

Lessons and challenges from PLANCK

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Outline

- 1 Introduction
- 2 Lessons and challenges
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 - Challenges
- 3 Correlated correlation functions
 - Features and correlation functions
 - General slow-roll scheme
 - Bispectrum
- 4 Summary

Why inflation?

Hot big bang

- Horizon problem
- Flatness problem
- Monopole problem
- Initial perturbations

Inflation

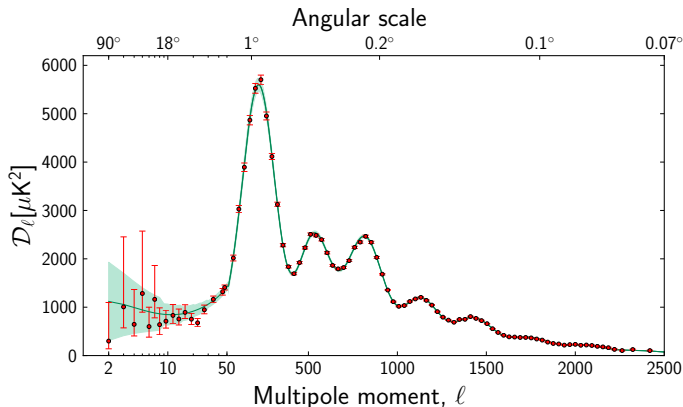
- Single causal patch
- Locally flat
- Diluted away
- Quantum fluctuations

- 1 Initial conditions for hot big bang
- 2 A certain amount of expansion is required

Number of e -folds : $N = \log\left(\frac{a_e}{a_i}\right) \sim 60$ is necessary

- 3 Consistent with most recent observations
- 4 Typically driven by inflaton with a specific potential $V(\phi)$

PLANCK 2013 and new physics



- PLANCK seems to suggest nothing new
- No way to probe physics beyond Λ CDM?
- If so, what is it and how can we probe?

Lessons and challenges from PLANCK



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Lesson 1: nearly scale invariant power spectrum

$$\begin{aligned}\text{Power spectrum : } \langle \mathcal{R}_k \mathcal{R}_q \rangle &\equiv (2\pi)^3 \delta^{(3)}(\mathbf{k} + \mathbf{q}) P_{\mathcal{R}}(k) \\ &= (2\pi)^3 \delta^{(3)}(\mathbf{k} + \mathbf{q}) \frac{2\pi^2}{k^3} \mathcal{P}_{\mathcal{R}}(k)\end{aligned}$$

- Spectral index $n_{\mathcal{R}}$: $\mathcal{P}_{\mathcal{R}} \propto k^{n_{\mathcal{R}}-1}$
- Harrison-Zeldovich spectrum: $n_{\mathcal{R}} = 1$ (const $\mathcal{P}_{\mathcal{R}}$ over all k)

For fiducial case,

- $n_{\mathcal{R}} = 1 - 6\epsilon + 2\eta$ with $\epsilon = \frac{m_{\text{Pl}}^2}{2} \left(\frac{V'}{V} \right)^2$ and $\eta = m_{\text{Pl}}^2 \frac{V''}{V}$
- If $V \sim \phi^n$, $n_{\mathcal{R}} \approx 1 - \frac{n/2 + 1}{N} \sim 0.95 - 0.97$

PLANCK found **departure from HZ**: $n_{\mathcal{R}} = 0.9603 \pm 0.0073$, 5.5σ away

Lesson 2: no gravity waves

Primordial gravitational waves

- Transverse ($h^i_{j,i} = 0$), traceless ($h^i_i = 0$) parts of spatial metric
- Directly related to the **energy scale of inflation**

$$\mathcal{P}_T = \frac{8}{m_{\text{Pl}}^2} \left(\frac{H}{2\pi} \right)^2 = \frac{2}{3\pi^2} \frac{\rho_{\text{inf}}}{m_{\text{Pl}}^4} \sim \frac{V}{m_{\text{Pl}}^4}$$

Tensor-to-scalar ratio: $r \equiv \frac{\mathcal{P}_T}{\mathcal{P}_{\mathcal{R}}} = 16\epsilon$ for fiducial case

PLANCK found **no gravity waves**: $r_{0.002} < 0.11$ at $2\sigma \rightarrow \frac{V^{1/4}}{m_{\text{Pl}}} < 0.008$

Lesson 3: almost perfect Gaussianity

Bispectrum : $\langle \mathcal{R}_{k_1} \mathcal{R}_{k_2} \mathcal{R}_{k_3} \rangle \equiv (2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B_{\mathcal{R}}(k_1, k_2, k_3)$

- Expanding $\mathcal{R}$ **locally** as $\mathcal{R} = \mathcal{R}_g + \frac{3}{5} f_{\text{NL}} \mathcal{R}_g^2 + \dots$ gives

$$B_{\mathcal{R}}(k_1, k_2, k_3) \xrightarrow{k_3 \rightarrow 0} \frac{12}{5} f_{\text{NL}} P_{\mathcal{R}}(k_1) P_{\mathcal{R}}(k_3)$$

- If $\mathcal{R}$ is completely described by S_2 , $f_{\text{NL}} = 0$

$$\text{For fiducial case, } f_{\text{NL}} = \frac{5}{12} (1 - n_{\mathcal{R}}) \ll 1$$

PLANCK found **no nG**: $f_{\text{NL}} = 2.7 \pm 5.8$ at $2\sigma \rightarrow \mathcal{R}$ is 99.99% Gaussian

Challenge 1: nearly scale invariant power spectrum

η problem: a flat potential is difficult to obtain

- Nearly scale invariance requires ϵ , $|\eta| \ll 1$
- When building inflation models based on particle physics...
 - 1 In supergravity,

$$V_F = \underbrace{e^{K/m_{\text{Pl}}^2}}_{K=|\phi|^2+\dots} V_0 \approx \left(1 + \frac{|\phi|^2}{m_{\text{Pl}}^2}\right) V_0 \rightarrow \eta = 1 + m_{\text{Pl}}^2 \frac{V_0''}{V_0} = \mathcal{O}(1)$$

- 2 On general ground, a new scale $\Lambda (\lesssim m_{\text{Pl}})$ gives

$$\Delta V = cV(\phi) \frac{\phi^2}{\Lambda^2} \rightarrow \Delta\eta = m_{\text{Pl}}^2 \frac{\Delta V''}{V} \approx 2c \left(\frac{m_{\text{Pl}}}{\Lambda}\right)^2 = \mathcal{O}(1)$$

- Difficult to keep flat potential against corrections

Challenge 2: no gravity waves

For $V \sim \phi^n$, $r = \frac{4n}{N} \gtrsim 0.1$

- ① Power-law potential in a corner
- ② Either hill-top inflation
 - Initially near a local maximum: how to start there?
 - Usually $m_{\text{pl}} > m_{\text{pl}}$: Taylor expansion not trustable
- ③ ... or low-scale inflation
 - $V^{1/4}$ as low as TeV scale (N.B. $E_{\text{LHC}} = 14 \text{ TeV}$)
 - Possible signatures at the collider experiments?
- ④ ... or more perturbation from other sources
 - Curvaton, modulated reheating... multi-field effects
 - More complex

Challenge 3: almost perfect Gaussianity

$\langle \mathcal{R}\mathcal{R}\mathcal{R} \rangle$ requires cubic order action: using in-in formalism

$$\langle \hat{\mathcal{O}}(t) \rangle = \sum_{n=1}^{\infty} i^n \int_{t_{\text{in}}}^t dt_n \int_{t_{\text{in}}}^{t_n} dt_{n-1} \cdots \int_{t_{\text{in}}}^{t_2} dt_1 \langle 0 | [H_{\text{int}}(t_1), [H_{\text{int}}(t_2), \cdots [H_{\text{int}}(t_n), \hat{\mathcal{O}}(t)] \cdots]] | 0 \rangle$$

with $H = H_0 + H_{\text{int}}$

$\uparrow$ $\uparrow$
 quadratic cubic and higher: $S_3 = - \int dt H_{\text{int}}$

$\mathcal{R}$ = free field, thus for non-zero $\langle \mathcal{R}\mathcal{R}\mathcal{R} \rangle$ we need at least S_3

$$\langle \mathcal{R}\mathcal{R}\mathcal{R}(t) \rangle = i \int_{t_{\text{in}}}^t dt' \langle 0 | [\mathcal{R}\mathcal{R}\mathcal{R}(t'), \mathcal{R}\mathcal{R}\mathcal{R}(t)] | 0 \rangle + \cdots$$

- ① Observable [$f_{\text{NL}} \gtrsim \mathcal{O}(1)$] nG when **interactions are appreciable**
- ② New model discriminator
- ③ Null detection: **how to probe inflationary dynamics?**

Why correlating correlation functions?

Features may arise from such inflationary dynamics:

- ① $V(\phi)$ is not smooth $\rightarrow$ departure from SR (Starobinsky 1992, Adams et al. 2001)
- ② Curved trajectory $\rightarrow$ non-trivial c_S (Achúcarro et al. 2011–)

These effects permeate through mode function solution

- Features in a corr fct = features in other corr fcts
- Distinctive signatures to look for
- Unique way to probe higher order corr fct

Q: How are features in correlation functions correlated?

Q': How can we correlate correlation functions?

General slow-roll approximation

- $\mathcal{R}_k(\tau)$ = de Sitter piece + corrections away from dS
- No hierarchy between SR parameters: $\epsilon \sim \eta \sim \dots$
- More general contexts

Mode equation for the comoving curvature perturbation $\mathcal{R}$

$$\ddot{\mathcal{R}} + \left[\frac{c_s^2}{a^3 \epsilon} \frac{d}{dt} \left(\frac{a^2 \epsilon}{c_s^2} \right) + H \right] \dot{\mathcal{R}} - \frac{\Delta}{a^2} \mathcal{R} = 0$$

$$\Downarrow \quad z^2 \equiv \frac{2a^2 m_{\text{Pl}}^2 \epsilon}{c_s}, \quad y \equiv \sqrt{2kz} \mathcal{R}_k, \quad dx \equiv -kc_s \frac{dt}{a}, \quad f \equiv 2\pi \frac{xz}{k}$$

$$\underbrace{\frac{d^2 y}{dx^2} + \left(1 - \frac{2}{x^2}\right) y}_{\text{de Sitter solution}} = \underbrace{\frac{1}{x^2} \underbrace{\frac{f'' - 3f'}{f}}_{\equiv g(\log x)} y}_{\text{departure from dS}} \quad \left(f' \equiv \frac{df}{d \log x} \right) \rightarrow y_0(x) = \left(1 + \frac{i}{x}\right) e^{ix}$$

Power spectrum in GSR

Green's function solution ([JG](#) & Stewart 2001)

$$\begin{aligned}
 y(x) &= y_0(x) + \frac{i}{2} \int_x^\infty \frac{du}{u^2} g(\log u) [y_0^*(u) y_0(x) - y_0^*(x) y_0(u)] y(u) \\
 &\equiv y_0(x) + L(x, u) y(u) \\
 &= y_0(x) + L(x, u) y_0(u) + L(x, u) L(u, v) y_0(v) + \dots
 \end{aligned}$$

Power spectrum (Stewart 2002, Choe, [JG](#) & Stewart 2004)

$$\begin{aligned}
 \mathcal{P}_{\mathcal{R}}(k) &= \lim_{x \rightarrow 0} \left| \frac{xy}{f} \right|^2 \\
 &= \lim_{x \rightarrow 0} \frac{1}{f^2} \left[1 - \frac{2}{3} x^3 \int_x^\infty \frac{du}{u^4} g(\log u) + \frac{2}{3} \int_x^\infty \frac{du}{u} g(\log u) W(u) + \dots \right] \\
 &= \frac{1}{f_\star^2} \left\{ 1 + \frac{2}{3} \frac{f'_\star}{f_\star} + \frac{2}{3} \int_0^\infty \frac{dx}{x} [W(x) - \theta(x_\star - x)] g(\log x) + \dots \right\} \quad (\star: \text{evaluation time})
 \end{aligned}$$

Windows functions $W(x)$ and $X(x)$ (see later) given by y_0

Inverting power spectrum

By **inverting** $\mathcal{P}_{\mathcal{R}}$ as (Joy, Stewart, [JG](#) & Lee 2005, Joy & Stewart 2006)

$$\log\left(\frac{1}{f^2}\right) = \int_0^\infty \frac{dk}{k} m(k\tau) \log \mathcal{P}_{\mathcal{R}}(k)$$

$$m(x) = \frac{2}{\pi} \left[\frac{1}{x} - \frac{\cos(2x)}{x} - \sin(2x) \right]$$

f and g can be written i.t.o. $\mathcal{P}_{\mathcal{R}}$, $\frac{d \log \mathcal{P}_{\mathcal{R}}}{d \log k}$, $\frac{d^2 \log \mathcal{P}_{\mathcal{R}}}{d \log k^2}$

$$\frac{f'}{f} = -\frac{1}{2} \frac{d}{d \log \tau} \left[\log\left(\frac{1}{f^2}\right) \right] = \frac{1}{2} \int_0^\infty \frac{dk}{k} m(k\tau) \frac{d \log \mathcal{P}_{\mathcal{R}}}{d \log k}$$

$$g = \frac{f'' - 3f'}{f}$$

$$= -\frac{1}{2} \int_0^\infty \frac{dk}{k} m(k\tau) \frac{d^2 \log \mathcal{P}_{\mathcal{R}}}{d \log k^2} - \frac{3}{2} \int_0^\infty \frac{dk}{k} m(k\tau) \frac{d \log \mathcal{P}_{\mathcal{R}}}{d \log k} + \left[\frac{1}{2} \int_0^\infty \frac{dk}{k} m(k\tau) \frac{d \log \mathcal{P}_{\mathcal{R}}}{d \log k} \right]^2$$

N.B. These results are valid for cases **without features**

3rd order action reprocessed

$\dot{\mathcal{R}}^3$ and $\dot{\mathcal{R}}^2 \mathcal{R}$: cumbersome to compute with many derivatives

$$\int \dot{\mathcal{R}}^3 \sim \int (\dot{y}_0 + \dot{L}y_0 + L\dot{y}_0 + \dots)^3 \sim \odot$$

Using partial int and linear eq to **reduce the # of derivatives**

$$\left. \frac{\delta L}{\delta \mathcal{R}} \right|_1 \equiv \frac{a^3 \epsilon}{c_s^2} \left\{ \ddot{\mathcal{R}} + \underbrace{\left[\frac{c_s^2}{a^2 \epsilon} \frac{d}{dt} \left(\frac{a^2 \epsilon}{c_s^2} \right) + H \right]}_{\equiv C = H(3+\eta-2s)} \dot{\mathcal{R}} - \frac{\Delta}{a^2} \mathcal{R} \right\}$$

$$\begin{aligned} \int A \dot{\mathcal{R}}^3 &= \int \frac{\ddot{A} - 3\dot{A}\dot{C} - 2A\dot{C} + 2AC^2}{2} \frac{d}{dt} \frac{(\mathcal{R}^3)}{3} + \dots + \left. \frac{\delta L}{\delta \mathcal{R}} \right|_1 \frac{c_s^2}{a^3 \epsilon} \left(\frac{\dot{A} - 2AC}{2} \mathcal{R}^2 + \dots \right) \\ \int B \dot{\mathcal{R}}^2 \mathcal{R} &= \int \frac{-\dot{B} + BC}{2} \frac{d}{dt} \frac{(\mathcal{R}^3)}{3} + \dots + \left. \frac{\delta L}{\delta \mathcal{R}} \right|_1 \frac{c_s^2}{a^3 \epsilon} \frac{B}{2} \mathcal{R}^2 \end{aligned}$$

Field redefinition with more terms involved

$$S_3 = \int d\tau d^3x \underbrace{\frac{m_{\text{Pl}}^2}{3} \frac{a^2 \epsilon}{c_s} \left[-c_s a H \left(3s + \frac{\epsilon \eta}{2} + \epsilon s + 9us - 2s^2 \right) - \frac{1}{2} \frac{d}{d\tau} \left(\frac{\eta}{c_s^2} \right) \right]}_{\equiv \mathfrak{C}} \frac{d}{d\tau} (\mathcal{R}^3) + (\text{higher SR terms})$$

1st order bispectrum in GSR

“Source” for the bispectrum

$$g_B(\log \tau) = \frac{c_s}{a^2 m_{\text{Pl}}^2 \epsilon} \frac{-\tau}{f} \mathfrak{C} = \frac{1}{f} \left[c_s a H \left(3s + \frac{\epsilon \eta}{2} + \epsilon s + 9us - 2s^2 \right) + \frac{1}{2} \frac{d}{d \log \tau} \left(\frac{\eta}{s} \right) \right]$$

Bispectrum up to 1st correction [i.e. $\mathcal{O}(g)$] (c.f. Adshead et al. 2011)

$$\begin{aligned} B_{\mathcal{R}}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = & \frac{(2\pi)^4}{4} \frac{\sqrt{\mathcal{P}_{\mathcal{R}}(k_1)}}{k_1^2} \frac{\sqrt{\mathcal{P}_{\mathcal{R}}(k_2)}}{k_2^2} \frac{\sqrt{\mathcal{P}_{\mathcal{R}}(k_3)}}{k_3^2} \int_0^\infty \frac{d\tau}{\tau} g_B(\log \tau) \\ & \times \left\{ \left(d_\tau - 3 \frac{f'}{f} \right) W_B + \frac{1}{3} d_\tau (X_B + X_{B3}) \int_0^\infty \frac{d\tilde{\tau}}{\tilde{\tau}} g(\log \tilde{\tau}) X(k_3 \tilde{\tau}) + 2 \text{ perm} \right. \\ & - \frac{1}{3} d_\tau W_{B3} \int_\tau^\infty \frac{d\tilde{\tau}}{\tilde{\tau}} g(\log \tilde{\tau}) W(k_3 \tilde{\tau}) - \frac{1}{3} d_\tau X_{B3} \int_0^\tau \frac{d\tilde{\tau}}{\tilde{\tau}} g(\log \tilde{\tau}) X(k_3 \tilde{\tau}) + 2 \text{ perm} \\ & \left. - \frac{1}{2} d_\tau (X_B + X_{B3}) \int_\tau^\infty \frac{d\tilde{\tau}}{\tilde{\tau}} g(\log \tilde{\tau}) \left(\frac{1}{k_3 \tilde{\tau}} + \frac{1}{k_3^3 \tilde{\tau}^3} \right) + 2 \text{ perm} \right\} \quad \left(d_\tau \equiv \frac{d}{d \log \tau} + 3 \right) \end{aligned}$$

Window functions W_B and X_B constructed from y_0

Effective theory: source term g_B

Effective action (Cheung et al. 2008)

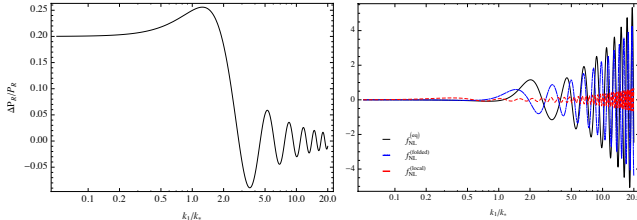
$$S_\pi = - \int d^4x a^3 \left\{ H \left[\dot{\pi}^2 - \frac{(\nabla\pi)^2}{a^2} \right] - 2M_2^4 \dot{\pi} \left[\dot{\pi} + \dot{\pi}^2 - \frac{(\nabla\pi)^2}{a^2} \right] + \frac{4}{3} M_3^4 \dot{\pi}^3 + \dots \right\}$$

In principle M_n^4 are independent couplings (Achúcarro et al. 2012a)

$$\frac{M_3^4}{M_2^4} = \begin{cases} -\frac{3}{4}(c_s^{-2} - 1) & \text{for integrating out 1 heavy mode} \\ 3(c_s^{-2} - 1) & \text{for DBI} \end{cases}$$

(c.f. [JG](#), Pi & Sasaki 2013)

g_B can be explicitly connected to $\mathcal{P}_{\mathcal{R}}$ for specific cases (Achúcarro et al. 2013)

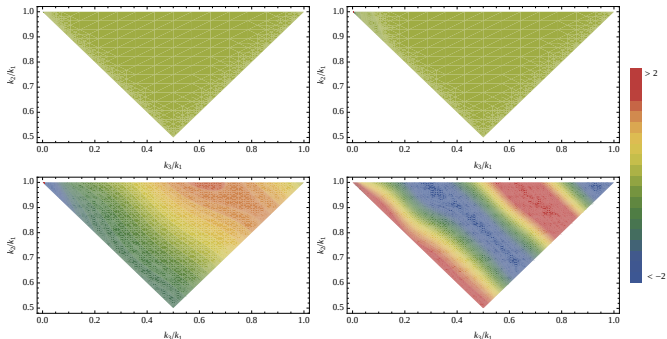


Example: Starobinsky model

Linear $V(\phi)$ + sudden slope change (Starobinsky 1992)

$$V(\phi) = V_0 \times \begin{cases} \left[1 - \mathbf{A}(\phi - \phi_0) \right] & \text{for } \phi < \phi_0 \\ \left[1 - (\mathbf{A} + \Delta \mathbf{A})(\phi - \phi_0) \right] & \text{for } \phi > \phi_0 \end{cases}$$

de Sitter approx: $\frac{f'}{f} = -\frac{\ddot{\phi}}{H\dot{\phi}}, g = -3\frac{V''}{V}, g_B = \frac{1}{f} \frac{d}{d\log\tau} \left(\frac{\ddot{\phi}}{H\dot{\phi}} \right)$ (Choe, JG & Stewart 2004)

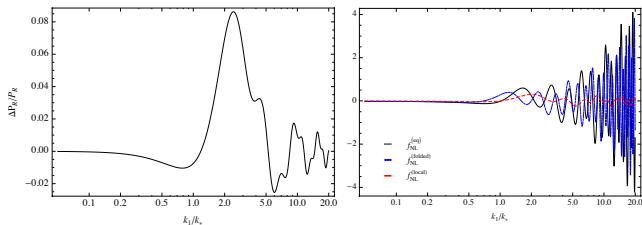


(cf. Arroja & Sasaki 2012)

1. *Journal of the American Medical Association*, 1997; 277: 1001-1005.

$$\frac{1}{\zeta_s^2} = 1 + \lambda \left[\theta(N - N_i) - \theta(N - N_f) \right] = \begin{cases} 1 & \text{for } N < N_i \\ 1 + \lambda & \text{for } N_i < N < N_f \\ 1 & \text{for } N > N_f \end{cases}$$

f and g are given by: $\frac{f'}{f} = -\frac{s}{2}$, $g = \frac{3}{2}s$, $g_B = \frac{3}{f}s$

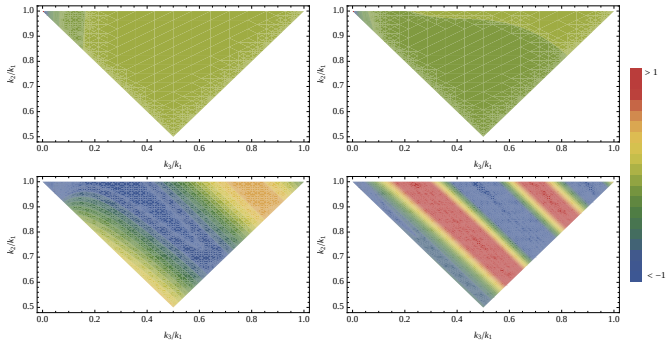


Example: Top-hat change in c_s

c_s is changed for a limited interval by a small amount

$$\frac{1}{c_s^2} = 1 + \lambda \left[\theta(N - N_i) - \theta(N - N_f) \right] = \begin{cases} 1 & \text{for } N < N_i \\ 1 + \lambda & \text{for } N_i < N < N_f \\ 1 & \text{for } N > N_f \end{cases}$$

f and g are given by: $\frac{f'}{f} = -\frac{s}{2}$, $g = \frac{3}{2}s$, $g_B = \frac{3}{f}s$



Summary

- ① PLANCK suggests both lessons and challenges
 - Mostly single field slow-roll inflation
 - But not as simple as it seems
- ② **Correlated correlation functions**
 - CMB outliers may arise from primordial features
 - GSR formalism: beyond standard SR including features
 - Correlation functions are correlated
 - Possible window to inflationary dynamics