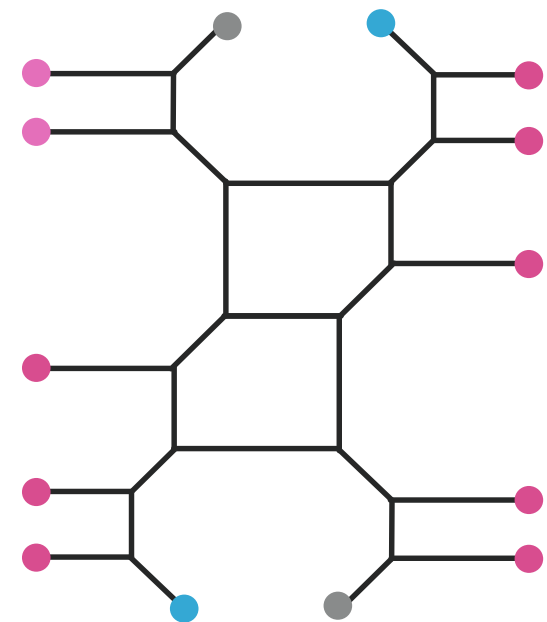
resolve **two $O7^-$'s**

5d $SU(N)_0$ theory with $N_f = 2N+4$

6d $Sp(1)$ $N_f = 10$



7-branes:
● D7-brane
● $[1,1]$ 7-brane
● $[1,-1]$ 7-brane



5d $SU(N)_0$ $N_f = 2N + 4$

[Hayashi-SSK-Lee-Taki-Yagi '15]

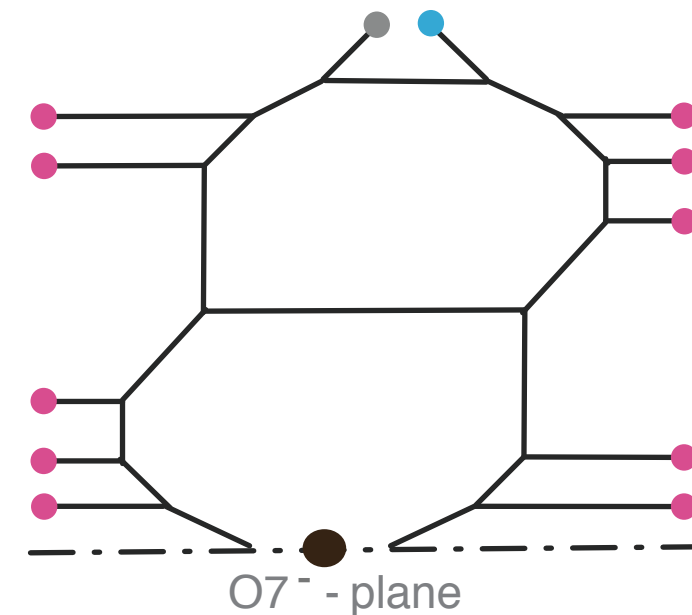
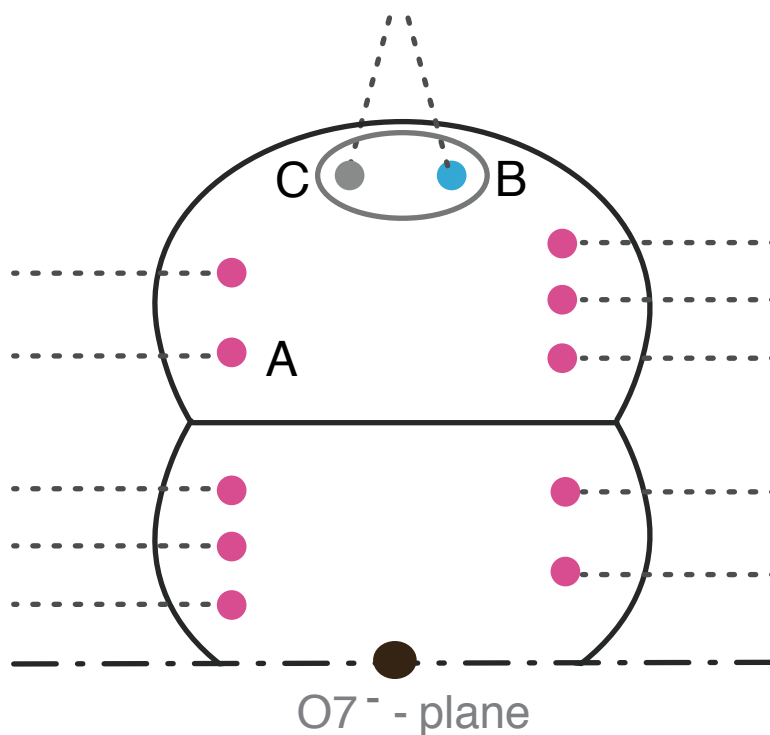
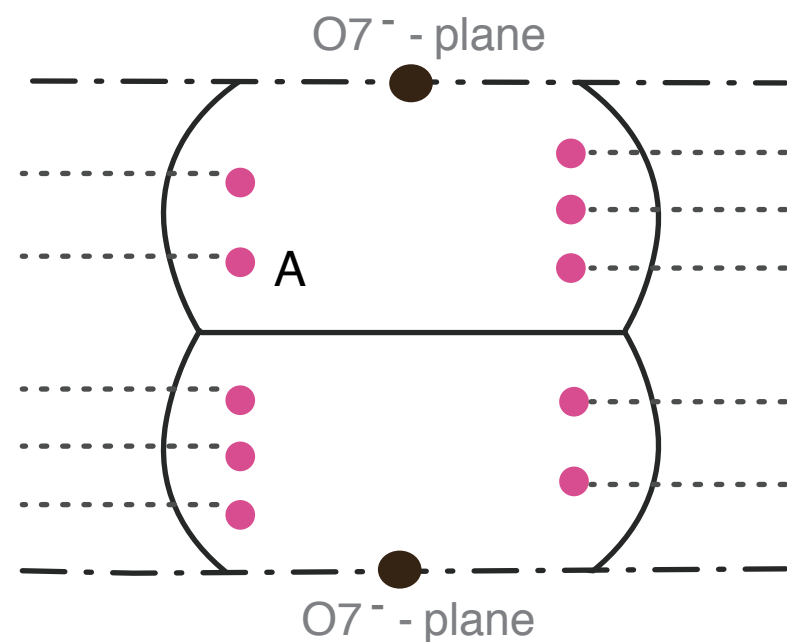
[Yonekura '15]

5d $Sp(N-1)$ theory with $N_f = 2N+4$

Resolving **only one O7⁻**:

[Hayashi-SSK-Lee-Yagi '15]

6d $Sp(1)$ $N_f = 10$



5d $Sp(2)$ $N_f = 10$

SU-Sp duality (or “UV duality”)

[Gaiotto-Kim '15]

5d SU(N) theory
 $N_f = 2N + 4$



5d Sp(N-1) theory
 $N_f = 2N + 4$

both O7s

only one O7

different descriptions but UV dual

6d $\text{Sp}(N-2)$ theory
 $N_f = 2N+4$, Tensor

one O8



5d $\text{SU}(N)$ theory
 $N_f = 2N+4$

5d $\text{Sp}(N-1)$ theory
 $N_f = 2N+4$

both O7s

only one O7

Explicit checks: Equivalence of the partition functions

6d **Sp(1)** theory
N_f=10, Tensor

Elliptic genus

[’15 Kim, Kim, Lee]

Wilson lines



5d **SU(3)** theory
N_f=10

[’16 Hayashi-SSK-Lee-Yagi]



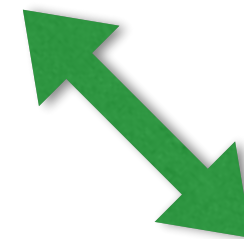
Map

5d **Sp(2)** theory
N_f=10

[’16 Hayashi-SSK-Lee-Yagi]

[’16 Yun]

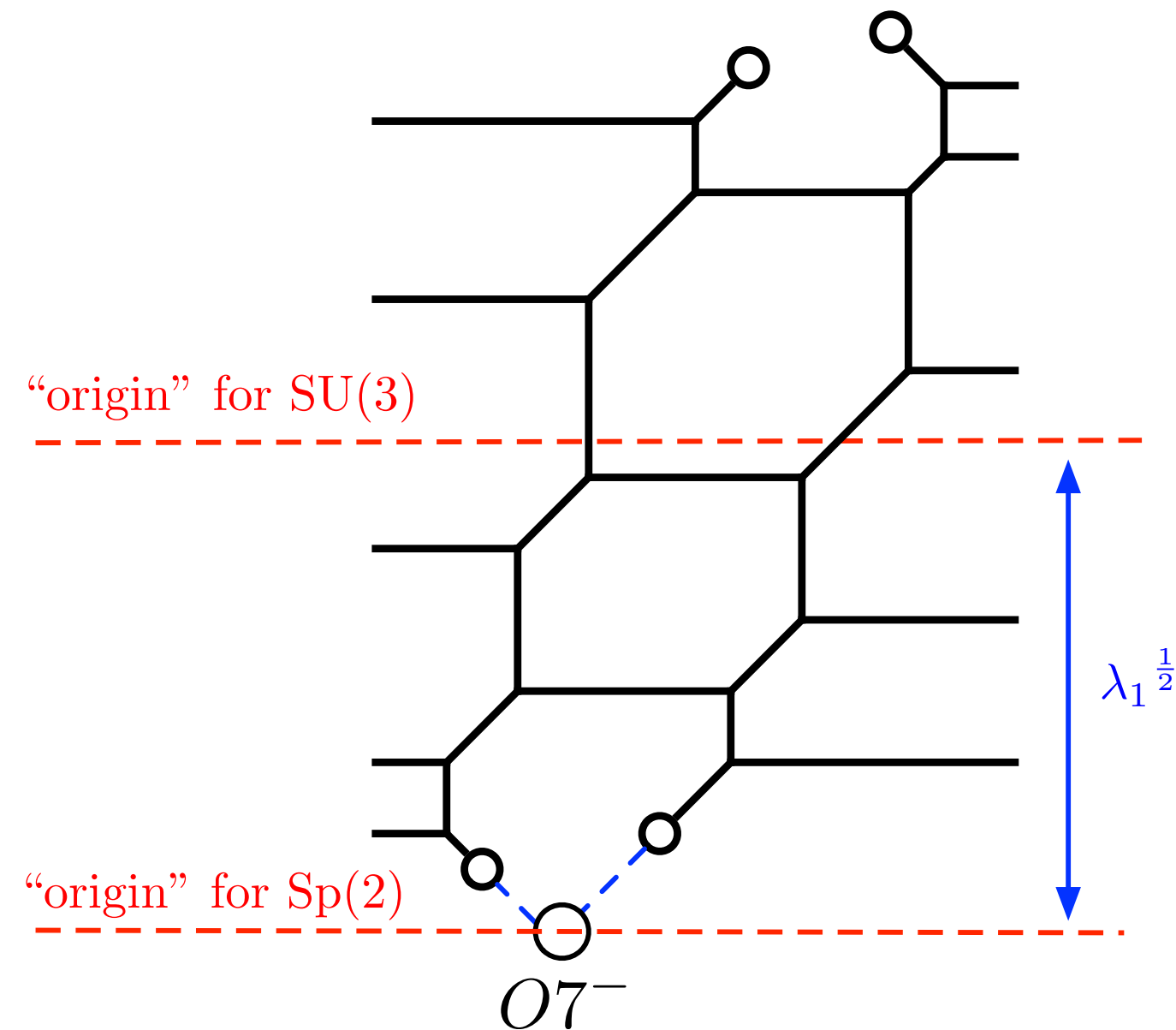
Wilson lines



Map between **Sp(2)** and **SU(3)**

['15 Gaiotto-Kim]

['16 Hayashi-SSK-Lee-Yagi]



Sp(2)

SU(3)

$$A'_i = \lambda_1^{\frac{1}{2}} A_i = \left(q^{\frac{1}{2}} \prod_{i=1}^{10} y_i^{-\frac{1}{4}} \right) A_i, \quad (i = 1, 2)$$

$$y'_i = \lambda_1^{\frac{1}{2}} y_i = \left(q^{\frac{1}{2}} \prod_{i=1}^{10} y_i^{-\frac{1}{4}} \right) y_i, \quad (i = 1, \dots, 5)$$

$$y'^{-1}_i = \lambda_1^{\frac{1}{2}} y_i = \left(q^{\frac{1}{2}} \prod_{i=1}^{10} y_i^{-\frac{1}{4}} \right) y_i, \quad (i = 6, \dots, 10)$$

Computations

[’16 Hayashi-SSK-Lee-Yagi]

$$Z_{SU(3)} = Z_{\text{pert}} Z_{\text{inst}}$$



Map

$$Z_{\text{inst}} = \text{PE}[\mathcal{F}_1(A, y)q + \mathcal{F}_2(A, y)q^2 + \dots]$$

$$\mathcal{F}_1(A, y) = \frac{g}{(1-g)^2} \left[\frac{\sum_{n=0}^{10} \chi_n (-A_1)^{6-n}}{(A_1 - A_2)^2 (A_1 - A_3)^2} + (\text{cyclic}) \right]$$

$$+ \frac{g}{(1-g)^2} \left[\sum_{n=0}^2 (-1)^{n+1} \left(P_1^{2-n} \chi_n + P_{-1}^{2-n} \chi_{10-n} \right) \right]$$

$$\mathcal{F}_2 = \dots$$

$$Z_{Sp(2)}(A', q', y')$$



Wilson line

$$\tilde{y}_{10} = y'_{10} q'^{-2}, \quad \phi = A'_1 q' y'^{-1}_{10},$$

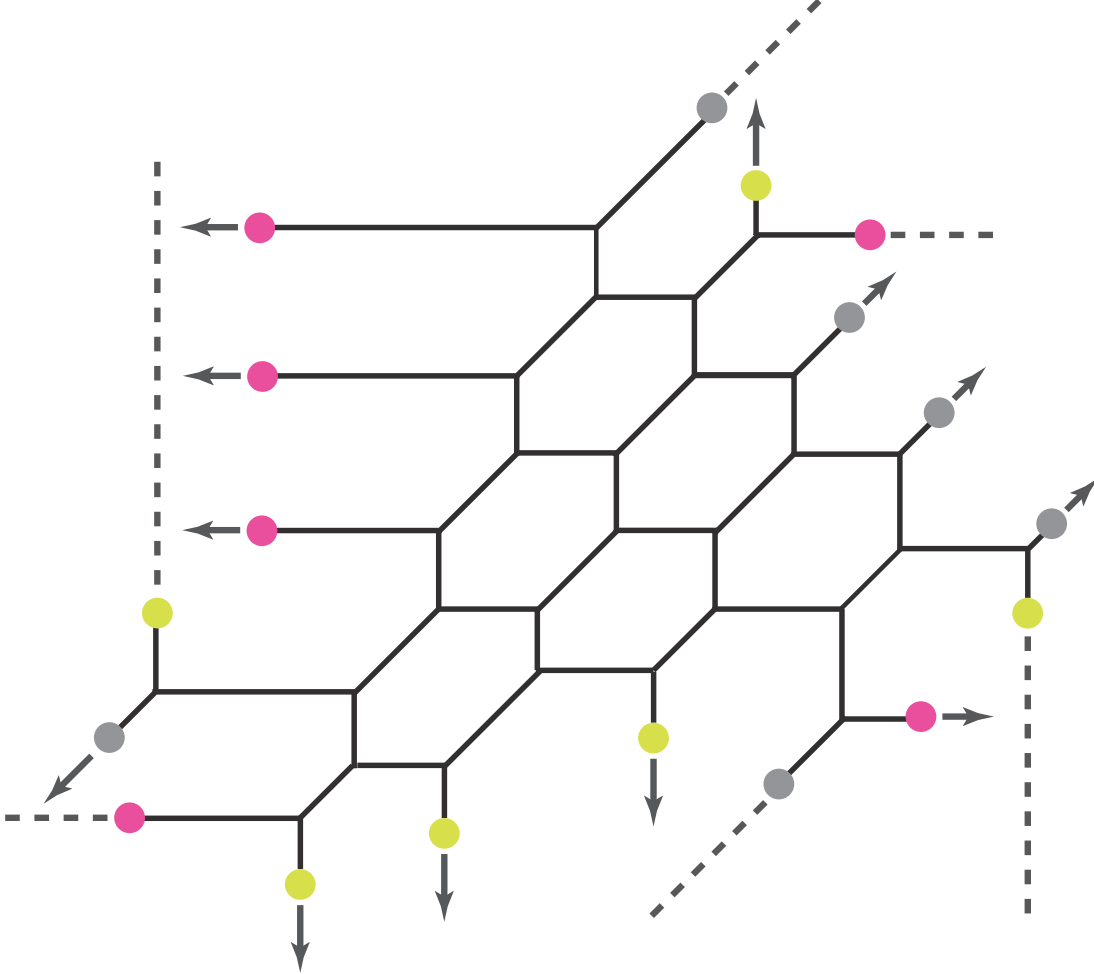
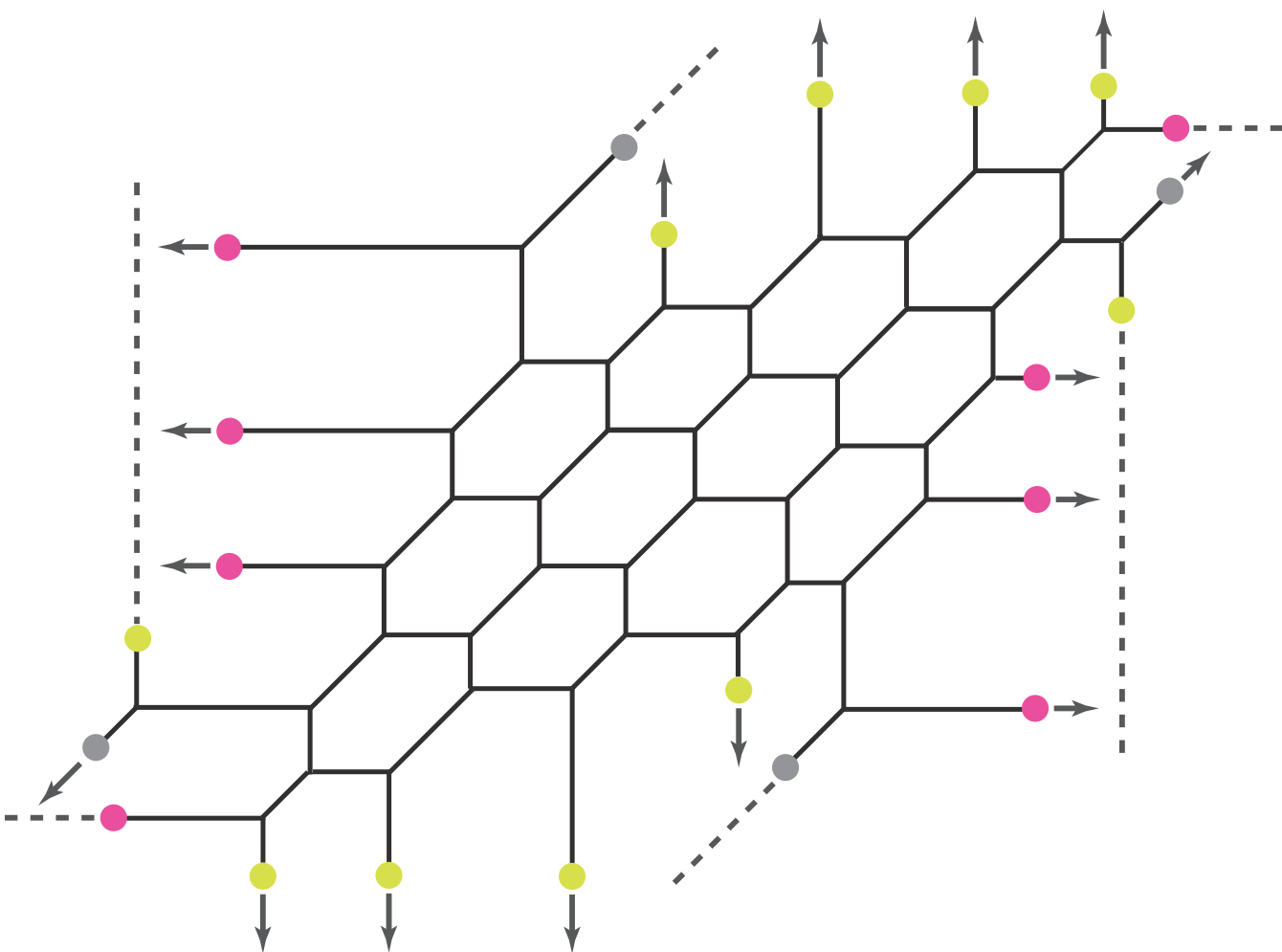
$$\tilde{q} = q'^2, \quad \tilde{A} = A'_2, \quad \tilde{y}_i = y'_i,$$

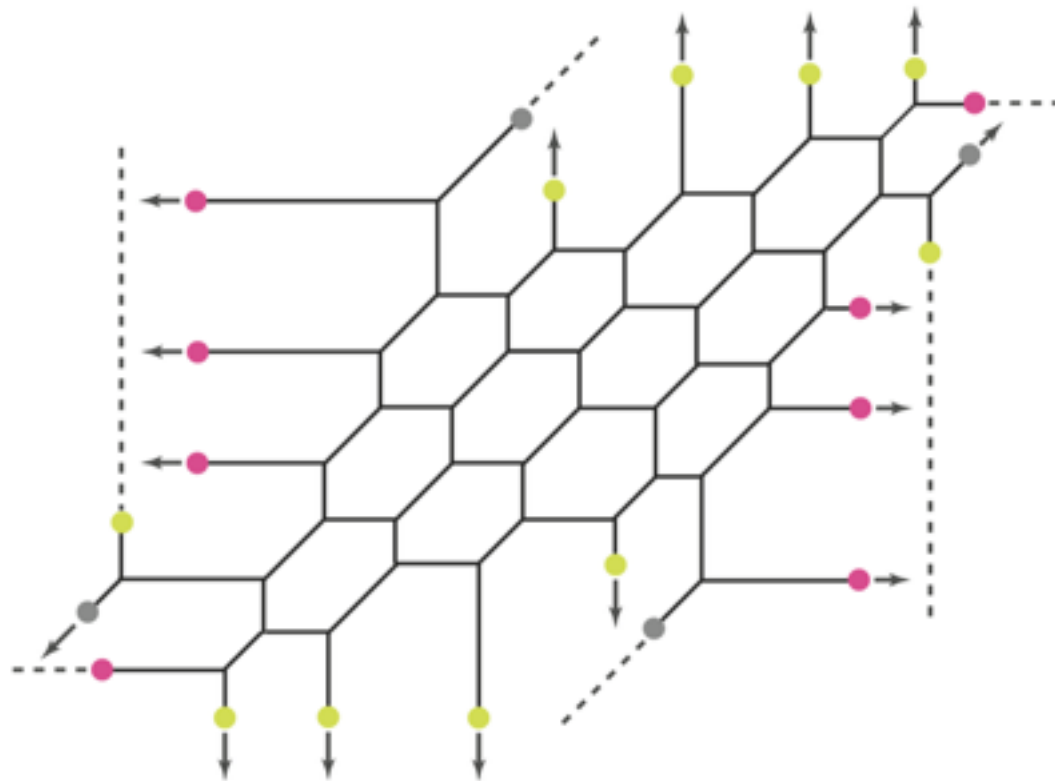
$$Z_{6d \ Sp(1)}(\tilde{A}, \tilde{q}, \tilde{y}, \phi)$$

[’15 Joonho Kim-Seok Kim-Kimyeong Lee]

Other 5d/6d web diagrams?

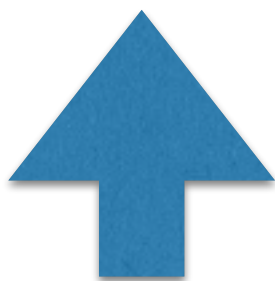
Quiver type





k

$$5d \ [N + 2] - SU(N) - \cdots - SU(N) - [N + 2]$$



['15 Zafrir]

['15 Yonekura]

['15 Hayashi-SSK-Lee-Yagi]

$$k = 2n + 1$$

$$6d \ Sp(N') - SU(2N' + 8) - SU(2N' + 16) - \cdots - SU(2N' + 8(n - 1)) - [2N' + 8n]$$

$$N' = N - 2n$$

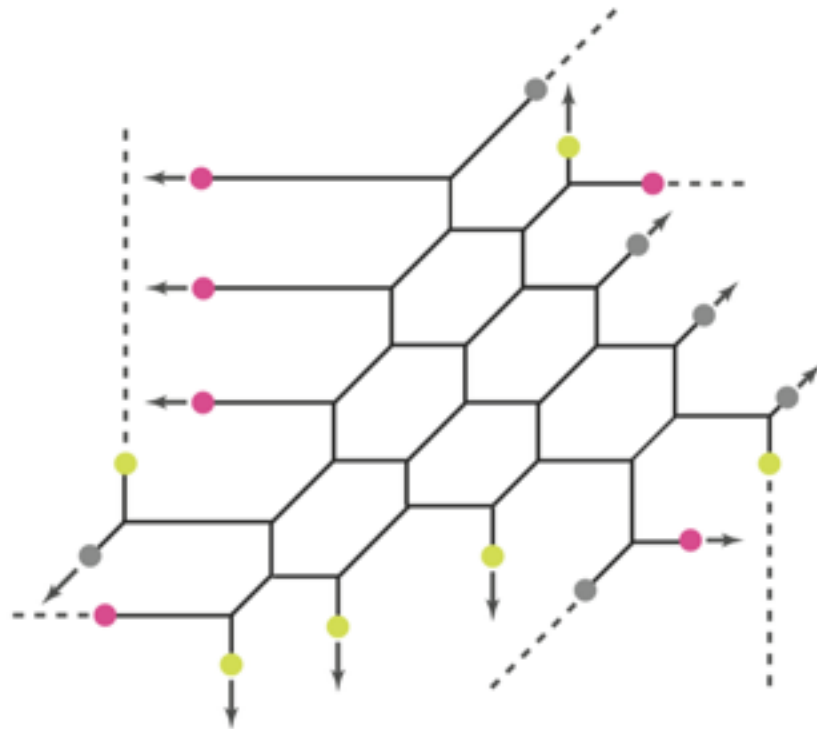
$$k = 2n$$

$$6d \ [A] - SU(N') - SU(N' + 8) - SU(N' + 16) - \cdots - SU(N' + 8(n - 1)) - [N' + 8n + 8]$$

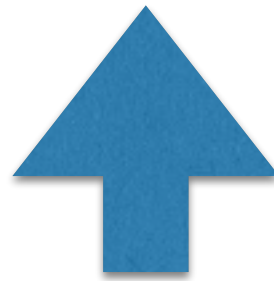
$$N' = 2(N - 2n + 1)$$



hypermultiplet in
antisymmetric representation



$$5\text{d } [N + 3] - SU(N) - SU(N - 1) - SU(N - 2) - \cdots - SU(3) - SU(2) - [3]$$



[’15 Zafrir]

[’15 Ohmori, Shimizu]

[’15 Hayashi-SSK-Lee-Yagi]

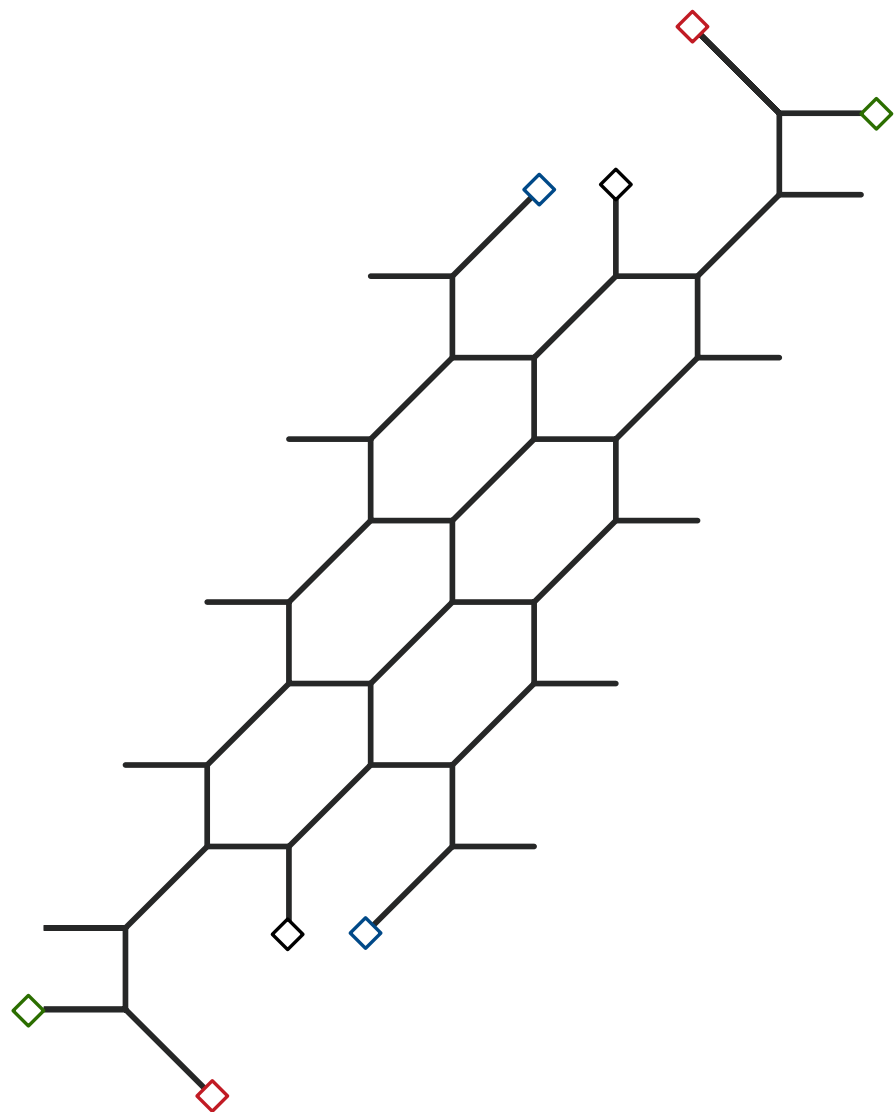
$$N = 3n : \quad 6\text{d } SU(0) - SU(9) - \cdots - SU(9n) - [9n + 9]$$

$$N = 3n + 1 : \quad 6\text{d } SU(3) - SU(12) - \cdots - SU(3 + 9(n - 1)) - [3 + 9n]$$

$$N = 3n + 2 : \quad 6\text{d } \left[\frac{1}{2}\right]_{\Lambda^3} - SU(6) - SU(15) - \cdots - SU(6 + 9(n - 1)) - [6 + 9n]$$

UV dualities:

different-looking 5d gauge theories
have
the **identical** 6d UV fixed point

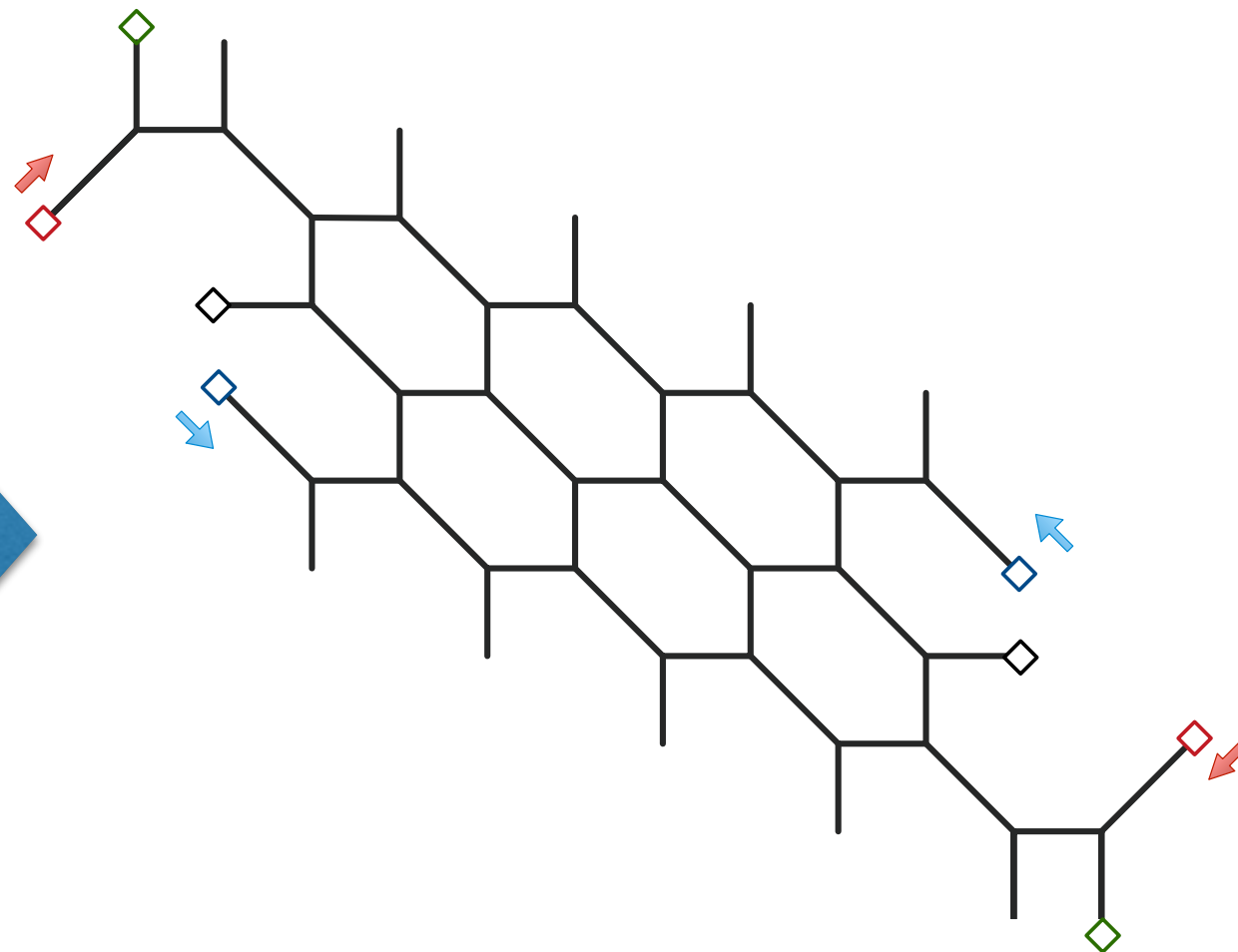
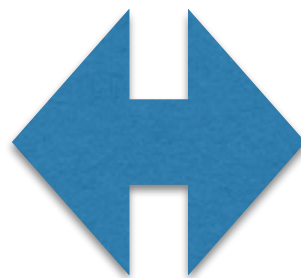
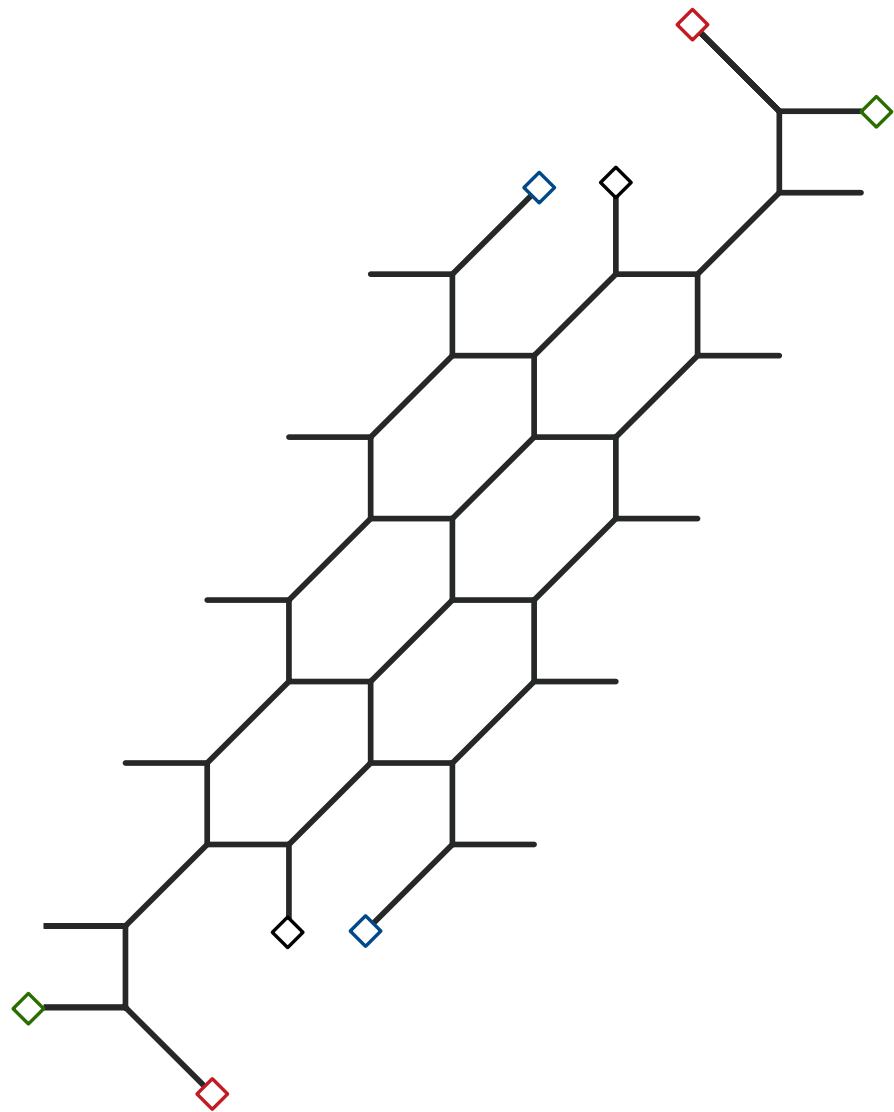


5d [6]-SU(4)-SU(4)-[6]



6d [A]-SU(6)-[1 4]

S-duality

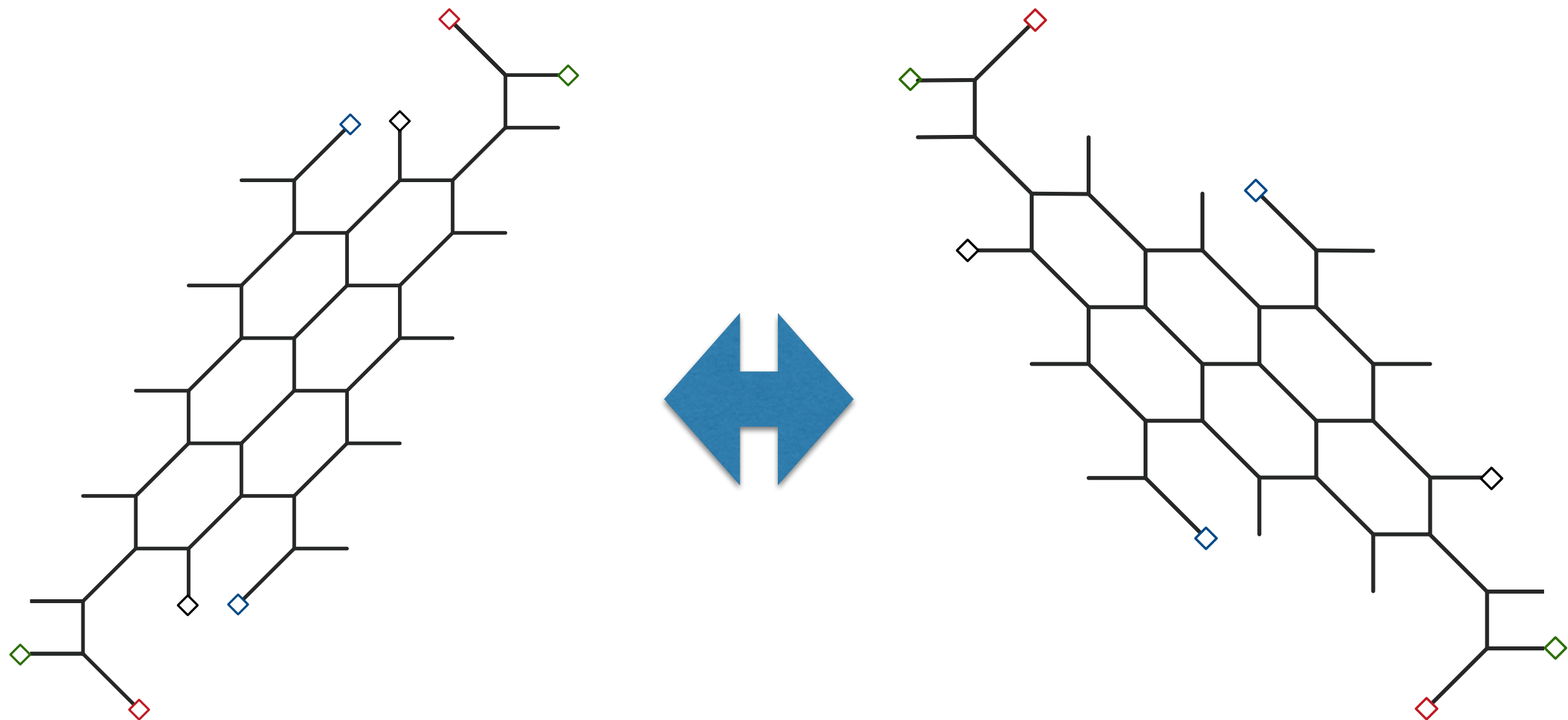


5d [6]-SU(4)-SU(4)-[6]

5d (?)-SU(3)-SU(3)-SU(3)-(?)

↑
6d [A]-SU(6)-[1 4]

S-duality

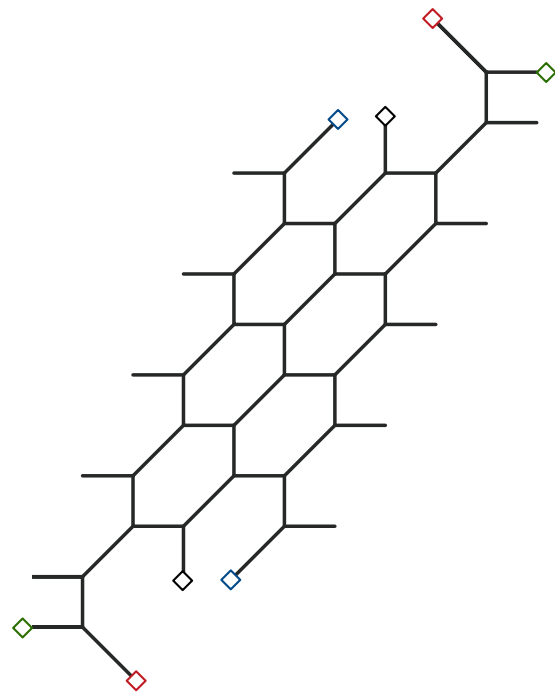


5d [6]-SU(4)-SU(4)-[6]

5d [5]-SU(3)-SU(3)-SU(3)-[5]

6d [A]-SU(6)-[1 4]

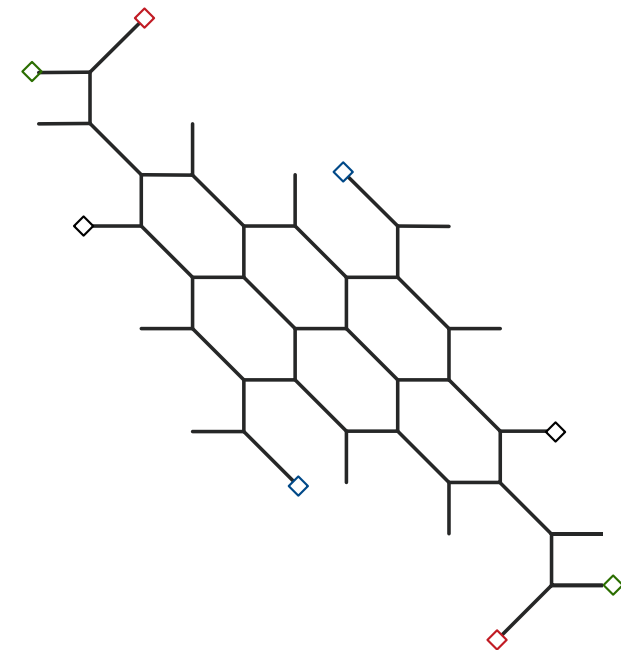
$[6]-SU(4)-SU(4)-[6]$



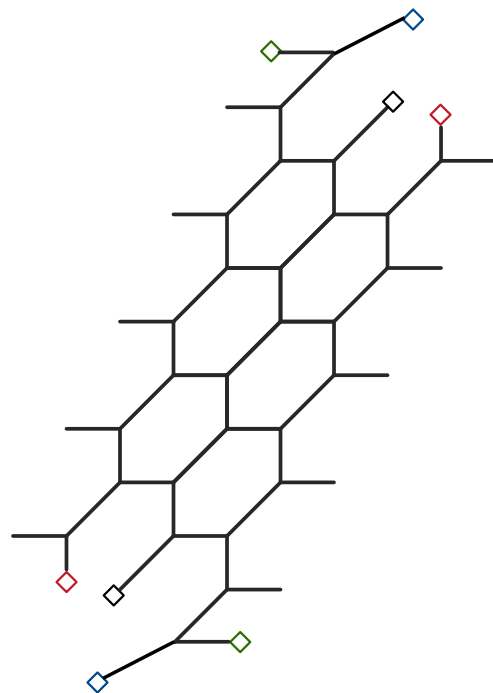
S-duality



$[5]-SU(3)-SU(3)-SU(3)-[5]$



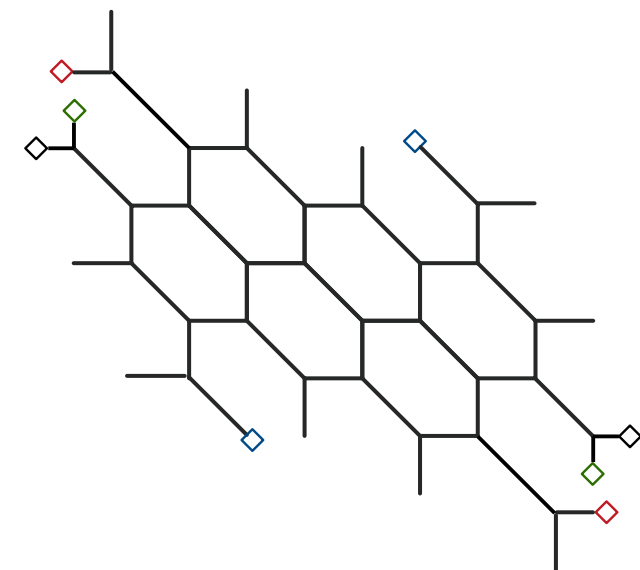
Mass
deformation



$[1]$ $[1]$
| |

$[3] - SU(2) - SU(3) - SU(3) - SU(2) - [3]$

S-duality

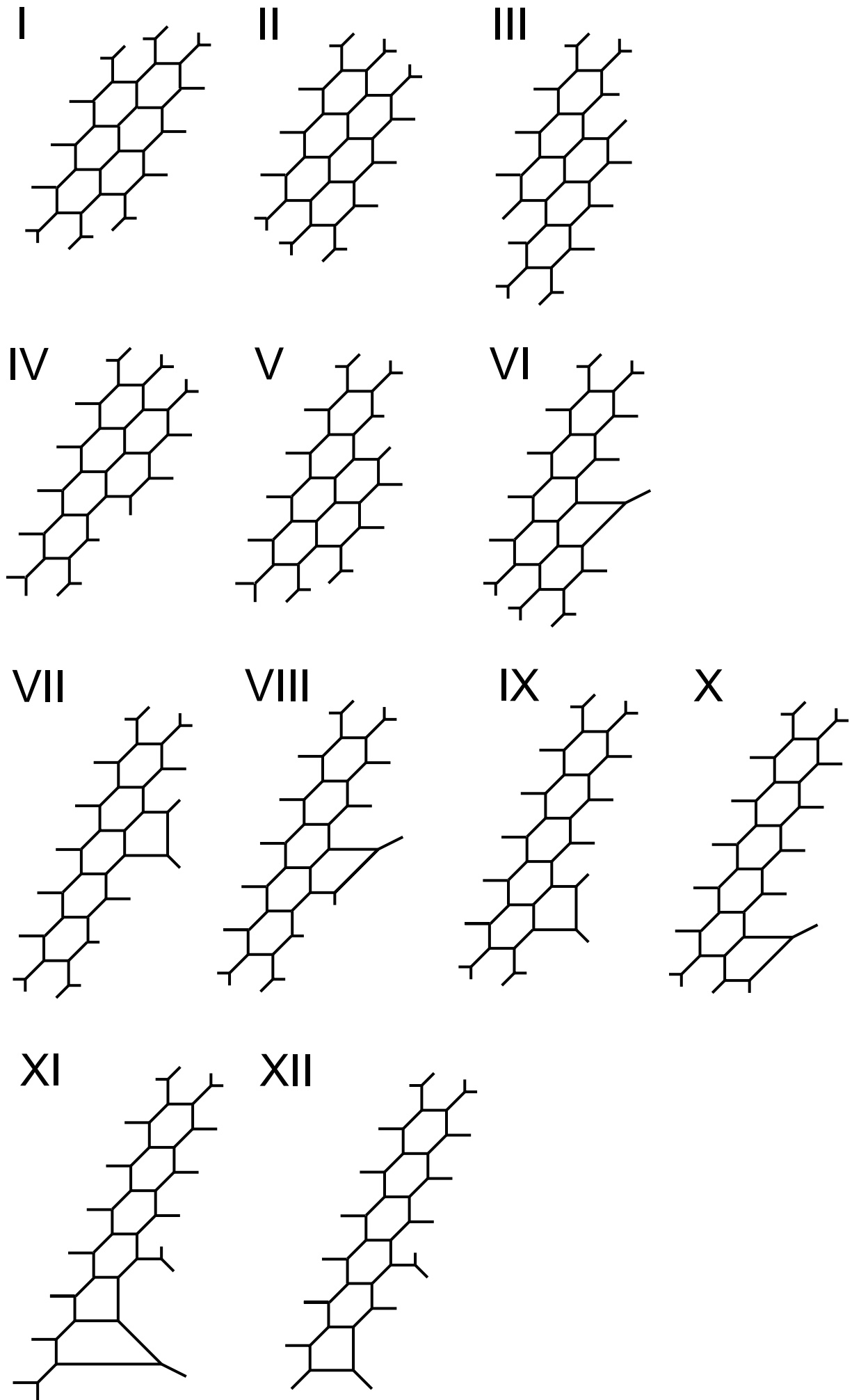


6d $SU(6)$ theory
with $N_f=14$, $N_a=1$



various 5d quivers
(depending on
D5, D7 distributions)

Wilson lines



6d SU(6) 14 flavors, antisym. tensor + tensor mult.



5d [6]-SU(4)-SU(4)-[6]

5d [5]-SU(3)-SU(3)-SU(3)-[5]

5d [3] - SU(2) - $\begin{array}{c} [1] \\ | \end{array}$ SU(3) - $\begin{array}{c} [1] \\ | \end{array}$ SU(3) - SU(2) - [3]

All possible values of “gauge theory parameters”

3. Generalization

6d SCFTs involving other O-planes

$$\text{ON}^0 - \text{O6}^{-/+} - \text{O8}^{-}$$

- B_N, C_N, D_N gauge groups: other orientifolds

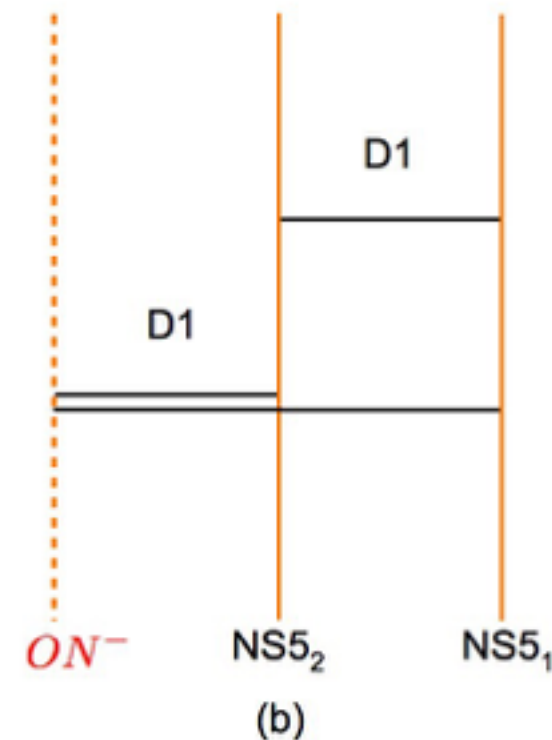
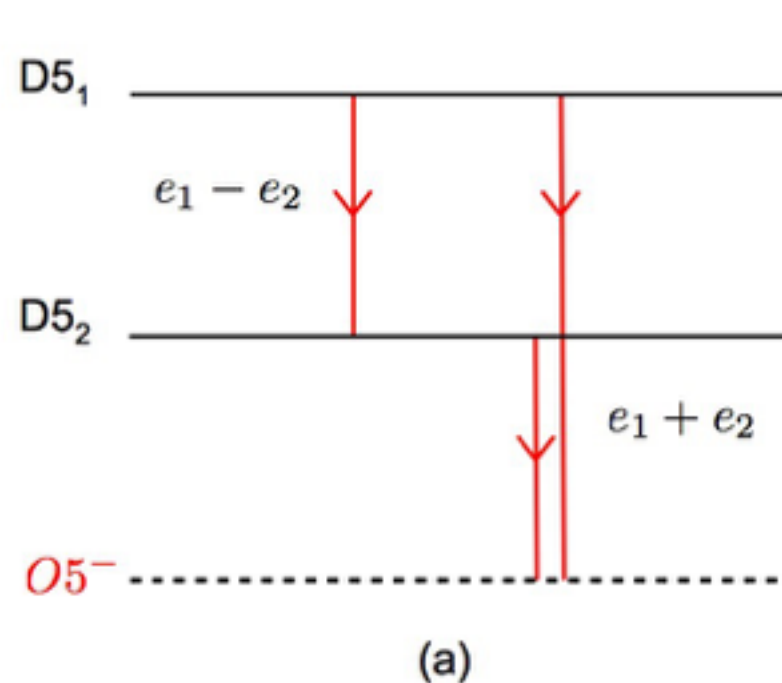
IIA: $O6^+, O6^-, O8^+$

IIB: $O5^+, O5^-, O7^+$

- ON^0** : S-dual of $O5^0$ (= $O5^- + D5$), NS-like orientifold
[’95 Kutasov] [’96, 97, 98 Sen]

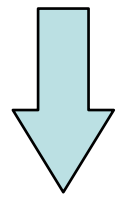
Splitting of quiver gauge theories

[’99 Hanany-Zaffaroni]



ON^0

Idea: **6d (1,0) SCFTs**

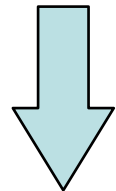


Tensor branch

IIA brane configurations w/ O8

O6, ON⁰

on S^1



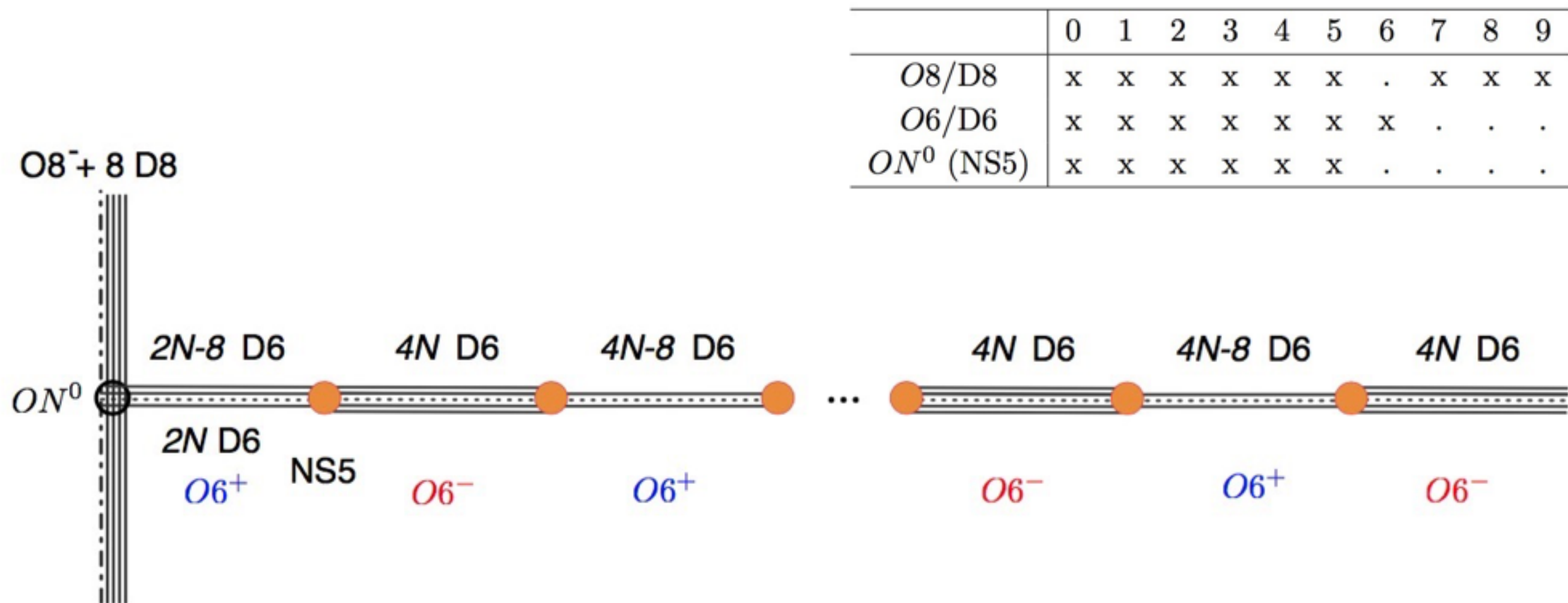
IIB (p,q) brane: web diagrams

O5, ON⁰

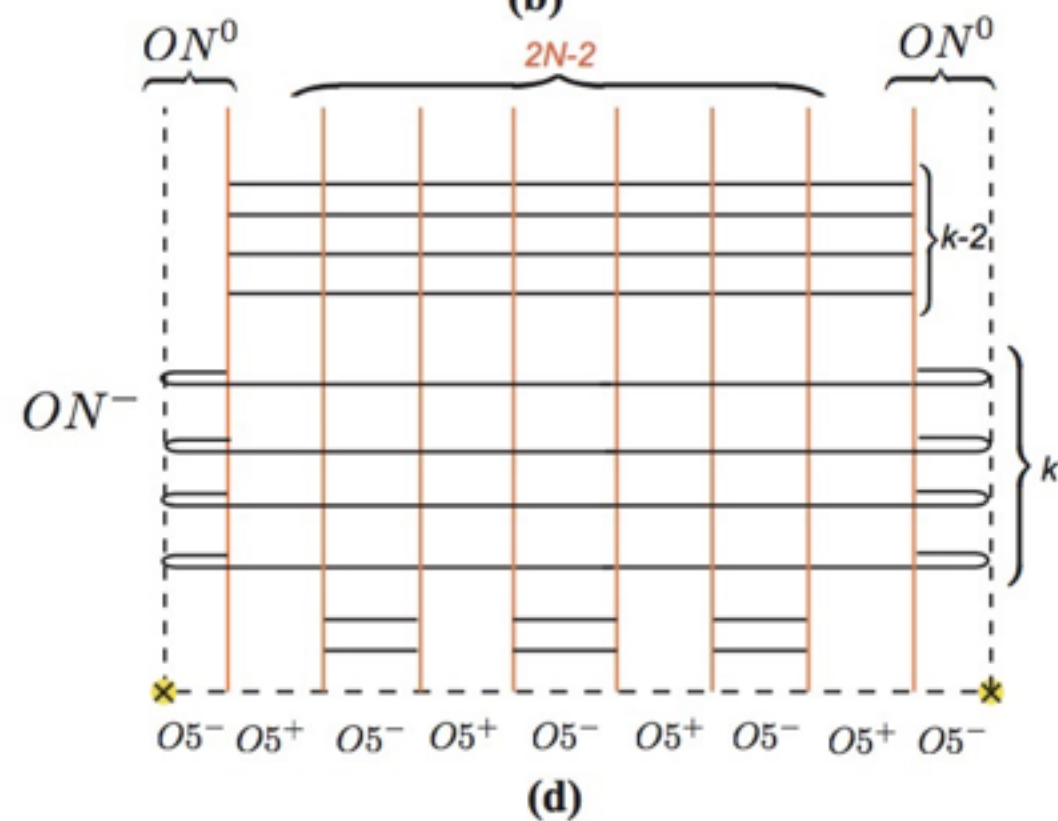
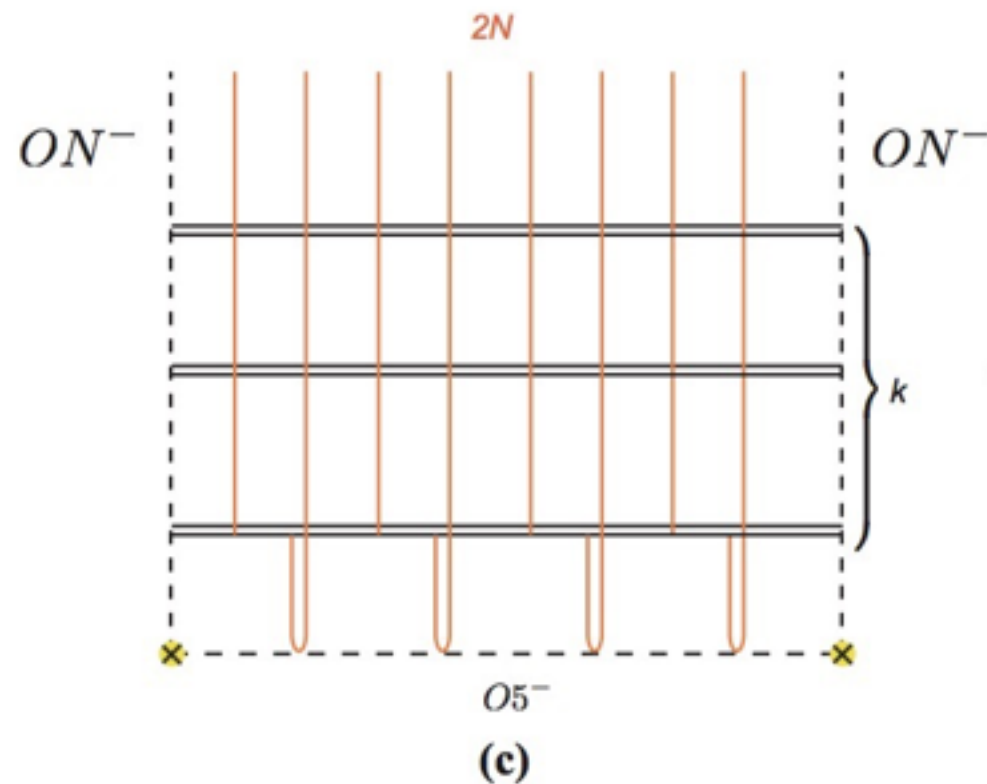
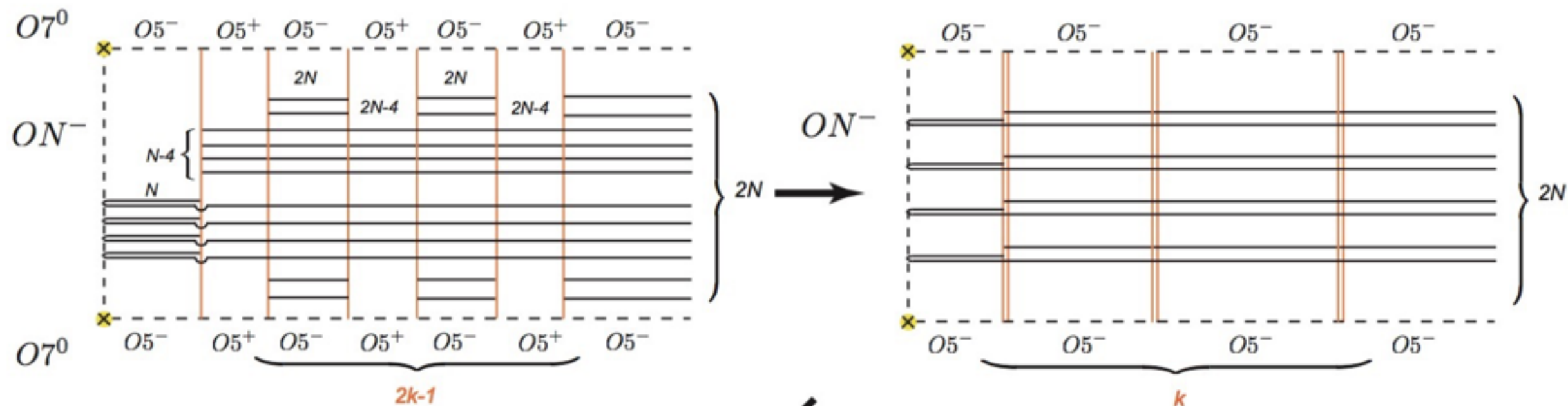
O7- resolutions to two 7-branes

S-duality

Wilson lines



$$6d \quad [8] - Sp(N) - \underbrace{\overbrace{SO(4N)}^{Sp(N-4)} - Sp(2N-4) - SO(4N) - \cdots - Sp(2N-4)}_{2k-2} - [2N].$$



$$Sp(k-2)$$

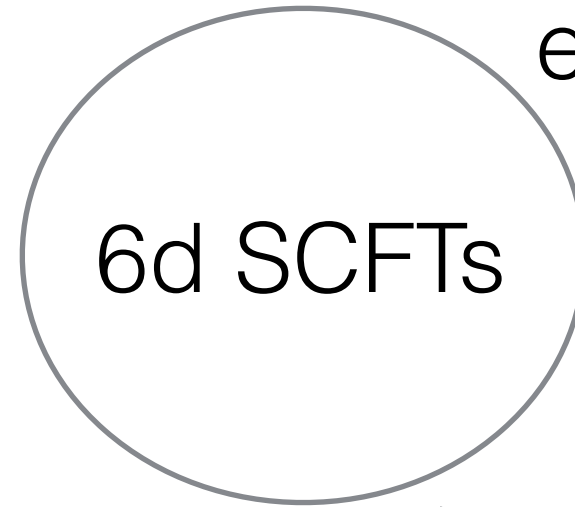
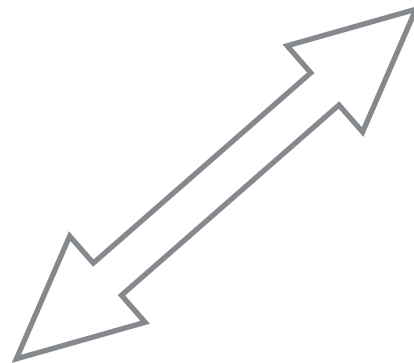
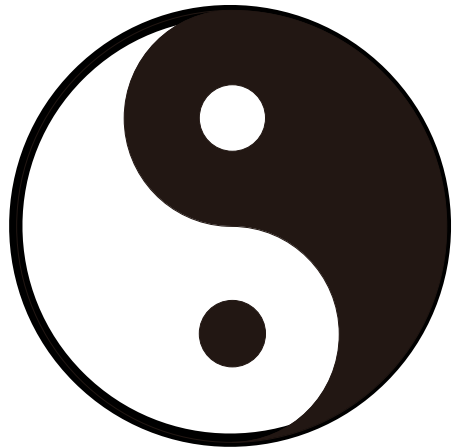
$$Sp(k-2)$$

$$5d \quad [4] - Sp(k) - SO(4k) - Sp(2k-2) - \cdots - SO(4k) - Sp(k) - [4],$$

Summary

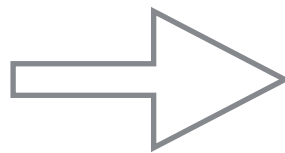
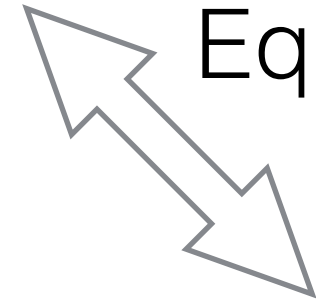
5d descriptions

IIB (p,q) brane web



ex. Conformal
matter

Equivalence
via Z



5d UV dualities

new perspective on 5d and 6d SCFTs