

SUPERSYMMETRIC SYSTEMS WITH BENIGN GHOSTS

KIAS, Seoul, June 12, 2014

based on

A.S., J. Phys. A **47** (2014) 052001 [arXiv:1306.6066]

and several preceding papers

MOTIVATION:

Problems with causality in quantum and classical gravity.

Dream solution:

[A.S., 2005]

- our Universe as a soap film in a **flat** higher dimensional bulk. The TOE is a **field theory** in this bulk.

- To be renormalizable, it should involve higher derivatives

DANGER: the ghosts

- **GHOSTS** = instability (rather *absence*) of the vacuum
- inherent for higher-derivative theories.

Conventional system

$$E = \frac{\dot{q}^2}{2} + V(q)$$

can have a classical and/or quantum bottom

- Consider the **Pais-Uhlenbeck oscillator**.

$$L = \frac{1}{2}(\ddot{q} + \Omega^2 q)^2 .$$

Then

$$E = \ddot{q}(\ddot{q} + \Omega^2 q) - \dot{q}(q^{(3)} + \Omega^2 \dot{q}) - \frac{1}{2}(\ddot{q} + \Omega^2 q)^2$$

can be as negative (and as positive) as one wishes.

- **Common lore:** negative residues in propagators break unitarity.

NOT TRUE!!

- **No problem** in free theories

- Interactions may lead to collapse and breaking of unitarity. Like **falling into the center** in the attractive potential $\sim 1/r^2$.

- **If** quantum theory is sick, **so** is its classical counterpart. If classical theory is benign, **so** is its quantum counterpart

The Ostrogradsky Hamiltonian of the free Pais-Uhlenbeck oscillator with unequal frequencies

$$L = \frac{1}{2}(\ddot{q} + \Omega_1^2 q)(\ddot{q} + \Omega_2^2 q) .$$

can be canonically transformed to

$$H = \frac{P_1^2 + \Omega_1^2 Q_1^2}{2} - \frac{P_2^2 + \Omega_2^2 Q_2^2}{2}$$

- The spectrum

$$E_{nm} = \hbar\Omega_1 \left(n + \frac{1}{2} \right) - \hbar\Omega_2 \left(m + \frac{1}{2} \right)$$

has neither top, nor bottom.

- pure point, dense everywhere.
- Classical motion is finite. Quantum problem is well defined. Evolution operator is unitary.

C. Bender + P. Mannheim, 2008 :

Let $\tilde{Q}_1 = Q_1$ and $\tilde{Q}_2 = iQ_2$.

• One can define then a spectral problem **associated** with the original PU spectral problem, which has the positive definite Hamiltonian,

$$\tilde{H} = \frac{\tilde{P}_1^2 + \Omega_1^2 \tilde{Q}_1^2}{2} + \frac{\tilde{P}_2^2 + \Omega_2^2 \tilde{Q}_2^2}{2}$$

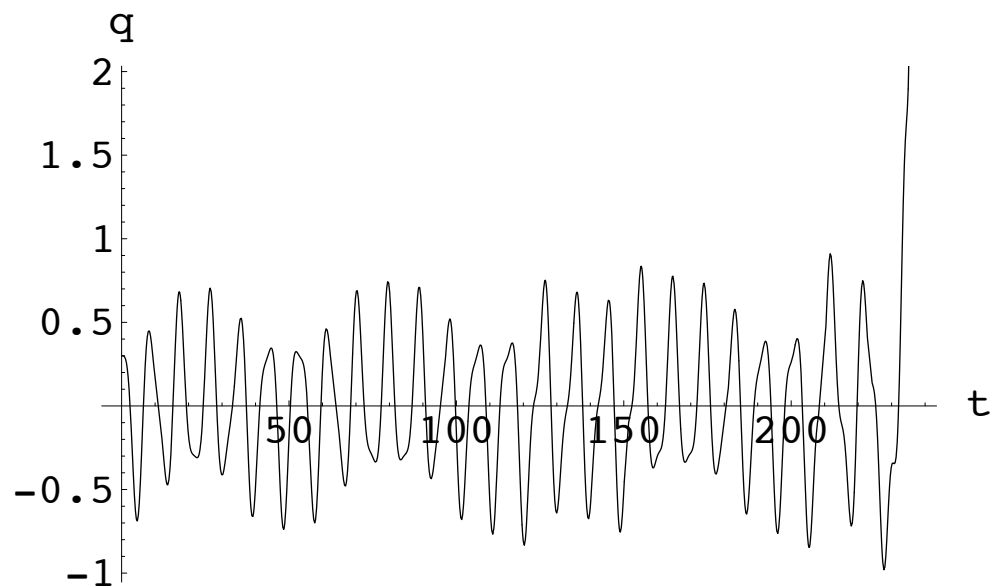
• **True**, but not necessary. One can well manage without that...

- Adding simple-minded nonlinear terms $\sim q^4$ or $\sim q^2\dot{q}^2$ to the Pais-Uhlenbeck Lagrangian does lead to **collapse**.

Islands of stability:

- Oscillates when $q(0)$ is small enough
- Runs to infinity at finite time when $q(0)$ is large enough.

The borderline case



Benign nonlinear SQM system with ghosts
[D. Robert + A.S., 2006]

$$S = \int dt dx d\bar{\theta} d\theta \left[\frac{i}{2} \bar{\mathcal{D}}\Phi \frac{d}{dt} \mathcal{D}\Phi + V(\Phi) \right],$$

with the real (0+1)-dimensional superfield

$$\Phi = \phi + \theta\bar{\psi} + \psi\bar{\theta} + D\theta\bar{\theta}$$

- An **extra** time derivative.

The Hamiltonian

$$H = pP - DV'(\phi) + \text{fermion term}$$

is not positive definite.

Integrals of motion:

1. $E \equiv H$
2. $N = \frac{1}{2}\dot{\phi}^2 - V(\phi)$

- Exactly solvable.
- Take

$$V(\Phi) = -\frac{\omega^2\Phi^2}{2} - \frac{\lambda\Phi^4}{4},$$

- Explicit solutions

$$\phi(t) = \phi_0 \operatorname{cn}[\Omega t | m]$$

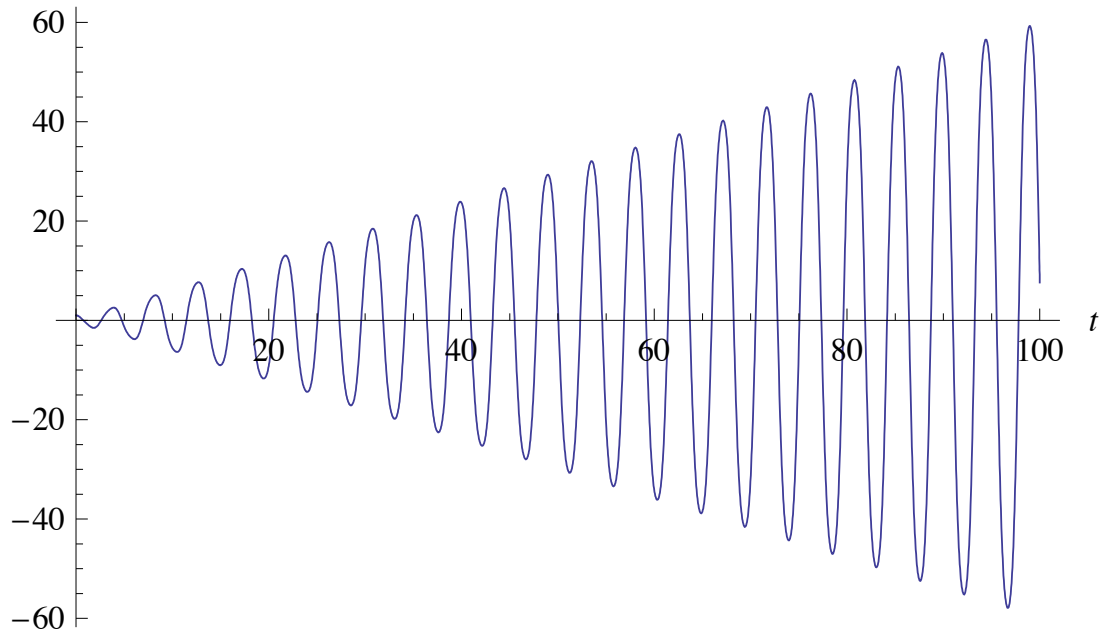
with

$$\alpha = \frac{\omega^4}{\lambda N}, \quad \Omega = [\lambda N(4 + \alpha)]^{1/4}, \quad m = \frac{1}{2} \left[1 - \sqrt{\frac{\alpha}{4 + \alpha}} \right],$$
$$\phi_0 = \left(\frac{N}{\lambda} \right)^{1/4} \sqrt{\sqrt{4 + \alpha} - \sqrt{\alpha}}$$

$$D(t) \propto \dot{\phi}(t) \int^t \frac{dt'}{\dot{\phi}^2(t')}$$

- $\phi(t)$ is **bounded**.
- $D(t)$ grows **linearly**.

$D(t)$



Quantum problem

- is also exactly solvable.
- If $\lambda = 0$, one can define $\{x_{\pm}, p_{\pm}\}$ such that

$$H_B = \frac{p_+^2 + \omega^2 x_+^2}{2} - \frac{p_-^2 + \omega^2 x_-^2}{2} .$$

with $E_{n_+, n_-} = \omega(n_+ - n_-)$.

- **Infinite** degeneracy at each level.

In interacting case:

- Still an **infinity** of zero energy states
- Other states form a **continuous spectrum**,
 $E_{\text{cont}} \in [-\infty, -\omega] \cup [\omega, \infty]$.

Mixed model

$$L = \int d\bar{\theta}d\theta \left[\frac{i}{2}(\bar{\mathcal{D}}\Phi) \frac{d}{dt}(\mathcal{D}\Phi) + \frac{\gamma}{2}\bar{\mathcal{D}}\Phi\mathcal{D}\Phi + V(\Phi) \right] .$$

Physics is similar to the model with $\gamma = 0$, but

- not integrable anymore
- No linear growth for $D(t)$

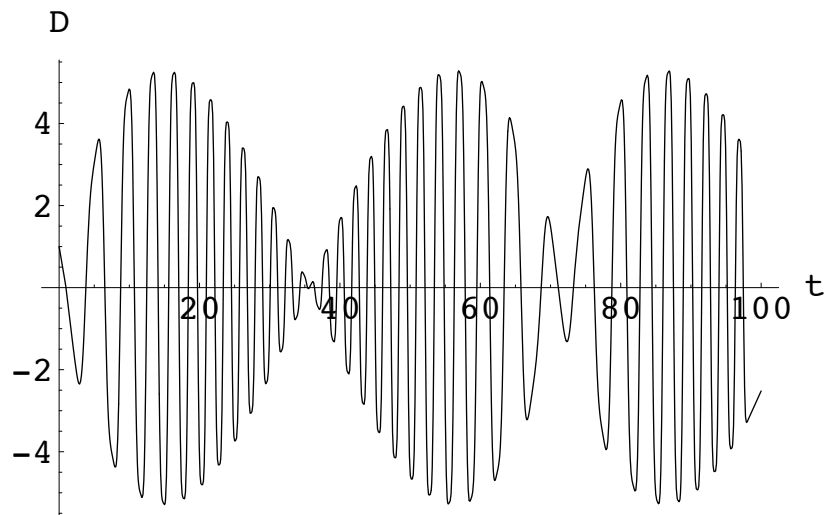


Figure 1: The function $D(t)$ for a deformed system ($\omega = 0, \lambda = 1, \gamma = .1$).

- Explicit expressions for wave functions **exist**.
- The evolution operator is **unitary**.

Spectrum

In **noninteracting** case ($\lambda = 0$),

$$E_{n_+, n_-} = \omega_+ n_+ - \omega_- n_-$$

with

$$\omega_{\pm} = \frac{\gamma}{2} \left(\sqrt{1 + \tau^2} \mp 1 \right), \quad \tau = \frac{2\omega}{\gamma}.$$

• The same as for the **Pais-Uhlenbeck** oscillator with different frequencies,

$$L = \frac{1}{2} (\ddot{q}^2 - (\omega_+^2 + \omega_-^2) \dot{q}^2 + \omega_+^2 \omega_-^2 q^2)$$

- **pure point**, dense everywhere
- **similarly** - in the interacting case.

- Remark: [the full canonical Hamiltonian](#)

$$H = pP - DV'(x) - \frac{\gamma}{2} (D^2 + P^2)^2 + \bar{\psi}\bar{\chi} + \left(\frac{\gamma^2}{4} - V''(x) \right) \chi\psi .$$

is not Hermitian

- Its spectrum is, however, real. Belongs to the class of [crypto-Hermitian](#) Hamiltonians.

UNUSUAL ALGEBRAIC STRUCTURES

- **canonical** Nöther supercharges

$$Q = \psi[p + iV'(x)] - \left(\bar{\chi} + \frac{\gamma}{2}\psi\right) (P - iD) ,$$

$$\bar{Q} = \left(\bar{\psi} - \frac{\gamma}{2}\chi\right) (P + iD) - \chi[p - iV'(x)] .$$

- and the **extra** pair

$$T = \psi[p - iV'(x)] + \left(\bar{\chi} + \frac{\gamma}{2}\psi\right) (P + iD) ,$$

$$\bar{T} = \left(\bar{\psi} + \frac{\gamma}{2}\chi\right) (P - iD) + \chi[p + iV'(x)] .$$

- When $\gamma = 0$, we have a semidirect product of the **standard** $\mathcal{N} = 4$ SUSY algebra

$$\{Q, \bar{Q}\} = \{T, \bar{T}\} = 2H$$

(**but** $\bar{Q} \neq Q^\dagger$, $\bar{T} \neq T^\dagger$!)

and the Abelian Lie algebra generated by

$$\begin{aligned} N &= \frac{P^2}{2} - V(x), \\ F &= \psi\bar{\psi} - \chi\bar{\chi} \end{aligned}$$

Nonvanishing commutators

$$\begin{aligned} \{Q, \bar{Q}\} &= \{T, \bar{T}\} = 2H; \\ [\bar{Q}, F] &= \bar{Q}, \quad [Q, F] = -Q, \quad [T, F] = -T, \quad [\bar{T}, F] = \bar{T}; \\ [Q, N] &= [T, N] = \frac{Q - T}{2}, \quad -[\bar{Q}, N] = [\bar{T}, N] = \frac{\bar{Q} + \bar{T}}{2}. \end{aligned}$$

- When $\gamma \neq 0$, the algebra is **deformed**

- Let $H = H_0 - \gamma F/2$ and introduce $F_+ = \bar{\chi}\psi$, $F_- = \bar{\psi}\chi$

then

$$[F_{\pm}, F] = \mp 2F_{\pm}, \quad [F_+, F_-] = F,$$

$$[Q, H_0] = -\frac{\gamma}{2}Q, \quad [\bar{Q}, H_0] = \frac{\gamma}{2}\bar{Q},$$

$$[T, H_0] = \frac{\gamma}{2}T, \quad [\bar{T}, H_0] = -\frac{\gamma}{2}\bar{T},$$

$$[Q, F] = -Q, \quad [\bar{Q}, F] = \bar{Q},$$

$$[T, F] = T, \quad [\bar{T}, F] = -\bar{T},$$

$$[Q, F_-] = \bar{T}, \quad [\bar{Q}, F_+] = -T,$$

$$[T, F_-] = -\bar{Q}, \quad [\bar{T}, F_+] = Q,$$

$$\{Q, \bar{Q}\} = 2H_0 - \gamma F, \quad \{T, \bar{T}\} = 2H_0 + \gamma F,$$

$$\{Q, T\} = 2\gamma F_+, \quad \{\bar{Q}, \bar{T}\} = 2\gamma F_- .$$

- This is $osp(2, 2)$ algebra.
- a close relative of [weak supersymmetry](#) algebra [A.S., PLB 585 (2004) 173]

(1+1) FIELD THEORY.

- Let Φ depend on t and x . Choose

$$S = \int dt dx d\bar{\theta} d\theta [-2i\mathcal{D}\Phi\partial_+\mathcal{D}\Phi + V(\Phi)] ,$$

where $\partial_{\pm} = (\partial_t \pm \partial_x)/2$ and

$$\mathcal{D} = \frac{\partial}{\partial\theta} + i\theta\partial_-, \quad \bar{\mathcal{D}} = \frac{\partial}{\partial\bar{\theta}} - i\bar{\theta}\partial_+$$

Bosonic Lagrangian

$$\mathcal{L}_B = \partial_{\mu}\phi\partial_{\mu}D + DV'(\phi)$$

Equations of motion

$$\begin{aligned} \square\phi + \omega^2\phi + \lambda\phi^3 &= 0 \\ \square D + D(\omega^2 + 3\lambda\phi^2) &= 0. \end{aligned}$$

Two integrals of motion:

$$E = \int dx \left[\dot{\phi} \dot{D} + \phi' D' + D\phi(\omega^2 + \lambda\phi^2) \right]$$

(positive or negative)

$$N = \int dx \left[\frac{1}{2} \left(\dot{\phi}^2 + (\phi')^2 \right) + \frac{\omega^2 \phi^2}{2} + \frac{\lambda \phi^4}{4} \right]$$

(positive definite)

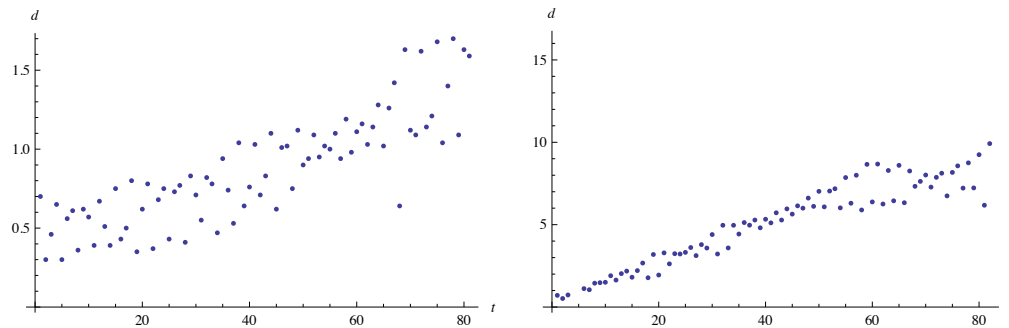
- **Stochasticity**. Solved **numerically**.

Initial conditions

$$\phi(x, t = 0) = C e^{-x^2}, \quad \text{with } C = 1, 3, 5$$

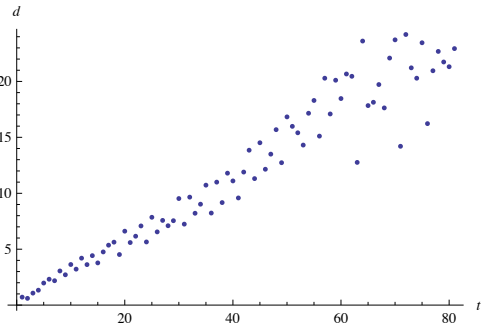
$$D(x, t = 0) = \cos \pi x / L$$

($L = 10$ — length of the box)



(a) $C = 1$

(b) $C = 3$



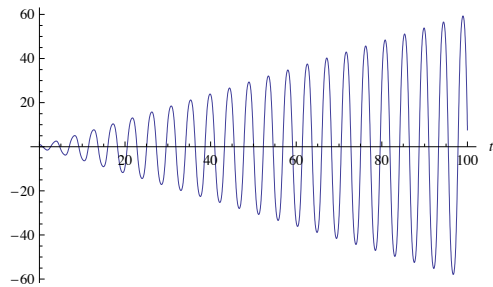
(c) $C = 5$

Figure 2: Dispersion $d = \sqrt{\langle D^2 \rangle_x}$ as a function of time for different values of C .

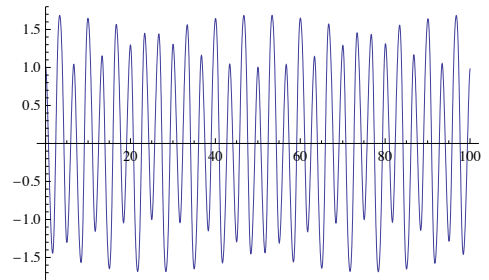
IMPLICATIONS FOR INFLATION ?

- **Homogeneous** classical field needed.
- Let $\phi(t)$ be homogeneous. Then different Fourier modes of $D(x, t)$ **decouple**.

ONLY THE **ZERO MODE** GROWS !



(a) $k = 0$



(b) $k = 1$

- $D(x, t)$ becomes more and more homogeneous.

TALK AFTER TALK:

a 6D superconformal theory

Matter content: bosons: non-Abelian gauge fields A_M ($M = 0, 1, \dots, 5$) and adjoint scalars $D_{jk} = D_{kj}$ ($j, k = 1, 2$)

fermions: adjoint 6D Weyl spinors $\psi_{\alpha j}^a$ ($\alpha = 1, 2, 3, 4$)

Component Lagrangian

$$\begin{aligned} S = & -\frac{1}{g^2} \int d^6x \operatorname{Tr} \left\{ (\nabla^M F_{ML})^2 + i\psi^j \gamma^M \nabla_M (\nabla)^2 \psi_j + \frac{1}{2} (\nabla_M \mathcal{D}_{jk})^2 \right. \\ & + \mathcal{D}_{lk} \mathcal{D}^{kj} \mathcal{D}_j{}^l - 2i\mathcal{D}_{jk} (\psi^j \gamma^M \nabla_M \psi^k - \nabla_M \psi^j \gamma^M \psi^k) + (\psi^j \gamma_M \psi_j)^2 \\ & \left. + \frac{1}{2} \nabla_M \psi^j \gamma^M \sigma^{NS} [F_{NS}, \psi_j] - 2\nabla^M F_{MN} \psi^j \gamma^N \psi_j \right\} \end{aligned}$$

- Dimensionless coupling
- Classical **superconformal symmetry**
- Broken at the quantum level. **Asymptotic freedom**

$$g(\mu) = g_0 \left(1 - \frac{5g_0^2 c_V}{48\pi^3} \ln \frac{\Lambda_{UV}}{\mu} \right)$$

- **Chiral anomaly**. Can be cancelled by adding an adjoint hypermultiplet.

- **Malignant** ghosts and **collapse**. Especially clearly seen in the scalar sector.

Eq. mot. $\ddot{D} \sim D^2$. Reaches infinity at finite time.